



Recent CMS results on CP violation and rare decays

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QFTHEP'270

30.06.2025

Agenda

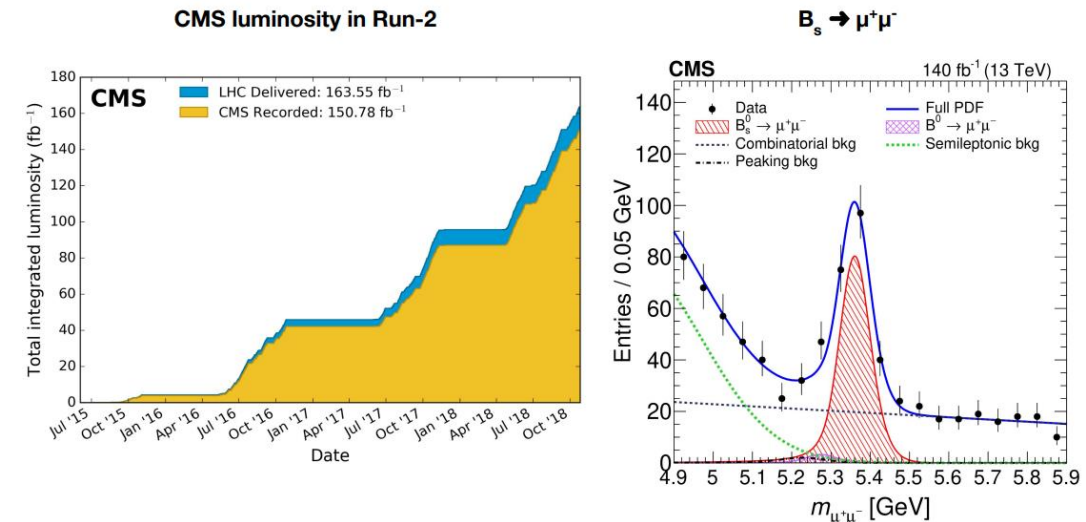
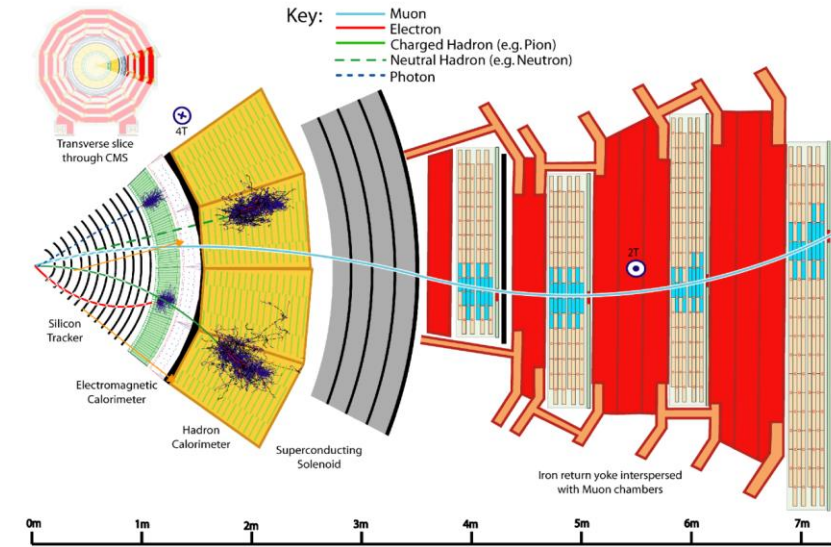
- **Introduction**
- **CP violation:**
 - **Direct CPV in charm ($D^0 \rightarrow K_S^0 K_S^0$)**
 - **Evidence for mixing induced CPV in $B_s^0 \rightarrow J/\psi \varphi(1020)$**
- **Rare decays:**
 - **Observation of $J/\psi \rightarrow \mu^+ \mu^- \mu^+ \mu^-$**
 - **Search for $D^0 \rightarrow \mu^+ \mu^-$**
- **Summary**

Motivation for CPV and rare decays searches

- The **SM** perfectly describes particle physics, but has a number of unsolved problems:
 - **Baryon asymmetry of the Universe (BAU)**
 - **Tree-level Flavour changing neutral current**
 - **Existence of extra fermions and bosons**
 - **Etc...**
- Above mentioned new physics signatures can be tested via **CP violation measurements** and **rare decay searches**
- CP-violation is allowed in the SM, but the amount is insufficient to account for the observed BAU
 - Sources of CPV beyond the SM have to exist
 - CPV observables are often precisely predicted, hence, they are very sensitive to *new physics*
- Rare decays are predicted to have low branching in the SM
 - Enhancement signifies *new physics presence* (FCNC, extra fermions and bosons, tetraquarks, pentaquarks, R-parity violating supersymmetry, etc.)
- We present the most recent CMS measurements of **CPV in $D^0 \rightarrow K_S^0 K_S^0$ and $B_S^0 \rightarrow J/\psi \phi(1020)$**
and **searches for rare $J/\psi \rightarrow \mu^+\mu^-\mu^+\mu^-$ and $D^0 \rightarrow \mu^+\mu^-$ decays**

