

The XXIII International Workshop  
High Energy Physics and Quantum Field Theory  
June 26– July 3, 2017  
Yaroslavl, Russia

A. SHAIKHIEV (INR RAS, MOSCOW)

ON BEHALF OF THE NA62 COLLABORATION

---

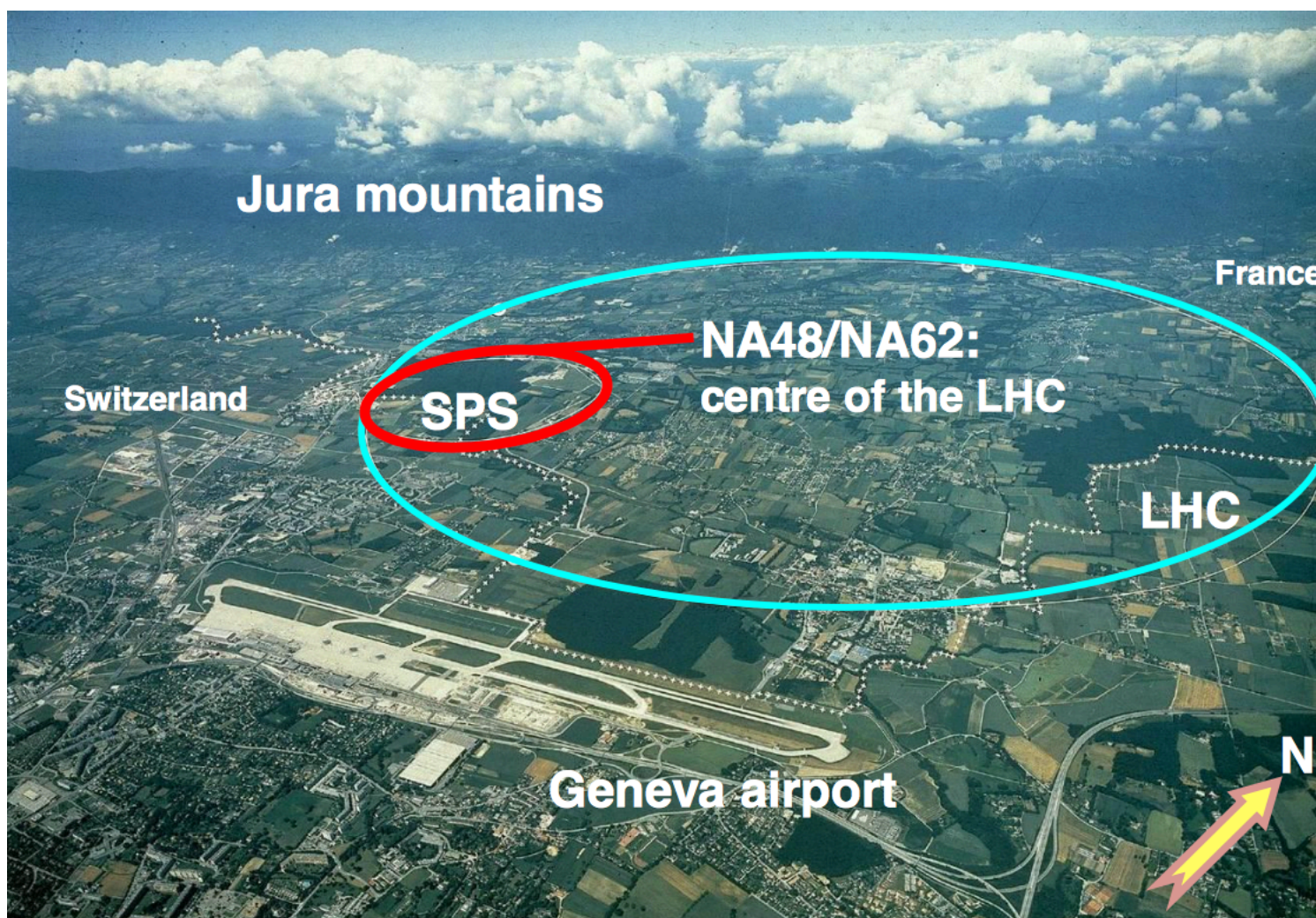
# THE NA62 EXPERIMENT AT CERN: STATUS AND RECENT RESULTS

# THE NA62 COLLABORATION



~30 institutes, ~200 participants from:

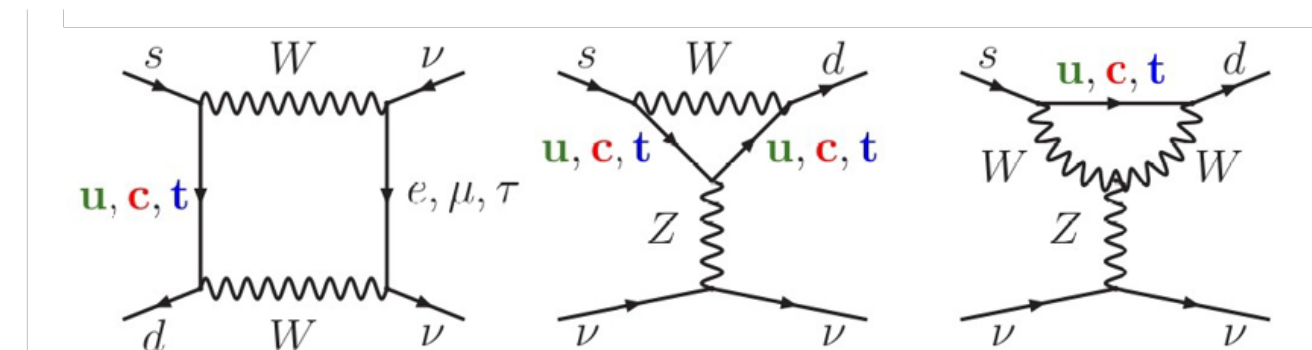
Birmingham, Bratislava, Bristol, Bucharest, CERN, Dubna, Fairfax, Ferrara, Firenze, Frascati, Glasgow, Liverpool, Louvain, Mainz, Merced, Moscow, Napoli, Perugia, Pisa, Prague, Protvino, Roma I, Roma II, San Luis Potosi, Sofia, Torino, TRIUMF, Vancouver UBC



NA62 experiment is located at north area (NA) of CERN. Protons are extracted from the SPS with  $p=400$  GeV/c producing a secondary beam of hadrons (~6% are kaons).

