



Sterile neutrino dark matter production

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and High Energy Physics**

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Three Generations of Matter (Fermions) spin 1/2

	I	II	III
mass →	2.4 MeV	1.27 GeV	171.2 GeV
charge →	2/3	2/3	2/3
name →	u Left up Right	c Left charm Right	t Left top Right
Quarks	4.8 MeV -1/3 d Left down Right	104 MeV -1/3 s Left strange Right	4.2 GeV -1/3 b Left bottom Right
	0 eV 0 ν_e Left electron neutrino Right	0 eV 0 ν_μ Left muon neutrino Right	0 eV 0 ν_τ Left tau neutrino Right
Leptons	0.511 MeV -1 e Left electron Right	105.7 MeV -1 μ Left muon Right	1.777 GeV -1 τ Left tau Right

The Matter generations are indistinguishable by electric weak and strong forces

0
0
g
gluon

distinguishable by gravity and Yukawa forces

0
0
 γ
photon

Bosons (Forces) spin 1

91.2 GeV
0
Z⁰
weak force

>114 GeV
0
0
0
H
Higgs boson

80.4 GeV
 ± 1
W[±]
weak force

spin 0
 $m_H \approx 125$ GeV

Description of neutrino oscillations (I)

- Two bases: gauge $|\nu_\alpha\rangle$, $\alpha = e, \mu, \tau$ and mass $|\nu_i\rangle$, $i = 1, 2, 3$

$$|\nu_i\rangle = U_{\alpha i} |\nu_\alpha\rangle \quad \text{with unitary PMNS } 3 \times 3 \text{ matrix } U_{\alpha i}$$

- Neutrino mass matrix is then

$$M_{\alpha\beta} = \langle \nu_\alpha | M | \nu_\beta \rangle = (UM^{(m)}U^\dagger)_{\alpha\beta}, \quad \text{where } M_{ij}^{(m)} = m_i \delta_{ij}.$$

- Free neutrino evolution in time and space

$$|\nu_j(t)\rangle = e^{-im_j t} |\nu_j(0)\rangle \quad \rightarrow \quad |\nu_j(t, L)\rangle = e^{-i(E_j t - p_j L)} |\nu_j(0)\rangle,$$

in ultrarelativistic case \rightarrow

Hamiltonian

$$p_j = \sqrt{E^2 - m_j^2} = E - m_j^2/2E \quad \rightarrow \quad |\nu_j(L)\rangle = e^{-i \frac{m_j^2}{2E} L} |\nu_j(0)\rangle.$$

Description of neutrino oscillations (II)

- Neutrino effective Hamiltonian

$$|\nu_j(L)\rangle = e^{-i\frac{m_j^2}{2E}L} |\nu_j(0)\rangle \rightarrow H_{\text{eff}} = \frac{M^2}{2E}$$

- Transition amplitude of neutrino ν_α to neutrino ν_β is

$$A(\alpha \rightarrow \beta) = \sum_j \langle \nu_\beta | \nu_j(L) \rangle \langle \nu_j(0) | \nu_\alpha \rangle = \sum_j \langle \nu_\beta | \nu_j \rangle e^{-i\frac{m_j^2}{2E}L} \langle \nu_j | \nu_\alpha \rangle = \sum_j U_{\beta j} e^{-i\frac{m_j^2}{2E}L} U_{\alpha j}^*$$

- and the transition probability

$$\Delta m_{ji}^2 \equiv m_j^2 - m_i^2$$

$$\begin{aligned} P(\nu_\alpha \rightarrow \nu_\beta) &= |A(\alpha \rightarrow \beta)|^2 \\ &= \delta_{\alpha\beta} - 4 \sum_{j>i} \text{Re}[U_{\alpha j}^* U_{\beta j} U_{\alpha i} U_{\beta i}^*] \sin^2 \left(\frac{\Delta m_{ji}^2}{4E} L \right) \\ &\quad + 2 \sum_{j>i} \text{Im}[U_{\alpha j}^* U_{\beta j} U_{\alpha i} U_{\beta i}^*] \sin \left(\frac{\Delta m_{ji}^2}{2E} L \right), \end{aligned}$$

Description of neutrino oscillations (III)

- Two-neutrino oscillations: transition probability

$$P(\nu_\alpha \rightarrow \nu_{\beta \neq \alpha}) = \sin^2 2\theta \cdot \sin^2 \left(\frac{\Delta m^2}{4E} L \right),$$

- Two-neutrino oscillations: survival probability

$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta \cdot \sin^2 \left(\frac{\Delta m^2}{4E} L \right)$$