The CMS detector

- CMS is a general purpose detector able to perform a vast range of physics studies, including flavor physics
- + Excellent tracking system able to reconstruct vertices with high decay time resolution (e.g., $\sigma_t \sim 65$ fs for $B_S^0 \rightarrow J/\psi \phi$) up to $|\eta| < 2.5$
 - Complementary to LHCb ($2 < |\eta| < 5$)
- + Enormous amount of data collected
 - $\sim 7.5 \cdot 10^{13}$ bb pairs produced at Point 5 during Run 2 (geometric acceptance not considered)
- High pile up NPV ~ 40 (in Run 2)
- No reliable hadronic particle identification available



Search for CP violation in $D^0 \rightarrow K_S^0 K_S^0$

$A_{CP}(D^0 \rightarrow K_S^0 K_S^0)$

- CP-violation in up-quark sector is heavily suppressed in contrast to down-quark sector
 - Large enhancement would imply the presence of new physics
- [Theoretical SM calculations](#) [PRD92(2015)054036] predict CPV in $D^0 \rightarrow K_S^0 K_S^0$ to be as large as $O(1\%)$ ← more significant than in many other D^0 decay channels
- The **flavor is tagged** by $D^{*\pm} \rightarrow D^0 (\bar{D}^0)\pi^\pm$
- Many systematic uncertainties in A_{CP} cancel if measured via ΔA_{CP} :

$$A_{CP} = A_{\text{raw}} - A_{\text{prod}} - A_{\text{det}}$$

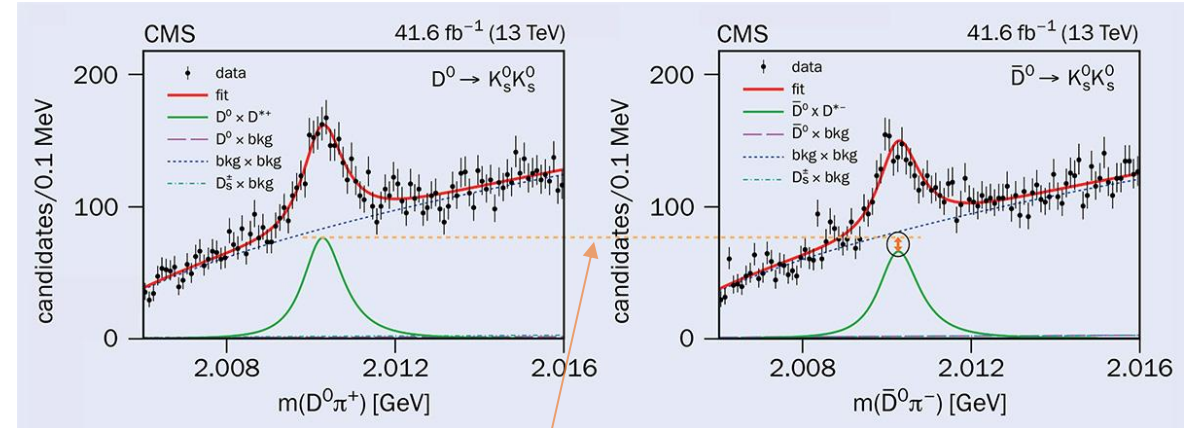
$$A_{\text{raw}} = \frac{N(D^0) - N(\bar{D}^0)}{N(D^0) + N(\bar{D}^0)}$$

$$A_{\text{prod}} = \frac{\sigma_{pp \rightarrow D^{*+} X} - \sigma_{pp \rightarrow D^{*-} X}}{\sigma_{pp \rightarrow D^{*+} X} + \sigma_{pp \rightarrow D^{*-} X}}$$

$$A_{\text{det}} \approx \frac{\epsilon_{\pi^+} - \epsilon_{\pi^-}}{\epsilon_{\pi^+} + \epsilon_{\pi^-}}$$

$$A_{CP}(D^0 \rightarrow K_S^0 K_S^0) = (6.2 \pm 3.0 \pm 0.2 \pm 0.8)\% \leftarrow \text{no CPV}$$

Fit to data in $K_S^0 K_S^0$ channel



2D UML fit of $(M(D\pi^\pm) - M(D) + M_D^{\text{PDG}})$ vs $M(K_S^0 K_S^0)$

$$A_{CP}^{\text{raw}} = \frac{N^+ - N^-}{N^+ + N^-}$$

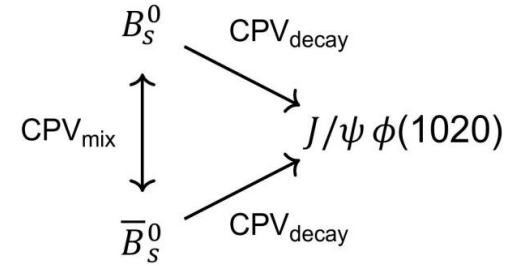
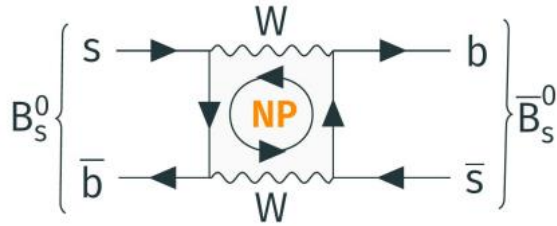
Pion charge	N
π^+	1095 ± 46
π^-	951 ± 44

The first CMS study of CP violation in the charm sector!
Further works on charm CPV measurements are *in progress*!