Main goal is to measure the  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  branching fraction with high precision

## MOTIVATION



- ▶ Ultra rare kaon decay with very clean theoretical prediction within the SM framework:  $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (9.11 \pm 0.72) \times 10^{-11}$   
Buras et al., JHEP 1511 (2015) 033
- ▶ The only experimental measurement from E787/E949 has large uncertainty: PRL101 (2008) 191802

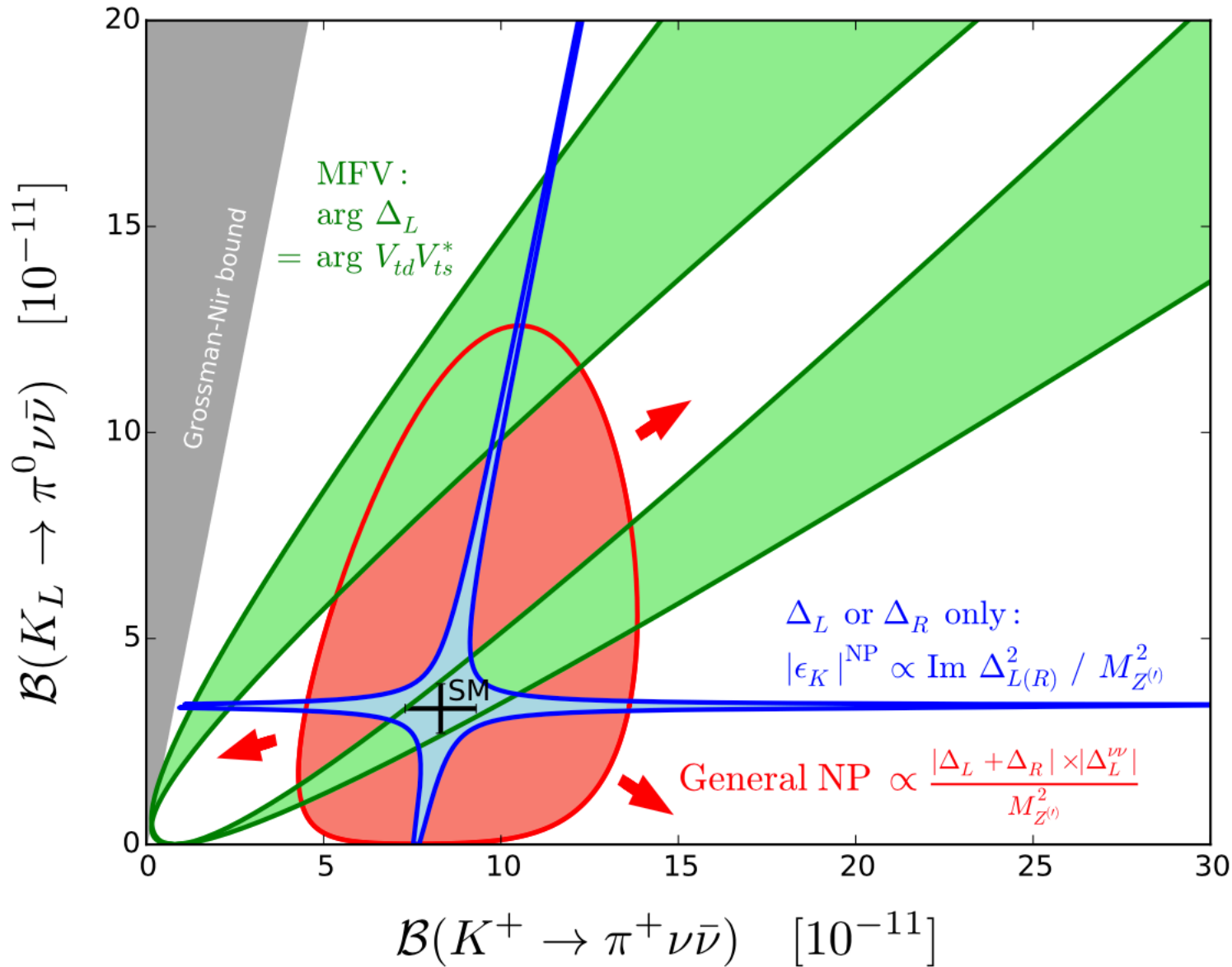
$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.73_{-1.05}^{+1.15}) \times 10^{-10}$$

- ▶ Sensitive to new physics effects... (see next slide)



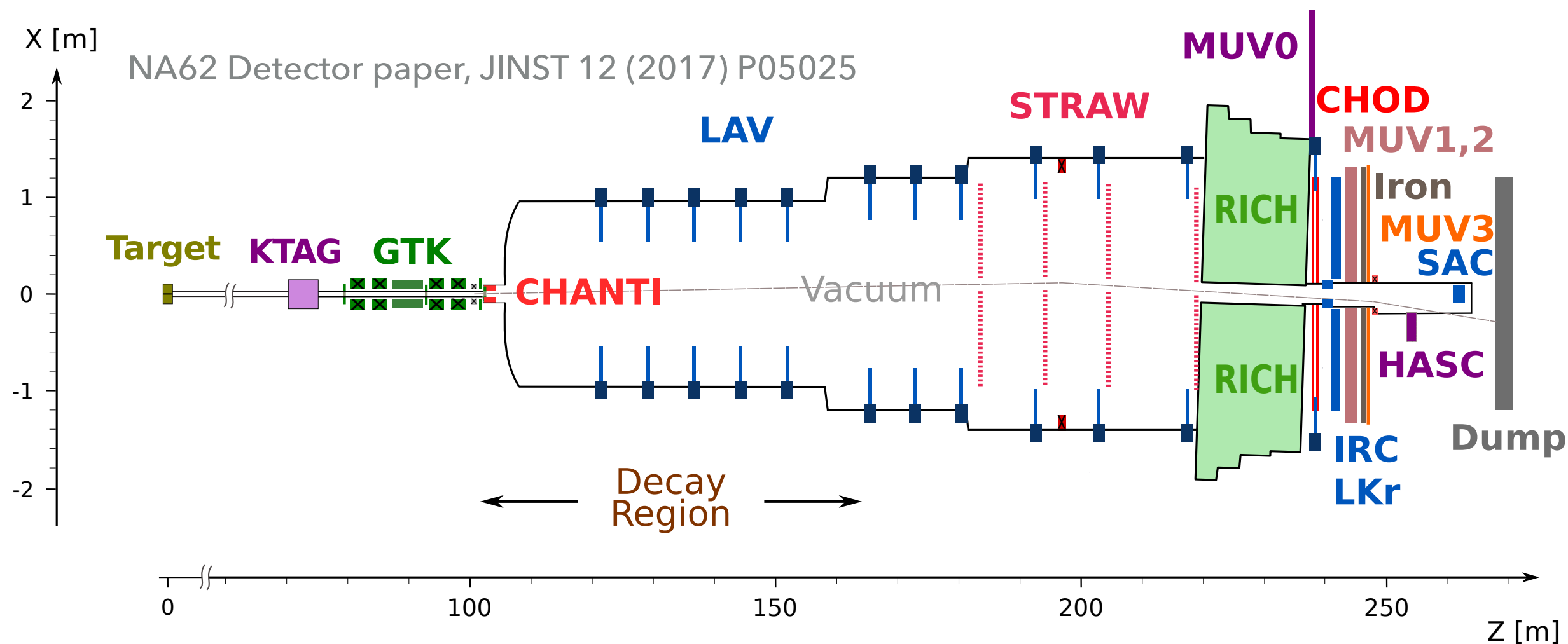
# BEYOND THE SM

Buras et al., JHEP 1511 (2015) 166



- Models with general LH and RH NP couplings
- Models obeying CMFV
- Constraint from  $\epsilon_K$  if only RH or LH couplings are present

