



The NA62 experiment -

Results from 2014 Pilot Run

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on behalf of the NA62 Collaboration

XXII International Workshop
on High Energy Physics and Quantum Field Theory

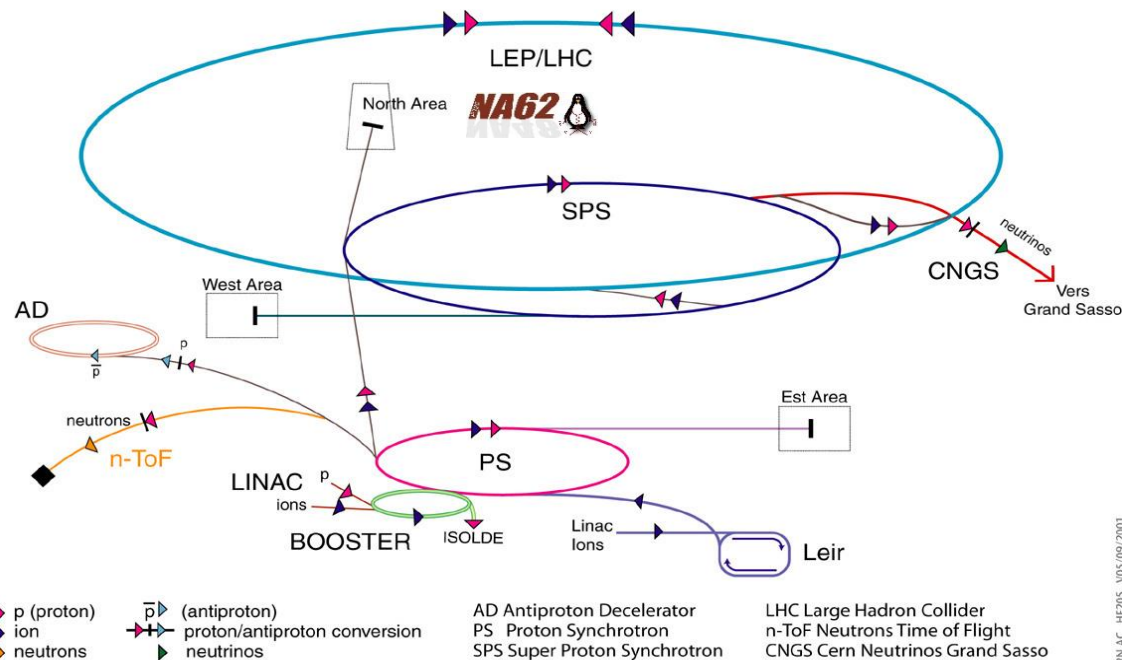
24th June - 1st July 2015, Samara, Russia



The NA62 experiment



- ❑ **Fixed target** experiment at the North Area of CERN SPS.
- ❑ **Kaons in flight** decay technique
- ❑ **Main goal:** Measurement of $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ with 10% accuracy, collection $O(100)$ SM events in 3 years data taking
- ❑ **The NA62 Collaboration** - 13 countries, 32 institutions, 238 participants
(Belgium, Bulgaria, Canada, Czech Republic, Germany, Italy, Mexico, Romania, Russia, Slovakia, Switzerland, United Kingdom, USA)



December 2008: NA62 Approval

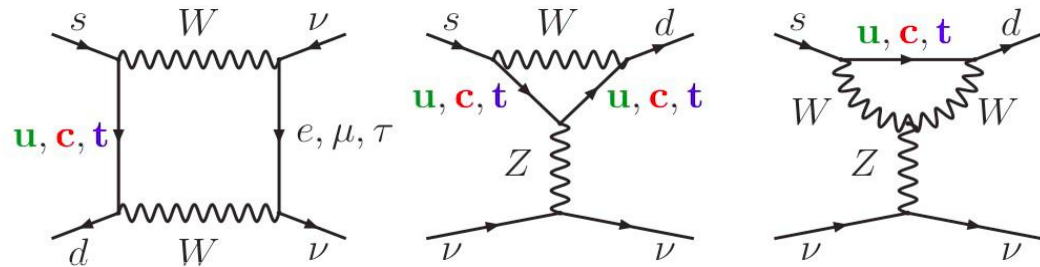
2009-2012: detector R&D
October 2012: Technical Run (partial layout)

2013-2014: detector installation
October 2014: Pilot Run (full layout)

2015 - 2016 - 2017 Physics Runs

Motivations for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ study

Box and penguin (one-loop) diagrams



Contributions to the BR ratio:

- (dominant) t-quark part (NLO QCD, 2-loop EW corrections);
- (small) c-quark part (NNLO QCD, NLO EW corrections);

- Long Distance (LD) correction;
- Hadronic matrix element extracted from well-known decay $BR(K^+ \rightarrow \pi^0 e^+ \nu)$

Extremely precise theoretical predictions:

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (9.11 \pm 0.72) \times 10^{-11}$$

$$BR(K^0 \rightarrow \pi^0 \nu \bar{\nu}) = (3.00 \pm 0.30) \times 10^{-11}$$

SM predictions: [A.J. Buras, D. Buttazzo, J. Girrbach-Noe and R. Knegjens, arXiv:1503.02693]

Uncertainty:

- CKM parametric, dominated by V_{cb}

Experimental status and $K \rightarrow \pi \nu \bar{\nu}$ sensitivity to New Physics