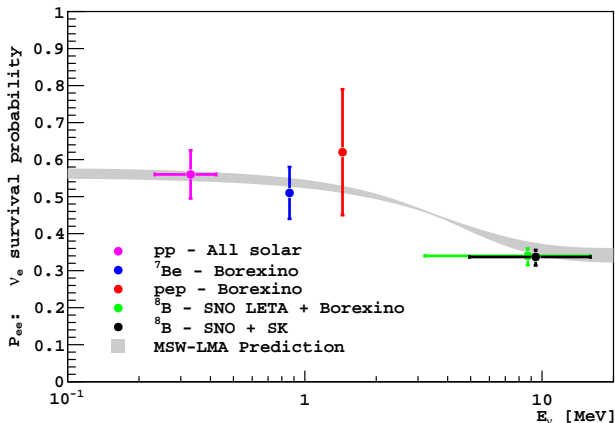
- Oscillation length

$$L_{osc} = \frac{4\pi E}{\Delta m^2} = (2.5 \text{ km}) \cdot \frac{E}{\text{GeV}} \frac{\text{eV}^2}{\Delta m^2}$$

Neutrino matter effect:

asymmetry

Mikheev–Smirnov–Wolfenstein effect



BOREXINO measurements of solar neutrino flux

Fermi charged currents

$$\mathcal{L} = -2\sqrt{2}G_F \bar{\nu}_e \gamma^\mu e \cdot \bar{e} \gamma_\mu \nu_e$$

only matter, no currents

$$\langle \langle \bar{e}_k \gamma_{kl}^0 e_l \rangle \rangle = \langle \langle e^\dagger e \rangle \rangle = n_e,$$

$$\langle \langle \bar{e}_k \gamma_{kl}^j e_l \rangle \rangle = 0.$$

$$\langle \langle e_k \bar{e}_l \rangle \rangle = -\frac{1}{4} \gamma_{kl}^0 \cdot n_e$$

Fermi interaction gives

$$\mathcal{L}_{\text{eff}} = -\sqrt{2}G_F n_e \bar{\nu}_e \gamma^0 \nu_e.$$

$$i\gamma^0 \partial_0 \rightarrow i\gamma^0 \partial_0 - \sqrt{2}G_F n_e \gamma^0,$$

effective potential

$$i\partial_0 - V, \text{ with } V = \sqrt{2}G_F n_e$$

competes with

$$H_{\text{eff}} = \Delta m^2 / 2E$$

Three Generations of Matter (Fermions) spin $\frac{1}{2}$

	I	II	III
mass →	2.4 MeV	1.27 GeV	171.2 GeV
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
name →	Left u Right up	Left c Right charm	Left t Right top
Quarks	4.8 MeV	104 MeV	4.2 GeV
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$
	Left d Right down	Left s Right strange	Left b Right bottom
Leptons	<0.0001 eV ~ 10 keV	~ 0.01 eV \sim GeV	~ 0.04 eV \sim GeV
	0	0	0
	Left ν_e Right N_1	Left ν_μ Right N_2	Left ν_τ Right N_3
	electron neutrino sterile neutrino	muon neutrino sterile neutrino	tau neutrino sterile neutrino
	0.511 MeV	105.7 MeV	1.777 GeV
	-1	-1	-1
Left e Right electron	Left μ Right muon	Left τ Right tau	

Bosons (Forces) spin 1	0	0	g gluon
	0	0	γ photon
	91.2 GeV	0	Z⁰ weak force
	80.4 GeV	± 1	W[±] weak force
	>114 GeV	0	H Higgs boson
			spin 0

Seesaw mechanism: $M_N \gg 1 \text{ eV}$

With $m_{\text{active}} \lesssim 1 \text{ eV}$ we work in the seesaw (type I) regime:

$$\mathcal{L}_N = \bar{N} i \not{\partial} N - f \bar{L}_e^c \tilde{H} N - \frac{M_N}{2} \bar{N}^c N + \text{h.c.}$$

Higgs gains $\langle H \rangle = v/\sqrt{2}$ and then

$$\mathcal{Y}_N = \frac{1}{2} (\bar{\nu}_e, \bar{N}^c) \begin{pmatrix} 0 & v \frac{f}{\sqrt{2}} \\ v \frac{f}{\sqrt{2}} & M_N \end{pmatrix} \begin{pmatrix} \nu_e \\ N \end{pmatrix} + \text{h.c.}$$

For a hierarchy $M_N \gg M^D = v \frac{f}{\sqrt{2}}$ we have

flavor state $\nu_e = U \nu_1 + \theta N$ with $U \approx 1$ and

active-sterile mixing: $\theta = \frac{M^D}{M_N} = \frac{v f}{2 M_N} \ll 1$

and mass eigenvalues

$$\approx M_N \quad \text{and} \quad -m_{\text{active}} = \theta^2 M_N \lll M_N$$

Seesaw mechanism: $M_N \gg 1 \text{ eV}$

With $m_{\text{active}} \lesssim 1 \text{ eV}$ we work in the seesaw (type I) regime:

$$\mathcal{L}_N = \bar{N}_I i \not{\partial} N_I - f_{\alpha I} \bar{L}_\alpha^c \tilde{H} N_I - \frac{M_{N_I}}{2} \bar{N}_I^c N_I + \text{h.c.}$$