# THE NA62 DETECTOR



- Kaon ID and direction (KTAG, GTK, CHANTI)
- Pion ID and direction (STRAW, CHOD, RICH)
- Photon veto (LAV, LKr, IRC, SAC)
- Muon veto (MUV1,2,3)

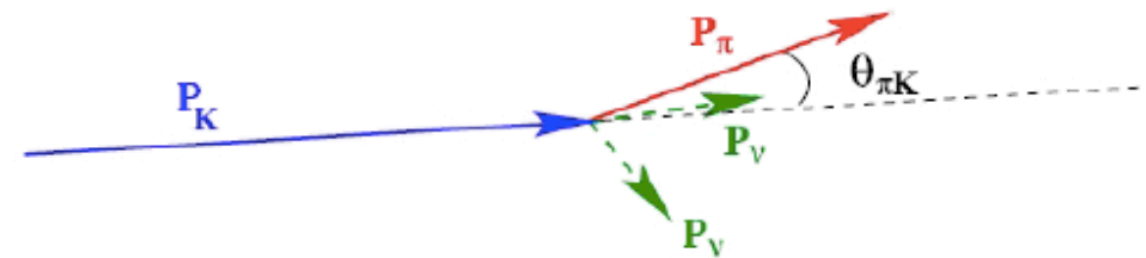
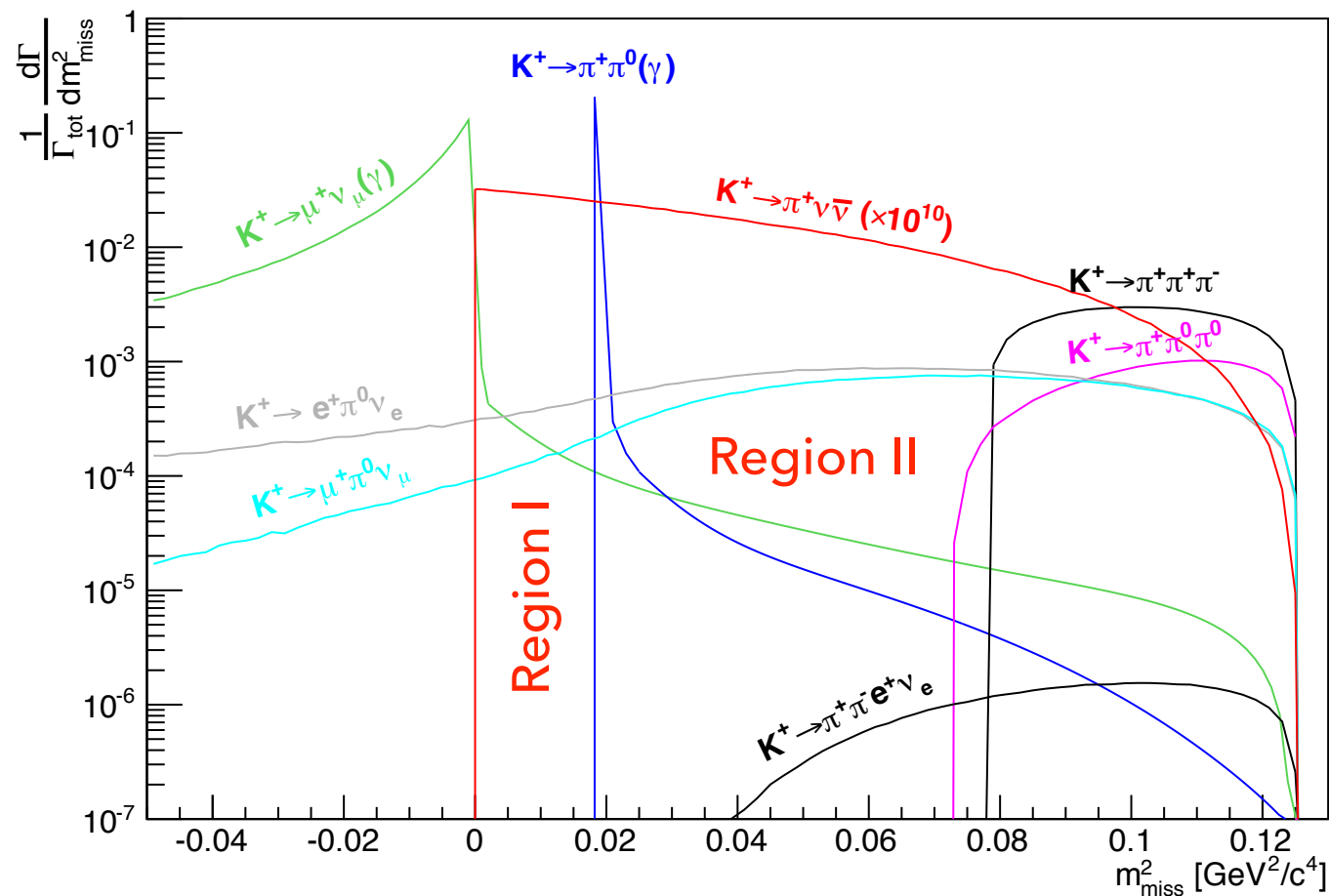
## DATA COLLECTION

- ▶ 2015: minimum bias ( $\sim 1\%$  intensity) and test data: most systems commissioned and meet the design requirement
- ▶ 2016: 3 May - 14 Nov. ( $\sim 40\%$  of nominal intensity).  
Focused on the main decay mode  $K^+ \rightarrow \pi^+ \nu \nu$ , but can be used also for other rare/forbidden decays:  $K^+ \rightarrow \pi l l$  ( $l=e, \mu$ ),  $\pi^0 \rightarrow \nu \nu$ ,  $K^+ \rightarrow l^+ N$ ,  $K^+ \rightarrow \pi^+ A', \dots$
- ▶ 2017: data taking started in May
- ▶ 2018: data taking approved