Evidence for time-dependent CP violation in B_S^0 mesons

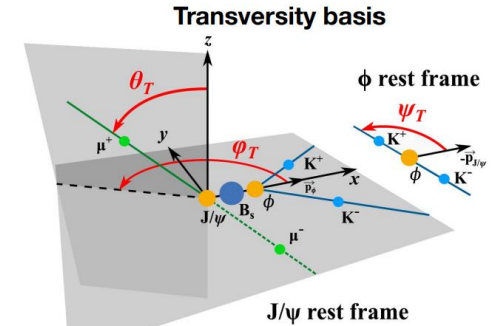
Motivation: CPV in interference

- B_s mesons decays allow us to study the time-dependent CP violation generated by the **interference** between direct decays and flavor mixing
- The weak phase φ_s is the main CPV observable (Predicted by the SM to be $\varphi_s \approx -2\beta_s$ [$\beta_s \rightarrow$ angle of the B_s unit. triangle])
- β_s determined by CKM **global fits**_[CKMfitter, UTfit] to be $-2\beta_s = -37 \pm 1$ mrad
 - **New physics** can change the value of φ_s **up to ~100%**_[RMP88(2016)045002] via new particles contributing to the flavor oscillations



$$\Gamma\left(B_s^0 \xrightarrow{\leftrightarrow} \bar{B}_s^0 \rightarrow f\right)(t) \stackrel{?}{\neq} \Gamma\left(\bar{B}_s^0 \xrightarrow{\leftrightarrow} B_s^0 \rightarrow f\right)(t)$$

- This analysis presents measurement of time-dependent CPV in B_s^0 via the golden mode $B_s^0 \rightarrow J/\psi \varphi(1020) \rightarrow \mu^+ \mu^- K^+ K^-$
- The study performs time-dependent **angular analysis** to separate the CP eigenstates (“transversity basis” used) and **flavor analysis** to resolve the B_s mixing oscillations
- The outcome of the analysis: $\varphi_s, \Delta\Gamma_s, \Gamma_s, \Delta m_s, |A_0|^2, |A_\perp|^2, |A_S|^2, \delta_\parallel, \delta_\perp, \delta S_\perp$



$$\frac{d^4\Gamma(B_s^0)}{d\Theta d(ct)} = \mathcal{F}(\Theta, ct, \alpha) \propto \sum_{i=1}^{10} O_i(ct, \alpha) g_i(\Theta)$$

$$O_i(ct, \alpha) = N_i e^{-\Gamma_s t} \left[a_i \cosh\left(\frac{\Delta\Gamma_s t}{2}\right) + b_i \sinh\left(\frac{\Delta\Gamma_s t}{2}\right) + c_i \cos(\Delta m_s t) + d_i \sin(\Delta m_s t) \right]$$

Deep neural networks (DNN) for tagging!

Flavor tagging technique

- Four **DNN-based algorithms** are used, divided into two main categories:

- **Same side (SS)**: exploits the B_s^0 fragmentation

1. **SS tagger**: leverages charge asymmetries in the B_s^0 fragmentation

- **Opposite side (OS)**: exploits decay products of the other B hadron in the event

1. **OS muon**: leverages $b \rightarrow \mu X$ decays
2. **OS electron**: leverages $b \rightarrow e X$ decays
3. **OS jet**: capitalizes on charge asymmetries in the OS b-jet

- Logic of taggers:

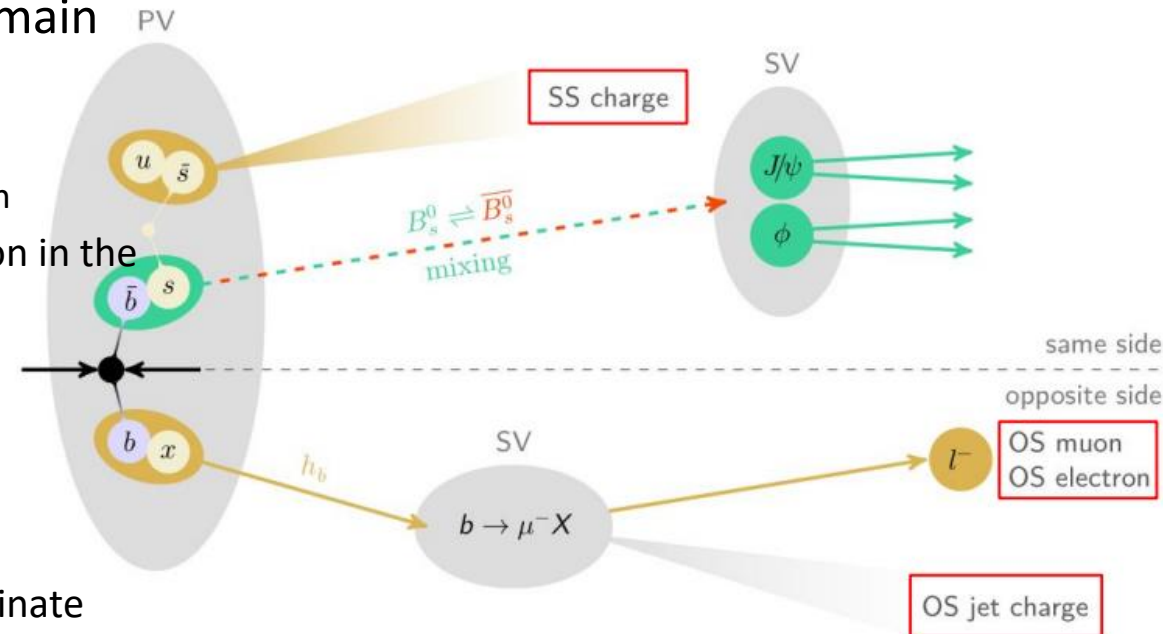
1. Lepton taggers (OS muon, OS electron): DNN is used to discriminate correct-tag vs wrong-tag; Lepton charge $\rightarrow \xi_{tag}$; DNN score $\rightarrow \omega_{tag}$

$$\begin{aligned} \text{OS } \ell^- \rightarrow \text{OS } b \xrightarrow{\text{tag}} \text{signal } B_s & \quad \omega_{tag} = 1 - S_{DNN} \\ \text{OS } \ell^+ \rightarrow \text{OS } \bar{b} \xrightarrow{\text{tag}} \text{signal } \bar{B}_s & \end{aligned}$$

2. Charge-based taggers (OS jet, SS): DNN is used to discriminate B_s^0 vs \bar{B}_s^0 ; DNN score $\rightarrow \text{Prob}(B_s) \rightarrow \xi_{tag}$; ω_{tag}

$$\begin{aligned} S_{DNN} > 0.5 + \epsilon \xrightarrow{\text{tag}} \text{signal } B_s & \quad \text{with } \omega_{tag} = 1 - S_{DNN} \\ S_{DNN} < 0.5 - \epsilon \xrightarrow{\text{tag}} \text{signal } \bar{B}_s & \quad \text{with } \omega_{tag} = S_{DNN} \end{aligned}$$

Schematic representation of a generic event



Useful definitions

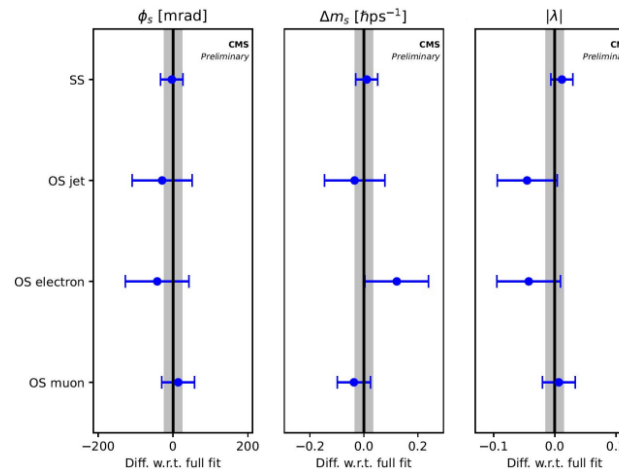
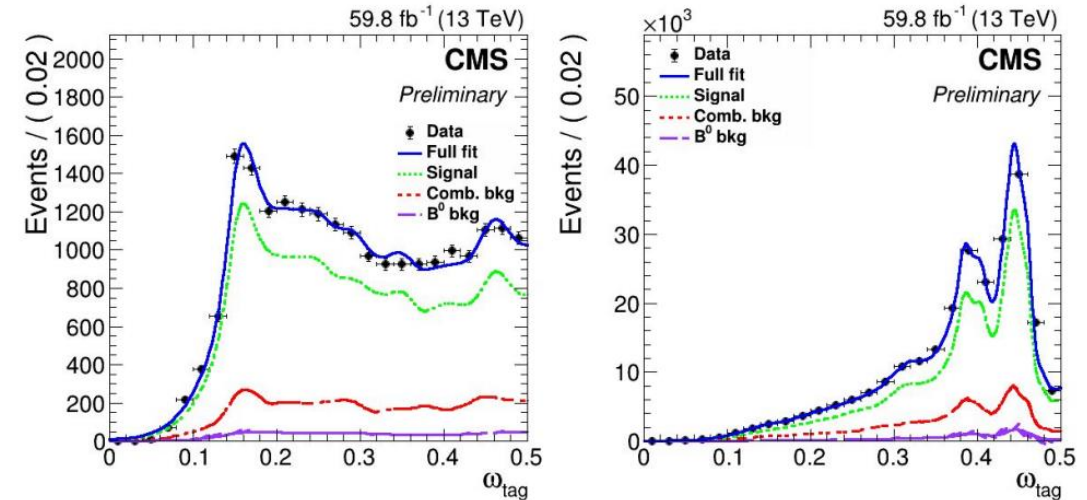
$$\xi_{tag} = \begin{cases} +1 & \text{for } B_s \\ -1 & \text{for } \bar{B}_s \\ 0 & \text{if no tagging decision is made} \end{cases}$$

$$\epsilon_{tag} = \frac{N_{tag}}{N_{tot}}, \quad \omega_{tag} = \frac{N_{mistag}}{N_{tag}}, \quad \mathcal{D}_{tag} = 1 - 2\omega_{tag}, \quad P_{tag} = \epsilon_{tag} \mathcal{D}_{tag}^2$$