When Higgs gains $\langle H \rangle = v/\sqrt{2}$ we get in neutrino sector

$$\mathcal{Y}_N = \frac{1}{2} \left(\bar{\nu}_1, \dots, \bar{N}_1^c \dots \right) \begin{pmatrix} 0 & v \frac{\hat{f}}{\sqrt{2}} \\ v \frac{\hat{f}^T}{\sqrt{2}} & \hat{M}_N \end{pmatrix} \left(\nu_1, \dots, N_1 \dots \right)^T + \text{h.c.}$$

Then for $M_N \gg \hat{M}^D = v \frac{\hat{f}}{\sqrt{2}}$ we find the eigenvalues:

$$\simeq \hat{M}_N \quad \text{and} \quad \hat{M}^V = -(\hat{M}^D)^T \frac{1}{\hat{M}_N} \hat{M}^D \propto f^2 \frac{v^2}{M_N} \lll M_N$$

Mixings: flavor state $\nu_\alpha = U_{\alpha i} \nu_i + \theta_{\alpha I} N_I$

active-active mixing: $U^\dagger \hat{M}^V U = \text{diag}(m_1, m_2, m_3)$

active-sterile mixing: $\theta_{\alpha I} = \frac{(M^D)_{\alpha I}^T}{M_I} \propto \hat{f}^T \frac{v}{M_N} \lll 1$

Sterile neutrino: well-motivated keV-mass Dark Matter

- massive fermions giving mass to active neutrino through mixing (seesaw)

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

- unstable, $N \rightarrow \nu \nu \nu$ is always open
but exceeding the age of the Universe if

(applicable for $M_N < M_W$)

$$\theta^2 < 1.5 \times 10^{-7} \left(\frac{50 \text{ keV}}{M_N} \right)^5$$

- with seesaw constraint $m_a \sim \theta^2 M_N$

$$\tau_{N \rightarrow 3\nu} \sim 1 / \left(G_F^2 M_N^5 \theta_{\alpha N}^2 \right) \sim 1 / \left(G_F^2 M_N^4 m_\nu \right) \sim 10^{11} \text{ yr} (10 \text{ keV} / M_N)^4$$

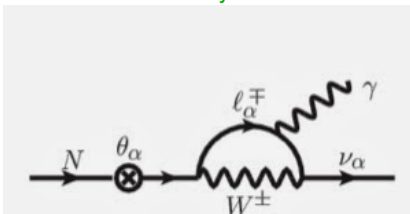
Sterile neutrino: indirect searches

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

- **unstable**, but exceeding the age of the Universe if

$$\frac{\theta^2}{3 \times 10^{-3}} < \left(\frac{10 \text{ keV}}{M_N} \right)^5$$

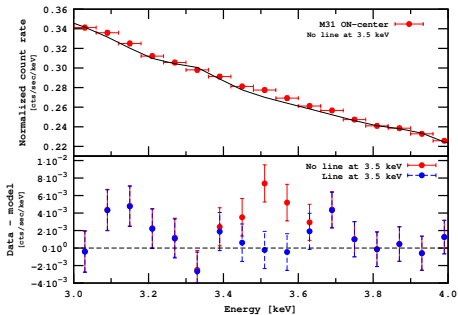
- **DM sterile neutrinos can be searched at X-ray telescopes because of two-body radiative decay** give limits in absence of the feature



a narrow line ($\delta E_\gamma / E_\gamma \sim \nu \sim 10^{-3}$)
at photon frequency $E_\gamma = M_N / 2$

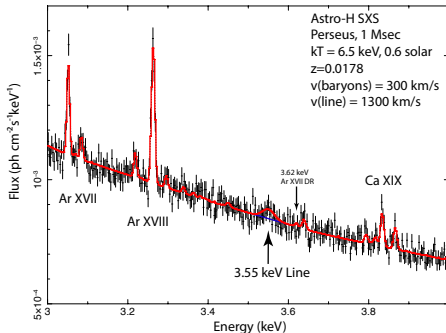
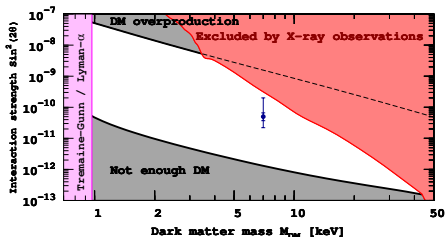
$$\frac{\theta^2}{10^{-11}} \lesssim \left(\frac{10 \text{ keV}}{M_N} \right)^4$$

... 3 years ago: **Dark Matter** decay observed in X-ray?