# THE STRATEGY

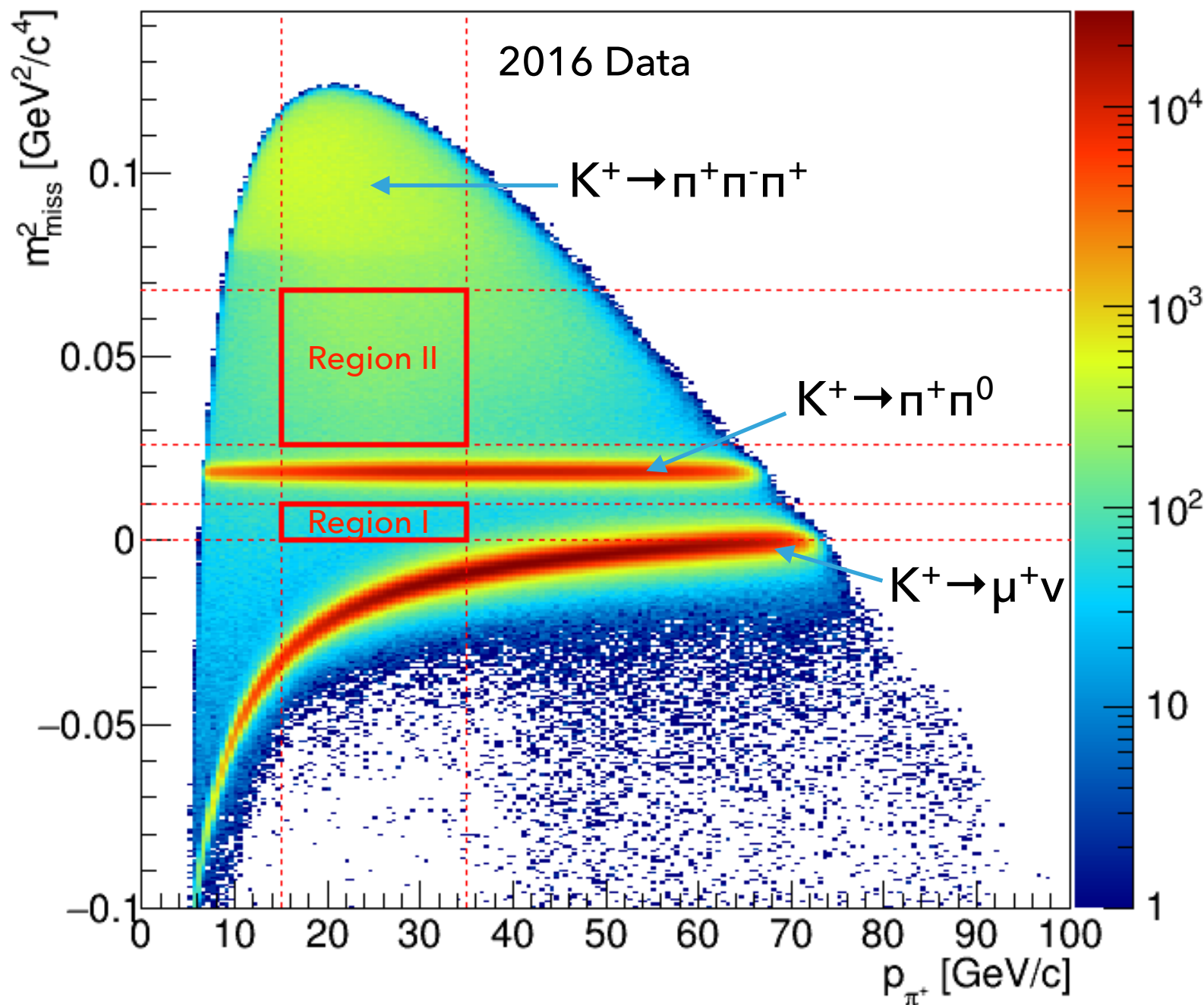
NA62 is expected to collect  $O(100)$  SM events with  $<20\%$  background in three years of data taking  $\Rightarrow$  must have order of  $10^{12}$  background rejection:

- Isolate signal decays based on missing mass (high rejection by kinematics)
- Use veto to reject other background



$$M_{miss}^2 = (P_K - P_\pi)^2$$

# SIGNAL REGIONS

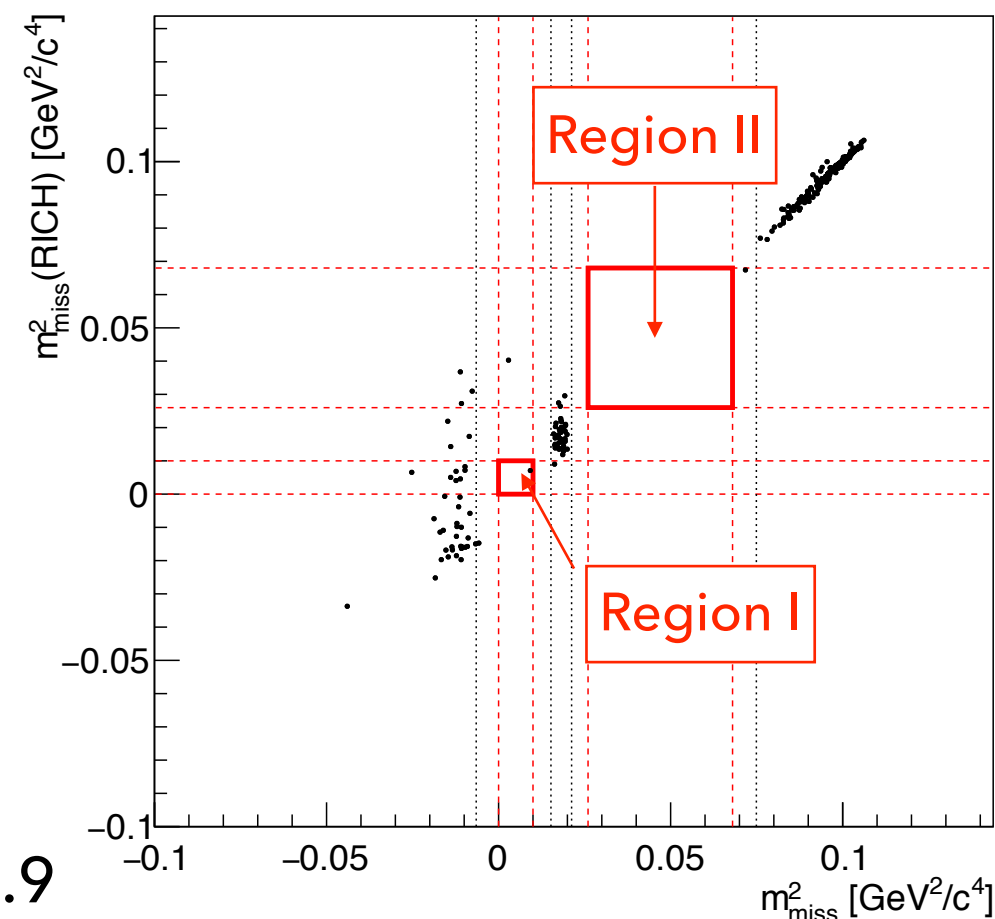


- Design kinematical resolution on  $m_{\text{miss}}^2$  has been achieved ( $10^{-3}$  GeV<sup>2</sup>/c<sup>4</sup>)
- Measured kinematical background suppression:  $6 \times 10^{-4}$  ( $K^+ \rightarrow \pi^+ \pi^0$ ),  $3 \times 10^{-4}$  ( $K^+ \rightarrow \mu^+ \nu$ )
- Further background suppression:
  - PID (calorimeters/cherenkov detectors):  $\mu$  suppression  $< 10^{-7}$
  - Hermetic photon veto:  $\pi^0 \rightarrow \gamma\gamma$  suppression  $< 10^{-7}$