Flavor tagging performance

- The SS and any one of the OS algorithms overlap in about 20% of the events
 - In these cases, the information is combined to improve the tagging inference
- The **combined flavor tagging framework** achieves a tagging power of $P_{\text{tag}} = 5.6\%$ when applied to the data sample. Among the highest ever recorded at LHC!
- Largest ever effective statistics $N_{B_s} \cdot P_{\text{tag}}$ ($490\text{k} \cdot 5.6\% \approx 27.5\text{k}$) for a single ϕ_s measurement
- The tagging framework is **consistent and stable!**
 - Validated by repeating the fit to data with only one tagging algorithm deployed at a time

ω_{tag} distribution in the *muon-tagging* trigger category (left) and the *standard one* (right) for 2018 data



Flavor tagging performance (mutually exclusive categories)

Category	$\epsilon_{\text{tag}} [\%]$	$\mathcal{D}_{\text{eff}}^2$	$P_{\text{tag}} [\%]$
Only OS muon	6.07 ± 0.05	0.212	1.29 ± 0.07
Only OS electron	2.72 ± 0.02	0.079	0.214 ± 0.004
Only OS jet	5.16 ± 0.03	0.045	0.235 ± 0.003
Only SS	33.12 ± 0.07	0.080	2.64 ± 0.01
SS + OS muon	0.62 ± 0.01	0.202	0.125 ± 0.003
SS + OS electron	2.77 ± 0.02	0.150	0.416 ± 0.005
SS + OS jet	5.40 ± 0.03	0.124	0.671 ± 0.006
Total	55.9 ± 0.1	0.100	5.59 ± 0.02

Measured physical parameters

- ϕ_s and $\Delta\Gamma_s$ are found in **agreement** with the **SM**:

$$\phi_s^{SM} \simeq -37 \pm 1 \text{ mrad} \quad \Delta\Gamma_s^{SM} = 0.091 \pm 0.013 \text{ ps}^{-1}$$

- Γ_s and Δm_s are **consistent** with the **latest world averages**:

$$\Gamma_s^{WA} = 0.6573 \pm 0.0023 \text{ ps}^{-1} \quad \Delta m_s^{WA} = 17.765 \pm 0.006 \text{ } \hbar\text{ps}^{-1}$$

- $|\lambda|$ is **consistent** with **no direct CPV** ($|\lambda| = 1$)
- The precision on ϕ_s is **comparable** with the world's most precise single [measurement by LHCb](#) [PRL132(2024)051802] ($\phi_s = -39 \pm 22$ (stat) ± 6 (syst) mrad)
- Combined with [8 TeV CMS results](#) [PLB757(2016)97]:

$$\phi_s = -74 \pm 23 \text{ mrad}$$

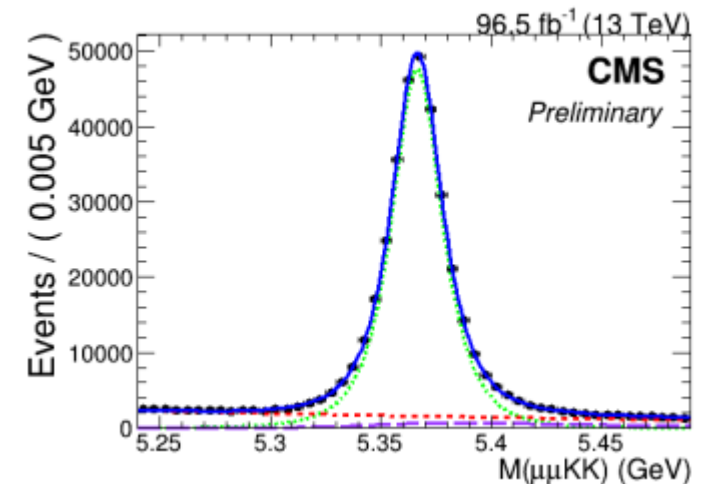
$$\Delta\Gamma_s = 0.0780 \pm 0.0045 \text{ [ps]}^{-1}$$

First **evidence** of CPV in $B_s^0 \rightarrow J/\psi K^+ K^-$ decays (**3.2 σ**)!