Stacking signals from many galaxies, especially Perseus cluster, then Andromeda

1402.2301, 1402.4119



Production in oscillations

$$\frac{\partial}{\partial t} f_s(t, \mathbf{p}) - H\mathbf{p} \frac{\partial}{\partial \mathbf{p}} f_s(t, \mathbf{p}) = \Gamma_\alpha P(v_\alpha \rightarrow \nu_s) f_\alpha(t, \mathbf{p}).$$

$\Gamma_\alpha \sim G_F^2 T^4 E$ is the **weak interaction** rate in plasma

$$P(v_\alpha \rightarrow \nu_s) = \sin^2 2\theta_\alpha^{\text{mat}} \cdot \sin^2 \left(\frac{t}{2t_\alpha^{\text{mat}}} \right),$$

$$t_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{vac}}}{\sqrt{\sin^2 2\theta_\alpha + (\cos 2\theta_\alpha - V_{\alpha\alpha} \cdot t_\alpha^{\text{vac}})^2}},$$

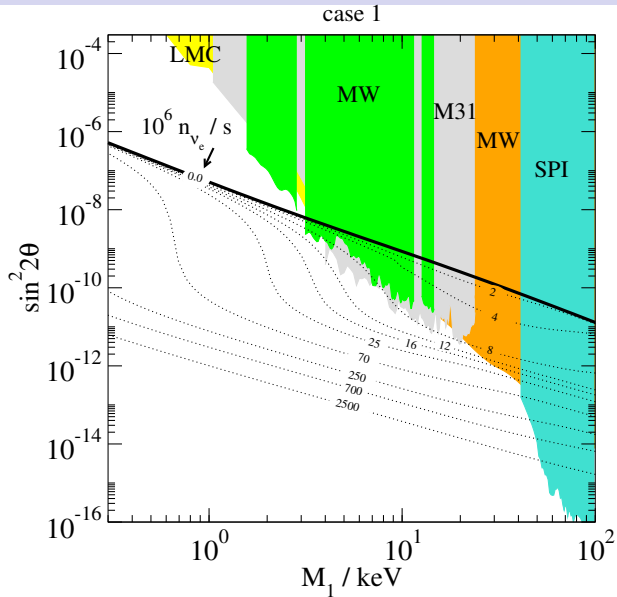
$$\sin 2\theta_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{mat}}}{t_\alpha^{\text{vac}}} \cdot \sin 2\theta_\alpha, \quad t_\alpha^{\text{vac}} = \frac{2E}{M_N^2}$$

and **effective plasma potential** for active neutrinos

$$V_{\alpha\alpha} \sim -\# G_F^2 T^4 E + \# G_F T^2 \mu_{L_\alpha}$$

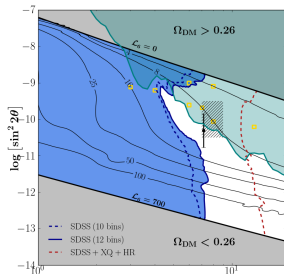
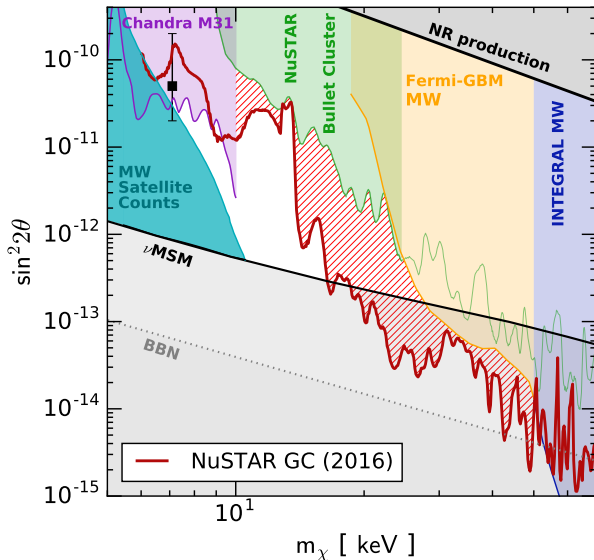
non-resonant and **resonant production in the lepton asymmetric plasma**

DM from oscillations



... present searches

1609.00667, 1706.03118



Production not by the mixing

Dark Matter production
from inflaton decays in plasma at $T \sim m_\chi$

Not seesaw neutrino!

M.Shaposhnikov, I.Tkachev (2006)

$$M_{N_I} \bar{N}_I^c N_I \leftrightarrow f_I X \bar{N}_I N_I$$

Can be “naturally” Warm ($250 \text{ MeV} < m_\chi < 1.8 \text{ GeV}$)

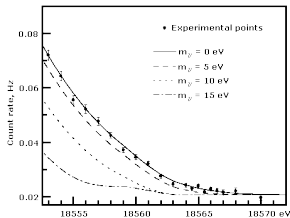
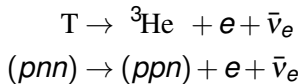
F.Bezrukov, D.G. (2009)

$$M_1 \lesssim 15 \times \left(\frac{m_\chi}{300 \text{ MeV}} \right) \text{ keV}$$

or classical inflaton oscillations...