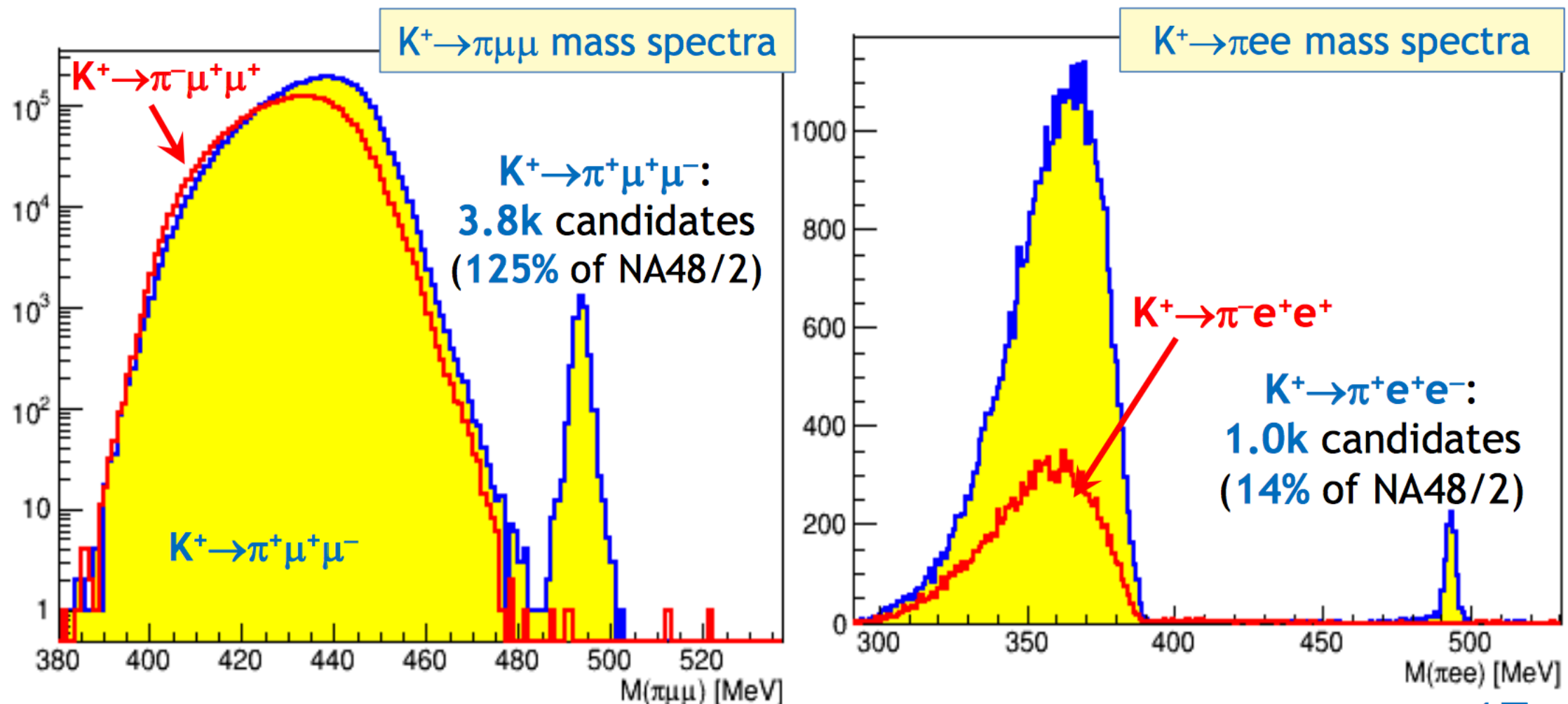
## PRELIMINARY RESULTS

- ▶ 5% of 2016 data:  $2.3 \times 10^{10}$  kaon decays
- ▶ No events found in the signal regions
- ▶ Expect 1.3 SM events from full 2016 data set
- ▶ Preliminary statements on background:  $B/S < 0.9$
- ▶ Analysis in progress to increase signal acceptance and improve background suppression



## 2016 DATA BEYOND THE "GOLDEN" MODE

- ▶ Dedicated triggers for 3-track decays with leptons
- ▶ Expect to improve world limits on LFV/LNV  $K^+$  and  $\pi^0$  decays



# SEARCH FOR HEAVY NEUTRINO WITH 2015 DATA

# WHY DO WE NEED HNL?

Neutrino  
oscillation



Baryon asymmetry of  
the Universe



Dark matter and dark energy



$\nu$ MSM: SM + 3 right-handed neutrinos  
 $m_1 \sim 10 \text{ keV}$   
 $m_{2,3} \sim 100 \text{ MeV} - 100 \text{ GeV}$

T. Asaka and M. Shaposhnikov  
 Phys. Lett. B620, 17 (2005).

**There is new physics beyond the Standard Model,  
 but we don't know exactly what is it**



## HOW TO FIND HNL?

- Meson decays



Search for extra peaks in lepton distributions (momentum, energy, missing mass, ...)

$$\Gamma(M^+ \rightarrow l^+ \nu_H) = \rho \times \Gamma(M^+ \rightarrow l^+ \nu_l) \times |U_{lH}|^2$$

R.E. Shrock, Phys. Rev. D24, 1232 (1981)