Fit results

Parameter	Fit value	Stat. uncer.	Syst. uncer.
ϕ_s [mrad]	-73	± 23	± 7
$\Delta\Gamma_s$ [ps^{-1}]	0.0761	± 0.0043	± 0.0019
Γ_s [ps^{-1}]	0.6613	± 0.0015	± 0.0028
Δm_s [$\hbar\text{ps}^{-1}$]	17.757	± 0.035	± 0.017
$ \lambda $	1.011	± 0.014	± 0.012
$ A_0 ^2$	0.5300	± 0.0016	± 0.0044
$ A_{\perp} ^2$	0.2409	± 0.0021	± 0.0030
$ A_S ^2$	0.0067	± 0.0033	± 0.0009
δ_{\parallel}	3.145	± 0.074	± 0.025
δ_{\perp}	2.931	± 0.089	± 0.050
$\delta_{S\perp}$	0.48	± 0.15	± 0.05

Fit projection on the input observable of inv. mass $M(\mu^+\mu^-K^+K^-)$, the 2018 data.

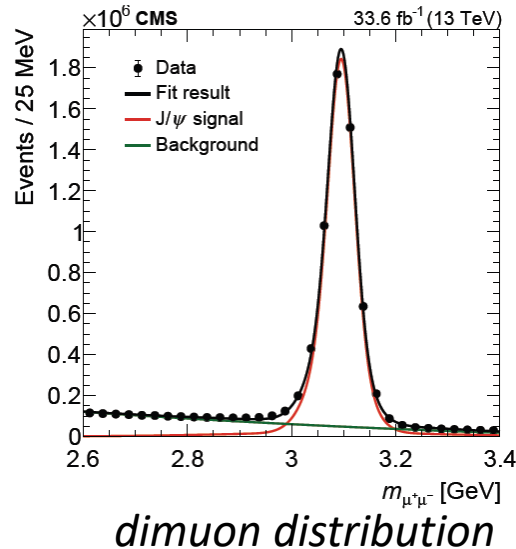
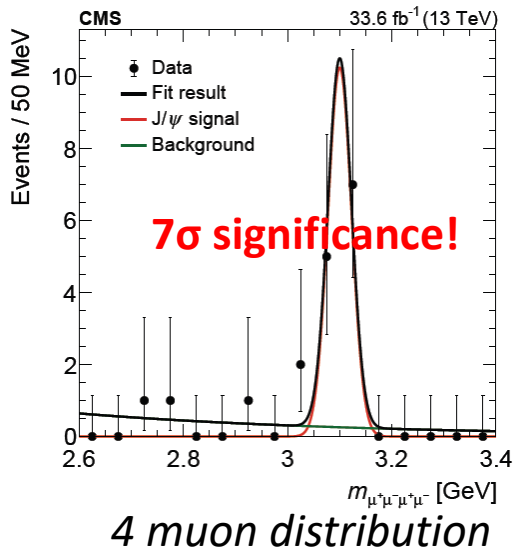
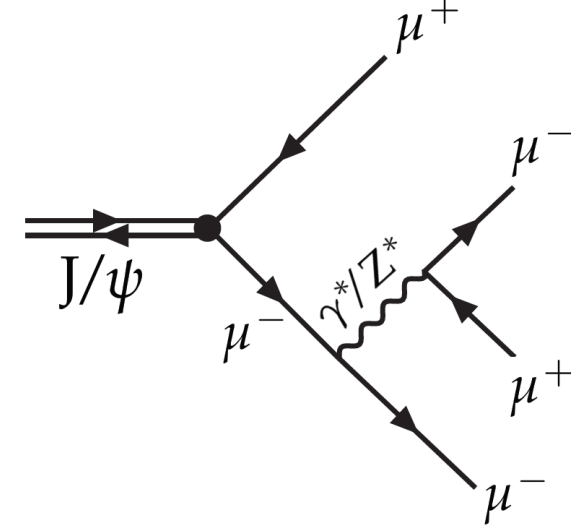


Observation of $J/\psi \rightarrow \mu^+\mu^-\mu^+\mu^-$

First observation of $J/\psi \rightarrow \mu^+ \mu^- \mu^+ \mu^-$

- Multileptonic decays is a clear signature at hadron collider experiment
- In the **SM** decays $J/\psi \rightarrow l^+ l^- l^+ l^-$ occur via $l \rightarrow l \gamma^*/Z^*$ (see Figure on the right)
 - **New physics** scenarios may replace γ^* and Z^* and enhance the process [\[PLB791\(2019\)130](#), [JHEP03\(2014\)105](#), [PRD90\(2014\)035027](#)]
- Previous studies by BESIII:
 - ❖ Observation of $J/\psi \rightarrow e^+ e^- e^+ e^-$ and $J/\psi \rightarrow e^+ e^- \mu^+ \mu^-$ [\[PRD109\(2024\)052006\]](#)
 - ❖ Upper limit established on $J/\psi \rightarrow \mu^+ \mu^- \mu^+ \mu^-$ BF: 1.6×10^{-6} [\[PRD109\(2024\)052006\]](#) at 90% CL
- The branching fraction, relative to $J/\psi \rightarrow \mu^+ \mu^-$ is measured

Leading-order Feynmann diagram representing the $J/\psi \rightarrow \mu^+ \mu^- \mu^+ \mu^-$