Not seesaw neutrino!

Direct searches for m_ν : cut in e-spectrum

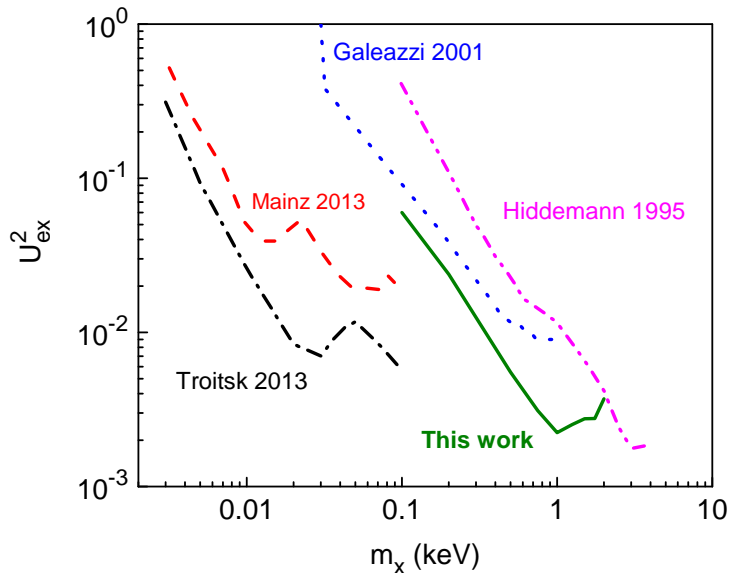


INR RAS, 1990-2000 years: $m_{\bar{\nu}_e} \lesssim 2 \text{ eV}$



the same technique for sterile neutrinos

Direct searches are deep inside the forbidden region



1703.10779

Direct searches are deep inside the forbidden region

Could they be of any use ?

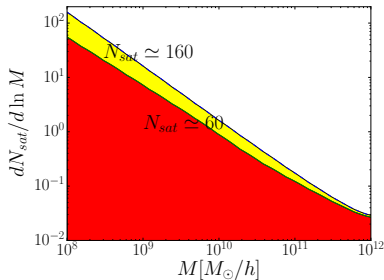
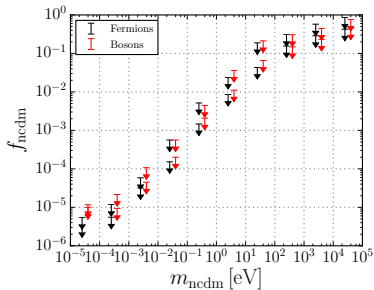
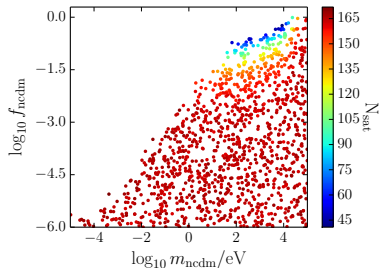
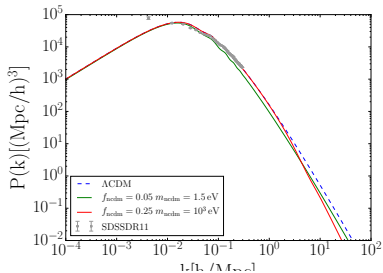
In a minimal scheme

If Dark Matter no way to avoid X-ray bounds

$$\theta_{X\text{-ray}}^2 = \theta_{\alpha 1}^2 \frac{\Omega_N}{\Omega_{DM}}$$

Sterile neutrinos: a part of dark matter

1701.03128



Suppression of cosmological production

$$P(\nu_\alpha \rightarrow \nu_s) = \sin^2 2\theta_\alpha^{\text{mat}} \cdot \sin^2 \left(\frac{t}{2t_\alpha^{\text{mat}}} \right), \quad \sin 2\theta_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{mat}}}{t_\alpha^{\text{vac}}} \cdot \sin 2\theta_\alpha,$$

$$t_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{vac}}}{\sqrt{\sin^2 2\theta_\alpha + (\cos 2\theta_\alpha - V_{\alpha\alpha} \cdot t_\alpha^{\text{vac}})^2}}, \quad t_\alpha^{\text{vac}} = \frac{2E}{M_N^2}$$

Most efficient production occurs at

$$T_{\text{crit}} < T_{\text{max}} \approx 133 \text{ MeV} \left(\frac{1 \text{ keV}}{M_N} \right)^{1/3}$$

It is suppressed if $T_{\text{reh}} \ll T_{\text{max}}$

G.Gelmini, S.Palomares-Ruiz, S.Pascoli (2004)

Suppression of cosmological production

$$P(\nu_\alpha \rightarrow \nu_s) = \sin^2 2\theta_\alpha^{\text{mat}} \cdot \sin^2 \left(\frac{t}{2t_\alpha^{\text{mat}}} \right), \quad \sin 2\theta_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{mat}}}{t_\alpha^{\text{vac}}} \cdot \sin 2\theta_\alpha,$$

$$t_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{vac}}}{\sqrt{\sin^2 2\theta_\alpha + (\cos 2\theta_\alpha - V_{\alpha\alpha} \cdot t_\alpha^{\text{vac}})^2}}, \quad t_\alpha^{\text{vac}} = \frac{2E}{M_N^2}$$

Add more ingredients e.g.