- Heavy neutrino decays

“Nothing”  $\rightarrow$  leptons and hadrons

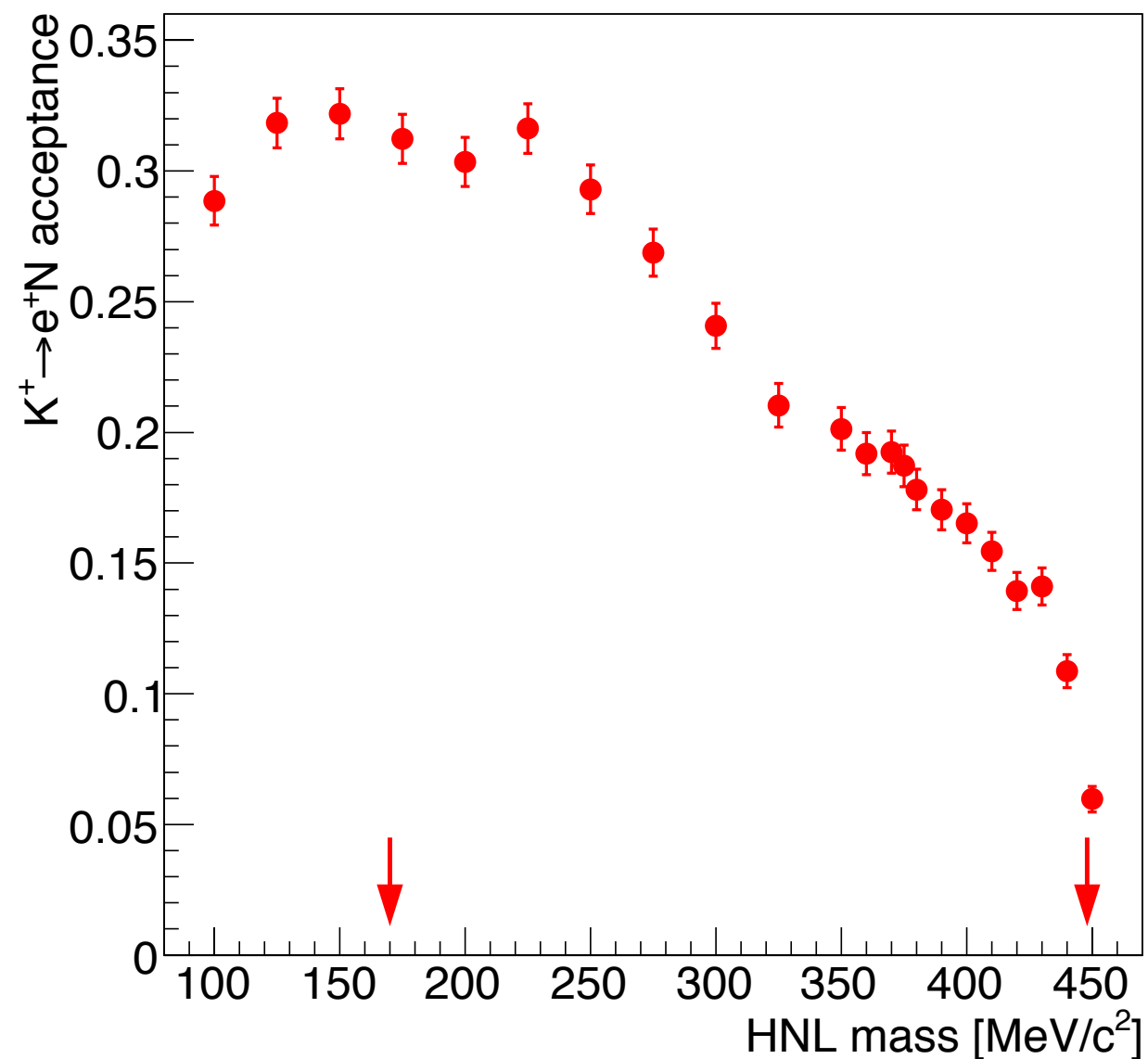
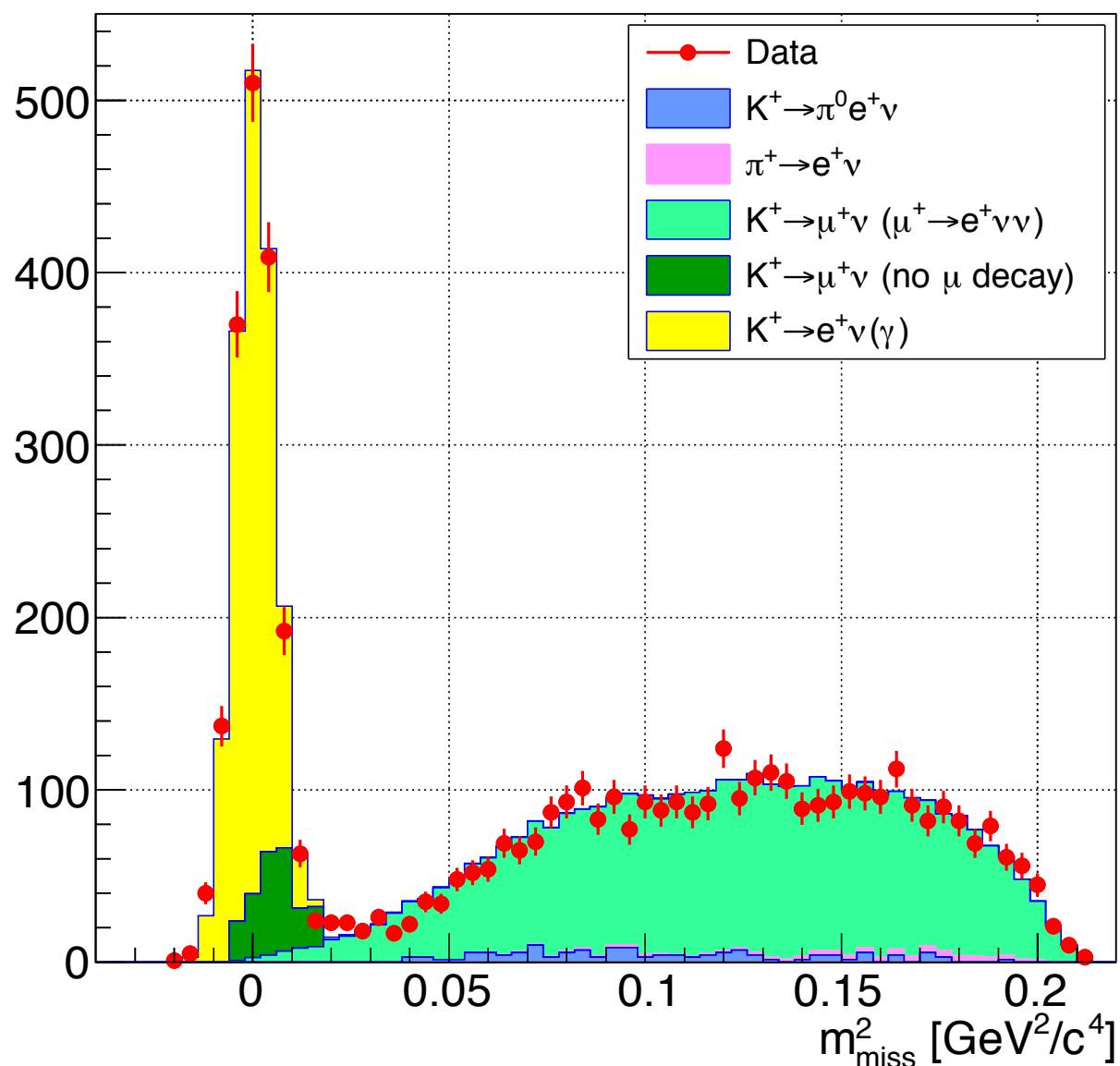
$$\nu_H \rightarrow e^+ e^- \nu_\alpha, \nu_H \rightarrow \mu^\pm e^\mp \nu_\alpha, \nu_H \rightarrow \mu^+ \mu^- \nu_\alpha,$$