Branching ratio is computed via:

$$\frac{\mathcal{B}(J/\psi \rightarrow \mu^+ \mu^- \mu^+ \mu^-)}{\mathcal{B}(J/\psi \rightarrow \mu^+ \mu^-)} = \frac{N(J/\psi \rightarrow \mu^+ \mu^- \mu^+ \mu^-)}{N(J/\psi \rightarrow \mu^+ \mu^-)} \bigg/ \frac{\epsilon_{J/\psi \rightarrow \mu^+ \mu^- \mu^+ \mu^-}}{\epsilon_{J/\psi \rightarrow \mu^+ \mu^-}}$$

Branching ratio value:

$$\frac{\mathcal{B}(J/\psi \rightarrow \mu^+ \mu^- \mu^+ \mu^-)}{\mathcal{B}(J/\psi \rightarrow \mu^+ \mu^-)} = [16.9^{+5.5}_{-4.6} \text{ (stat)} \pm 0.6 \text{ (syst)}] \times 10^{-6}$$

Using world-average $\mathcal{B}(J/\psi \rightarrow \mu^+ \mu^-)$:

$$\mathcal{B}(J/\psi \rightarrow \mu^+ \mu^- \mu^+ \mu^-) = [10.1^{+3.3}_{-2.7} \text{ (stat)} \pm 0.4 \text{ (syst)}] \times 10^{-7} \quad \text{Consistent with SM!}$$

Search for the rare decay $D^0 \rightarrow \mu^+ \mu^-$

Motivation for $D^0 \rightarrow \mu^+ \mu^-$ search

- $D^0 \rightarrow \mu^+ \mu^-$ decay involves SM-suppressed **FCNC** of $c \rightarrow u$ type, which is not well-studied
- The SM BF estimation:
 - $\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) = 3 \times 10^{-13}$ [\[PRD66\(2002\)014009\]](#)
 - **New physics** models (leptoquarks, R-parity, violating SUSY extra fermions or gauge bosons) may enhance the BF up to the level of the LHC accessibility [\[PRD79\(2009\)017502\]](#)
- Previous measurement by LHCb:
 - ❖ Upper limit established on **BF**: 3.1 (3.5) $\times 10^{-9}$ [\[PRL131\(2023\)041804\]](#) at 90 (95)% CL

Event selection for the analysis

- The CMS analyses utilizes new samples of RUN3 2022 & 2023 with novel inclusive dimuon trigger!
- D^0 is required to origin from $D^{*\pm} \rightarrow D^0 \pi^\pm$
 - Pion improves vertex constraints and reduces the background by two orders

*Reduces the uncertainties
Related to D^* production*

- The BF is calculated with respect to the BF of $D^0 \rightarrow \pi^+ \pi^-$

$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) = \mathcal{B}(D^0 \rightarrow \pi^+ \pi^-) \frac{N_{D^0 \rightarrow \mu\mu} \epsilon_{D^0 \rightarrow \pi\pi}}{N_{D^0 \rightarrow \pi\pi} \epsilon_{D^0 \rightarrow \mu\mu}}$$

- BDT discriminator is applied to give the most stringent expected limit on $\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-)$

Fits to data

2D UML fit of $(\Delta m = M(D\pi^\pm) - M(D))$ vs $M(D)$ are applied

Signal pdf: Two (three) Gaussian function for M_D (Δm) with common means

Backgrounds from D^0 decays: Two (three) Gaussian function for M_D (Δm) with common means

Combinatorial Background pdf: Exponential function in M_D and modified for Δm :

$$\left(1 - e^{-\frac{\Delta m - m_\pi}{c}}\right) \left(\frac{\Delta m}{m_\pi}\right)^A + B \left(\frac{\Delta m}{m_\pi} - 1\right)$$

Signal yield in $D^0 \rightarrow \pi^+ \pi^-$: 195 ± 17

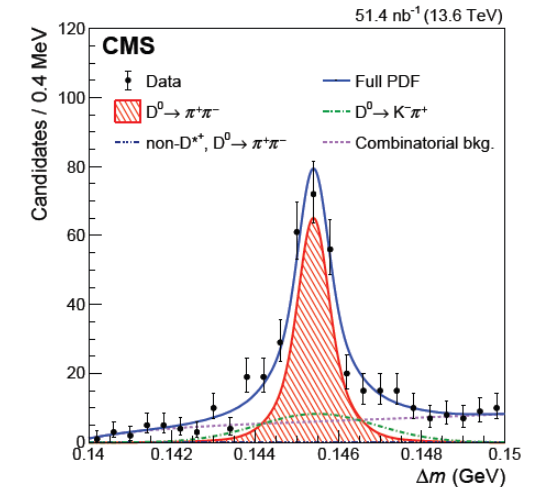
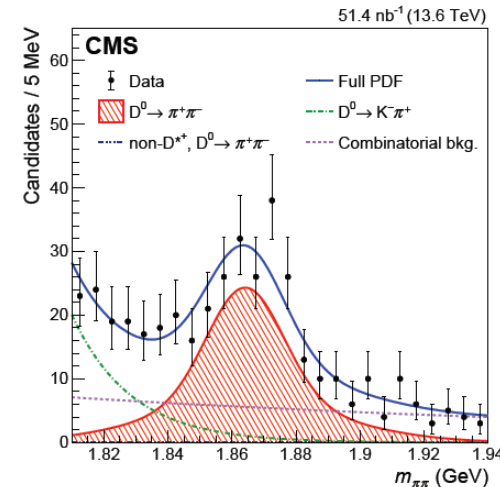
Signal yield in $D^0 \rightarrow \mu^+ \mu^-$: 100 ± 120 ← No signal found!
Observed significance is 0.8 s.d.