Scalar? Majoron?

- strong coupling to scalar or Majoron, which decreases the active-sterile mixing in primordial plasma e.g. L.Bento, Z.Berezhiani (2001)
- varying sterile neutrino mass in cosmology, which suppresses the early-time oscillations F.Bezrukov, A.Chudaikin, D.G. (2017)
 - ▶ sterile neutrinos superheavy in the early Universe
 - ▶ sterile neutrinos massless in the early Universe

Superheavy in the early Universe

$$\mathcal{L} = \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - \frac{1}{2} m_\phi^2 \phi^2 + \frac{f}{2} \phi \bar{N}^c N + \text{h.c.}$$

homogeneous scalar field in FLRW expanding Universe

$$\ddot{\phi} + 3H\dot{\phi} + m_\phi^2 \phi = 0$$

two-stage evolution:

$$m_\phi < H(t) \implies \phi = \phi_i = \text{const}$$

$$m_\phi > H(t) \implies p = \langle E_k \rangle - \langle E_p \rangle = 0, \quad \rho \sim m_\phi^2 \phi^2 \propto 1/a^3$$

- At $m_\phi < H(t)$ sterile neutrino mass is $M = M_N + f\phi_i \gg M_N$
- At present sterile neutrino mass is $M_N \sim 1 \text{ keV}$
- If at $m_\phi > H(t)$ sterile neutrinos are kept nonrelativistic,

$$m_\phi = H_{\text{osc}} = \frac{T_{\text{osc}}^2}{M_{\text{Pl}}}$$

$$M(t) = M_N + f\phi_i \frac{T^3}{T_{\text{osc}}^3} > T$$

production never happened

Only direct searches matter !!

Massless in the early Universe

$$\mathcal{L} = \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) + \frac{f}{2} \phi \bar{N}^c N + \text{h.c.}$$

And may be more scalar fields in the hidden sector... to make the phase transition:

$$T > T_{crit} \implies \langle \phi \rangle = 0, \quad M_N = 0$$

$$T < T_{crit} \implies \langle \phi \rangle = v_\phi, \quad M_N = f v_\phi$$

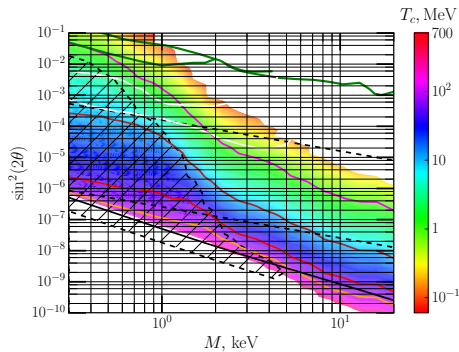
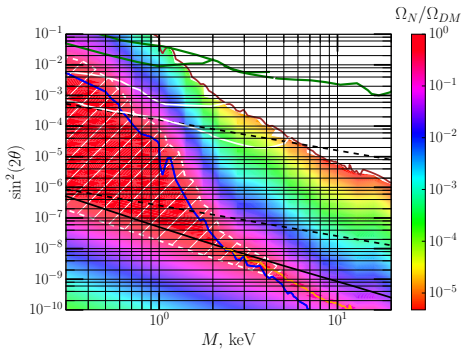
The production in oscillations will be suppressed, if

$$T_{crit} < T_{max} \approx 133 \text{ MeV} \left(\frac{1 \text{ keV}}{M_N} \right)^{1/3}$$

there is always contribution from left-right mixing, $\propto m_D^2/E^2$

Results

for details see [1705.02184](#)

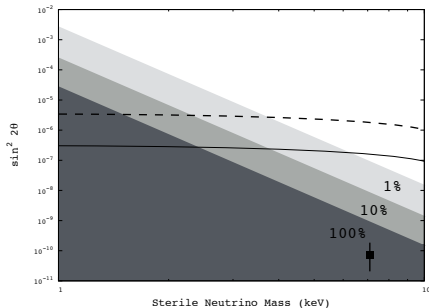
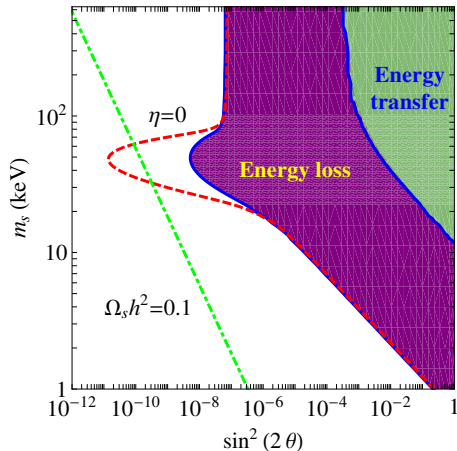