$$\nu_H \rightarrow \pi^0 \nu, \pi e, \pi \mu, K e, K \mu, \dots$$



## DATA SAMPLE

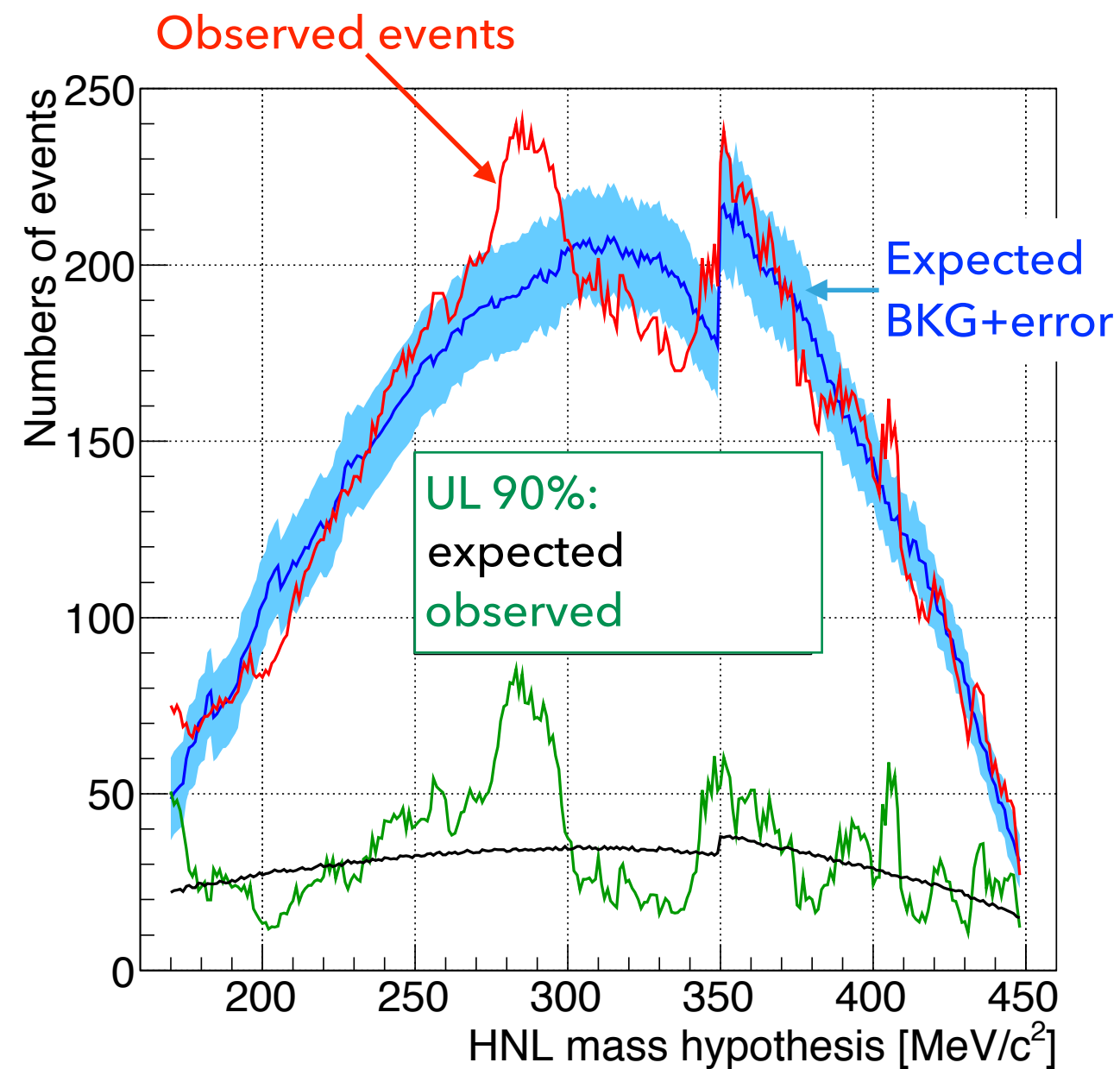
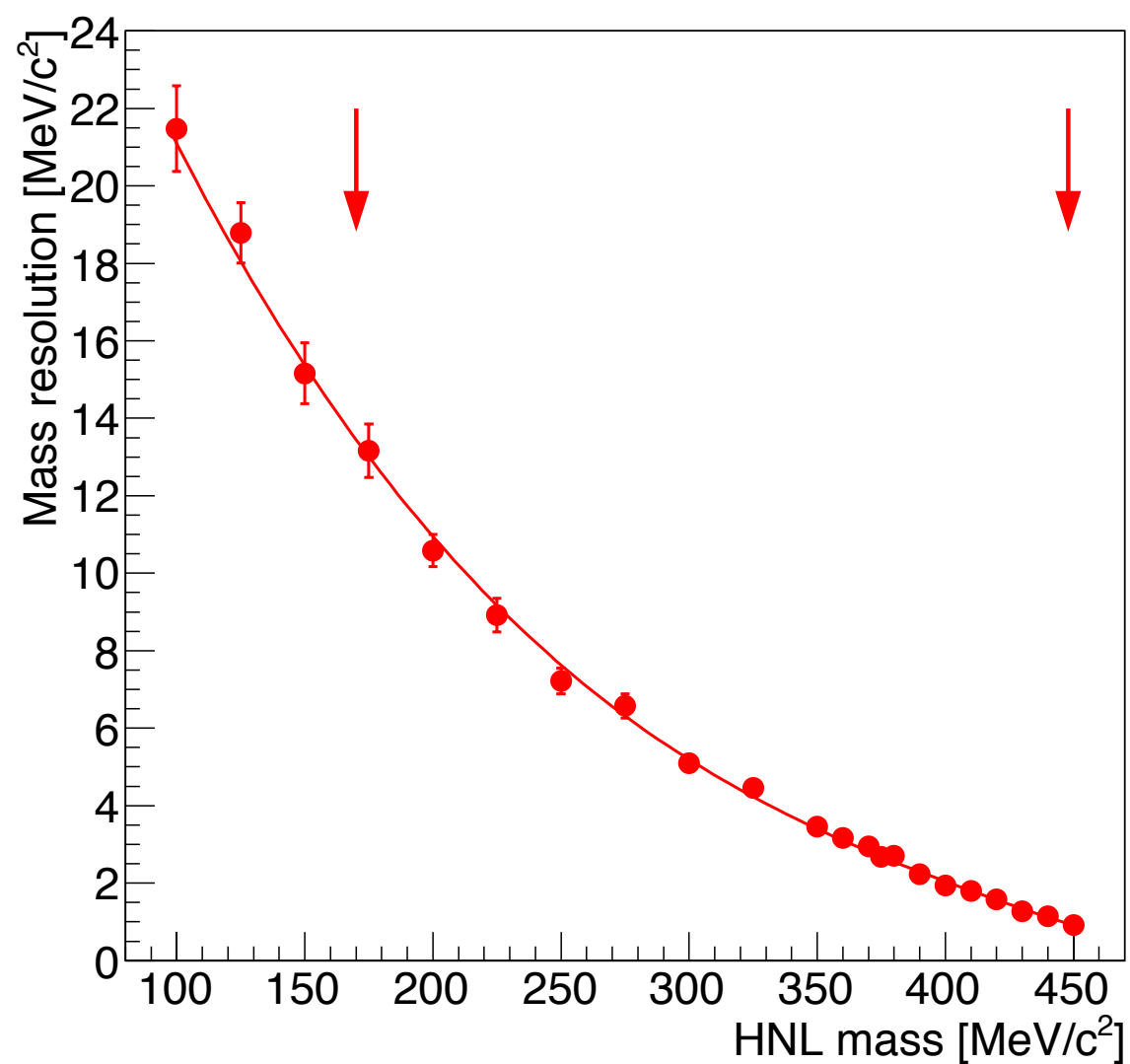
- ▶ Minimum bias ( $\sim 1\%$  intensity) in 2015
- ▶ Kaon decays in FV:  $(3.01 \pm 0.11) \times 10^8$
- ▶ Beam tracker is not available: kaon momentum is estimated as beam average