Upper limit is set using the asymptotic CL_s method:

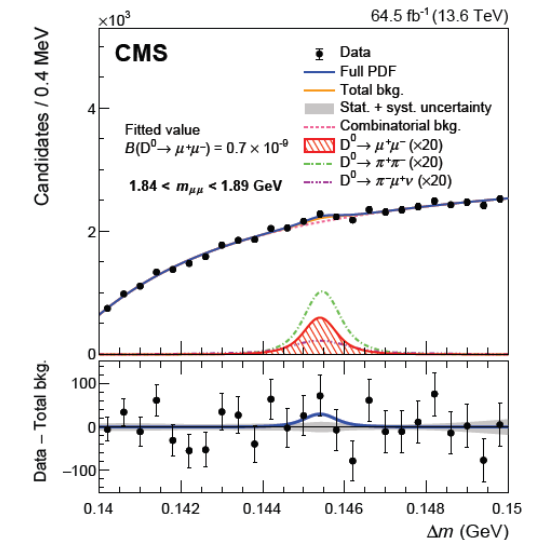
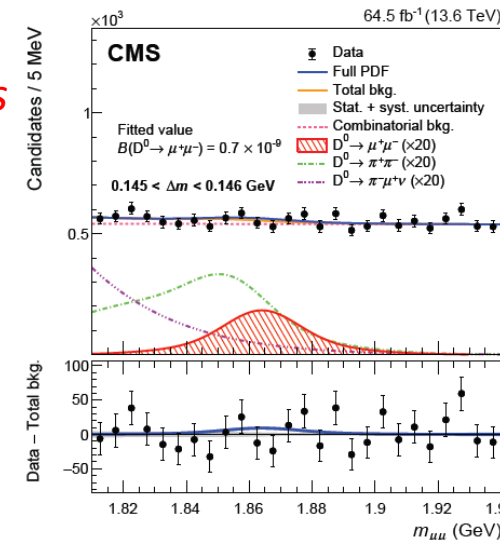
$$B(D^0 \rightarrow \mu^+ \mu^-) < 2.1 (2.4) \times 10^{-9} \text{ at } 90 (95\%) \text{ CL}$$

The most sensitive to date and provides a significant improvement since previous LHCb measurement [\[PRL131\(2023\)041804\]](https://arxiv.org/abs/2506.06152)

Fit to data in $D^0 \rightarrow \pi^+ \pi^-$ channel



Fit to data in $D^0 \rightarrow \mu^+ \mu^-$ channel



Summary

- We present 2 recent CMS results on the CP violation and 2 results on the rare decays searches:
 - Search for direct CP violation in $D^0 \rightarrow K_S^0 K_S^0$:
 - First CMS measurement of CP violation in charm: $A_{CP}(D^0 \rightarrow K_S^0 K_S^0) = (6.2 \pm 3.0 \text{ (stat)} \pm 0.2 \text{ (syst)} \pm 0.8 (A_{CP}(D^0 \rightarrow K_S^0 \pi^+ \pi^-)))\%$
 - Measurement of the time-dependent CP violation in $B_S^0 \rightarrow J/\psi \varphi$
 - First evidence of CP violation in $B_S^0 \rightarrow J/\psi K^+ K^-$: $\varphi_s = -74 \pm 23 \text{ mrad}$
 - First observation of $J/\psi \rightarrow \mu^+ \mu^- \mu^+ \mu^-$
 - Branching fraction: $B(J/\psi \rightarrow \mu^+ \mu^- \mu^+ \mu^-) = (10.1 \pm 3.3 \text{ (stat)} \pm 0.4 \text{ (syst)}) \times 10^{-6}$
 - Search for the rare decay $D^0 \rightarrow \mu^+ \mu^-$
 - Limit on the Branching fraction: $B(D^0 \rightarrow \mu^+ \mu^-) < 2.4 \times 10^{-9}$ at 95% CL
- CMS recent contributions to flavor physics prove that it can be one of the leading actors in such areas as rare decays, CP violation measurements and spectroscopy
- New trigger strategies make the results of CMS in flavor physics compatible with B-factories
- New data of RUN 3 will provide unique opportunities thanks of a revamped trigger strategy, which will lead to the collection of an unprecedented amount of data suitable for flavor physics studies

Thank you!

Acknowledgements

The author would like to acknowledge **Gennady Komissarov Foundation for the Support of Young Researchers**

for supporting this work!