Summary and Outlook

- At moderate mixing DM production can be suppressed
- At small abundance ($\Omega_N < \Omega_{DM}$) direct searches can supersede those of *X*-ray satellites
- Direct tests of the seesaw prediction (Troitsk, KATRINE) become justified
- It is worth to study the resulting spectra in case of $\Omega_{DM} \simeq \Omega_N$
- Small masses are forbidden due to free-streaming
- Is it possible to make sterile neutrino DM in Superheavy case, where they are supercool, and form CDM. . . ? Yes
- Sterile neutrinos in SN explosion: many controversial results in literature even w/o hidden sector, but might compete with direct searches

Backup slides

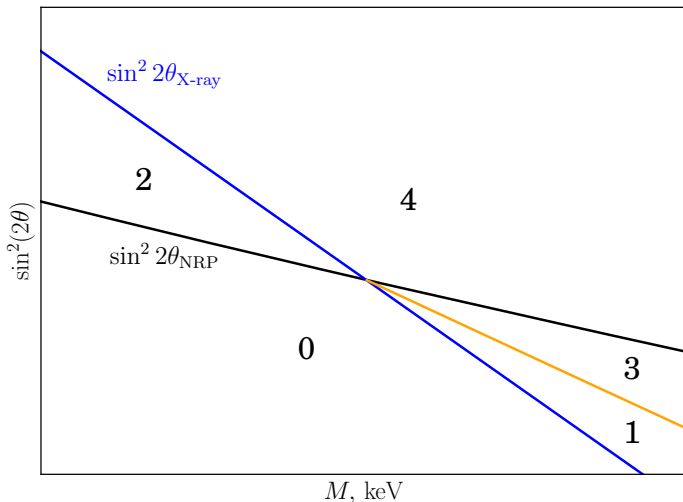
Limits form SN



1102.5124

1603.05503

A sketch of model parameter space

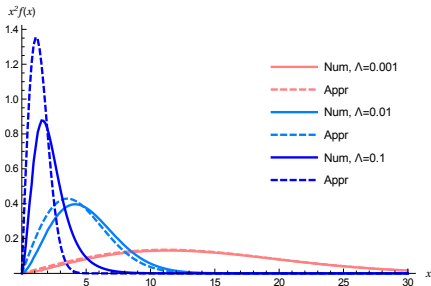


0,1: allowed even
w/o scalar field

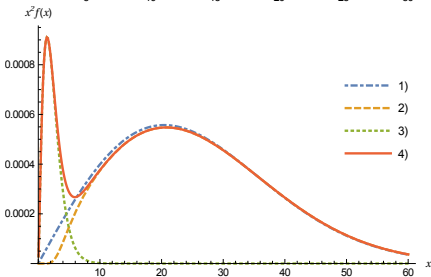
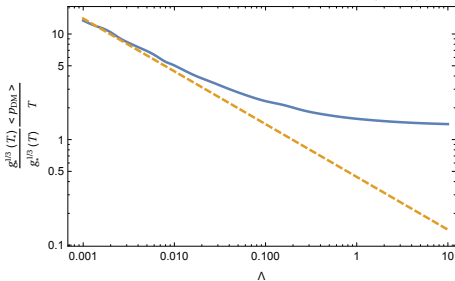
2: scalar helps to
avoid X-ray bound
and make
 $\Omega_N = \Omega_{DM}$, but
free-streaming...

3,4: Ω_N is
determined by
X-ray bound

DM from Heavy scalar (Majoron?) decay



F.Bezrukov, D.G., 2014



$$\tau H(T = M/3) \equiv \frac{1}{18} \frac{1}{\Lambda}$$

$$x = \frac{p}{T} \left(\frac{g_*(T_*)}{g_*(T)} \right)^{1/3}$$

Decoupling of relativistic Dark Matter

Assumptions

- DM particles are in equilibrium in plasma
- DM decouple from plasma at temperature $T_d \gtrsim M_X$,
so they are **relativistic** (e.g. neutrino)

Later on

$$n_X(T_d) = g_X \cdot \left(\frac{1}{4}\right) \cdot \frac{\zeta(3)}{\pi^2} T_d^3$$

$$n_X a^3 = \text{const}, \quad sa^3 = \text{const} \quad \Rightarrow \quad \frac{n_X}{s} = \text{const} = \# \frac{g_X}{g_*(T_d)}$$

useful

DM particle mass M_X fixes Ω_X :

$$\Omega_X = \frac{M_X \cdot n_{X,0}}{\rho_c} = \frac{M_X \cdot s_0}{\rho_c} \frac{n}{s} \approx 0.2 \times \frac{M_X}{100 \text{ eV}} \left(\frac{g_X}{2}\right) \cdot \left(\frac{100}{g_*(T_d)}\right)$$