## PEAK SEARCH

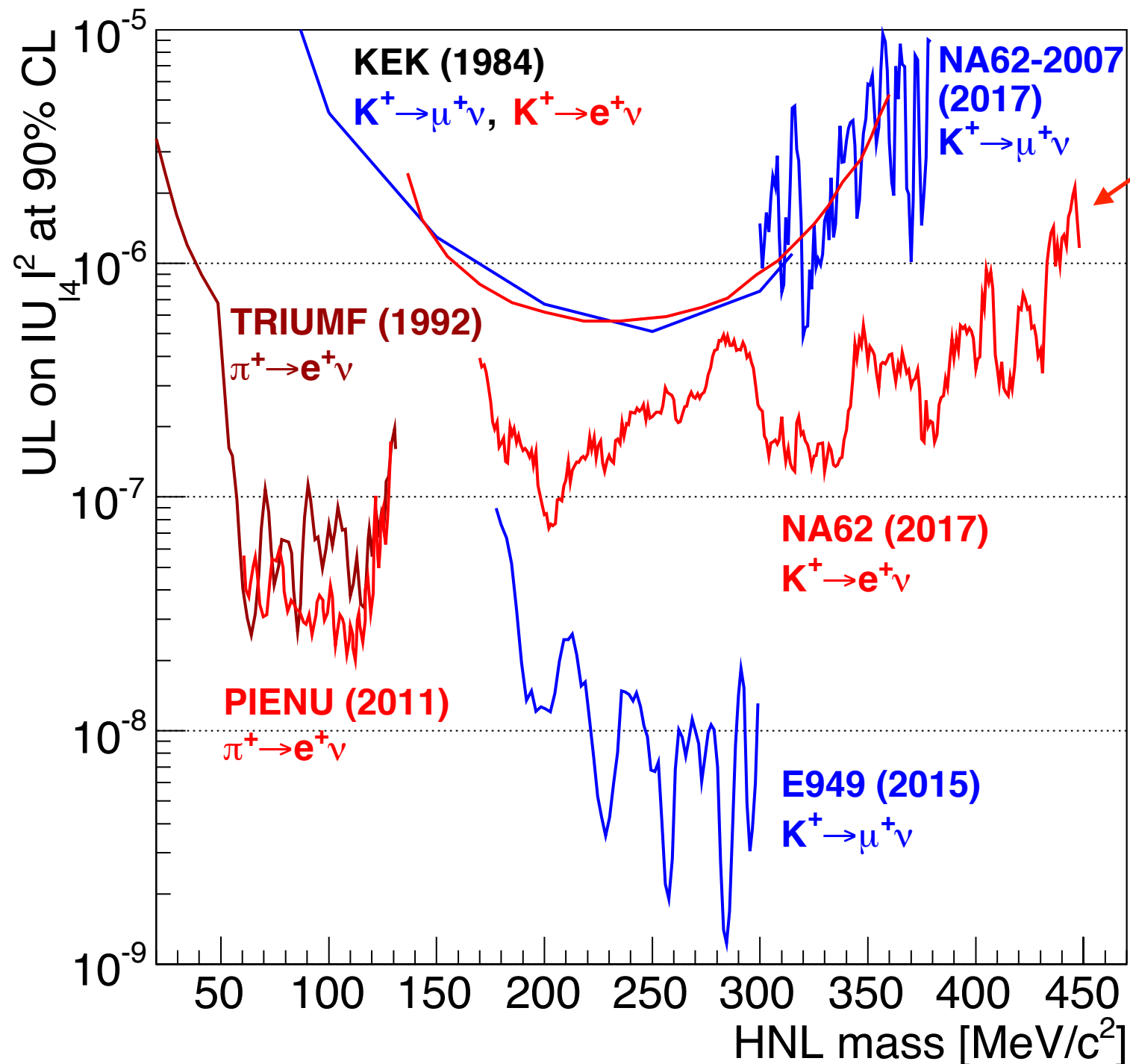
- ▶ Scan region  $170 < m < 448 \text{ MeV}/c^2$ , mass step =  $1 \text{ MeV}/c^2$
- ▶ Signal search window for each mass hypothesis  $\pm 1.5\sigma$
- ▶ Background estimate: polynomial fit outside signal window
- ▶ Background stat. errors are estimated with MC
- ▶ Upper limit for each mass is obtained from numbers of observed and expected events and their uncertainties

# RESULTS



Local signal significance never exceeds  $3\sigma$ : **no heavy**  
**neutrino signal is observed**

## RESULTS



New result!

- Reached  $10^{-6}$ – $10^{-7}$  limits on  $|U_{e4}|^2$  in the 170–448 MeV/c<sup>2</sup> mass range
- Major improvements are expected with high intensity 2016 data

## CONCLUSION

- ▶ Detector is fully operated since Sept.2016 and data is taking now @50% of nominal intensity
- ▶  $\sim 10^{11}$  kaon decays has already collected in 2016
- ▶ The  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  is on-going and  $O(1)$  SM events are expected from total 2016 data sample
- ▶ First physics result from 2015 minimum bias data: search for heavy neutrino production in  $K^+ \rightarrow e^+ N$  decays in mass range 170–448 MeV/ $c^2$ : no observed signal, set upper limits at  $10^{-6}$ – $10^{-7}$  level