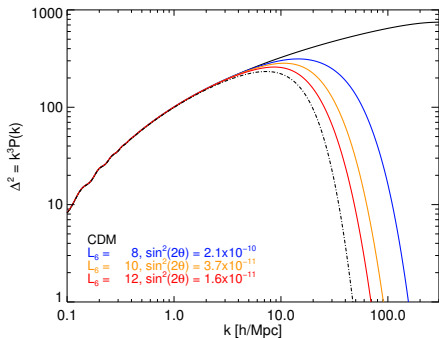
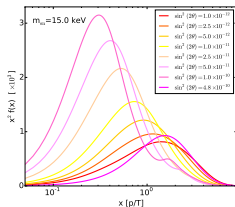
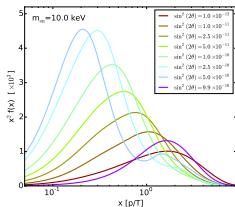
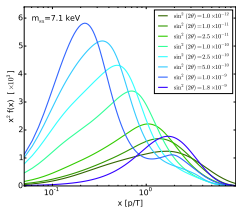
- NO heavy stable feebly coupled to SM particles !
- NO realistic DM models:

Pauli blocking prevents fermionic DM

$$\frac{p_X}{M_X} \propto \frac{a_d}{a} \sim \frac{3T}{M_X} \left(\frac{g_*(T)}{g_*(T_d)}\right)^{1/3}$$

too energetic for the proper structure formation

Sterile neutrino spectra from resonant production

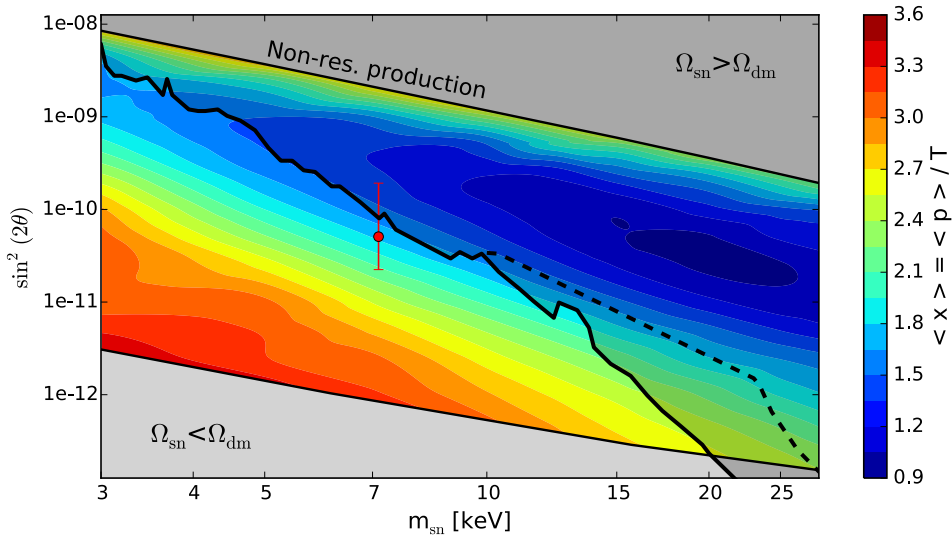


1601.07553

1611.00005

$$v = \frac{\langle p \rangle}{m} = 3.15 \frac{T}{m} \left(\frac{g_*, 0}{g_*} \right)^{1/3}$$

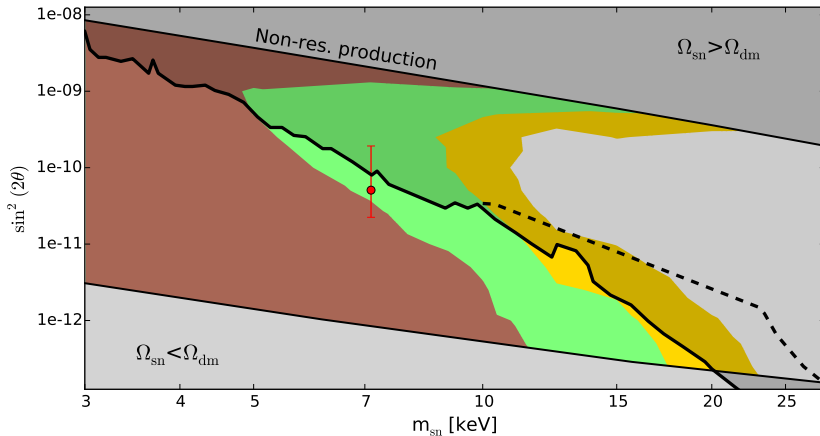
Sterile neutrino Dark Matter



A.Schneider (2016)

Sterile neutrino Dark Matter: ... gone?

A.Schneider (2016)



brown: MW satellite counts

green and yellow: Lyman- α

production by inflaton