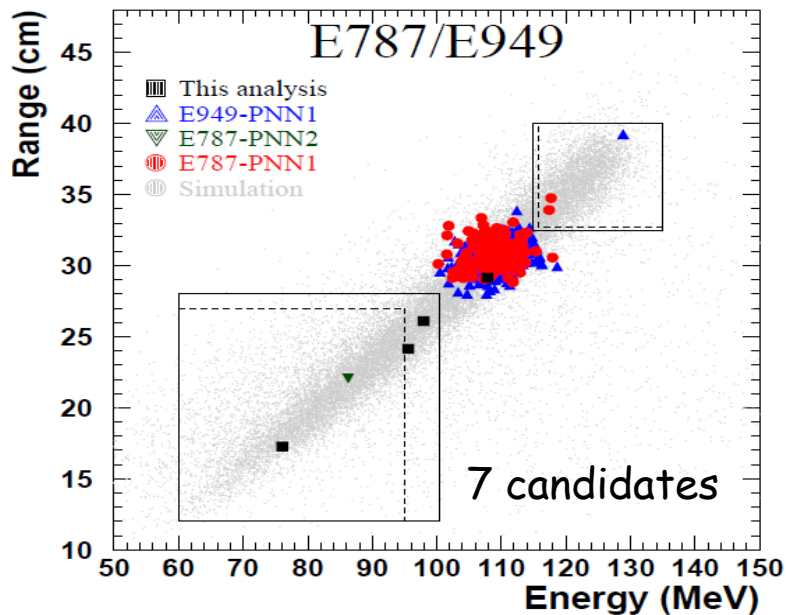


E787/E949 experiment @ BNL

(stopped kaon technique)

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{THEORY}} = (0.91 \pm 0.07) \times 10^{-10}$$

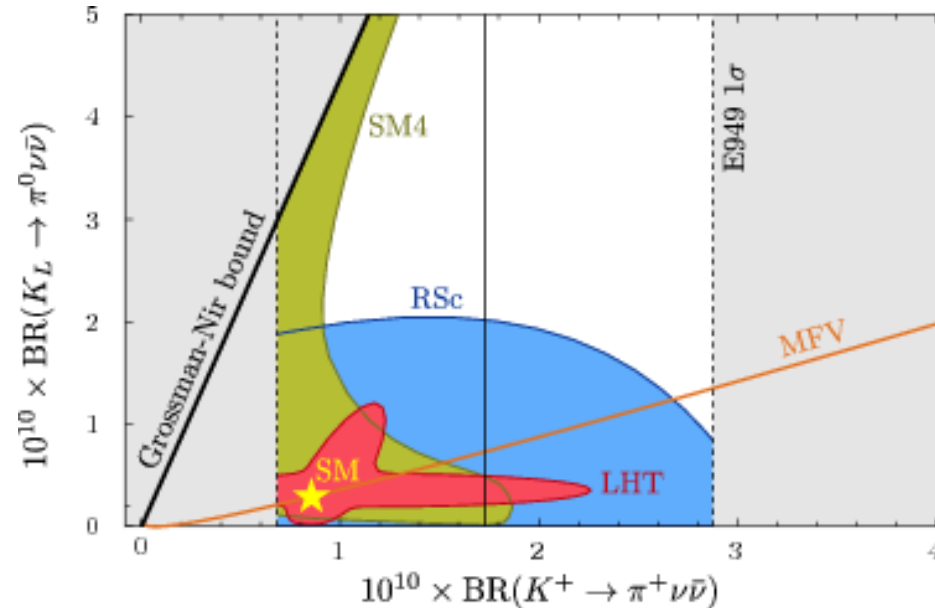
$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{exp}} = (1.73^{+1.15} - 1.05) \times 10^{-10}$$



[E787/E949, Phys.Rev.Lett.101, 191802, 2008]

Searches for NP in $K \rightarrow \pi \nu \bar{\nu}$:

- Complementary to LHC
- Several scenarios possible
- Measurements of charged and neutral mode will allow to discriminate between different NP scenarios



Grey: ruled out

SM: Standard Model

SM4: SM with 4th generation

RSc: custodial Randall-Sundrum

LHT: littlest Higgs with T-parity

MFV: minimal flavor violation

D. Straub @ CKM-2010
arXiv: 1012.3893

Requirements to the NA62



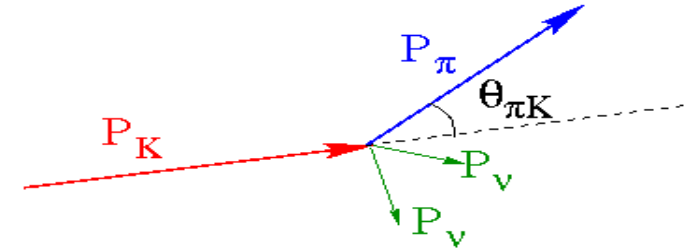
- Goal: 10% precision branching ratio measurement of $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
 - ✓ O(100) SM $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ events (3 years of data)
- Requirements
 - ✓ Statistics: $BR(SM) \sim 9 \times 10^{-11}$
 - ✦ Kaon intensity (3 years): 10^{13} K^+ decays
 - ✦ Detector Acceptance: $\sim 10\%$
 - ✓ Systematics:
 - ✦ Signal purity: $>10^{12}$ background rejection ($<20\%$ bgr) \rightarrow Signal / BGR ~ 10
 - ✦ $<10\%$ precision background measurement
- Technique
 - ✓ "High" momentum K^+ beam (75Gev/c)

Experimental strategy

➤ Signal

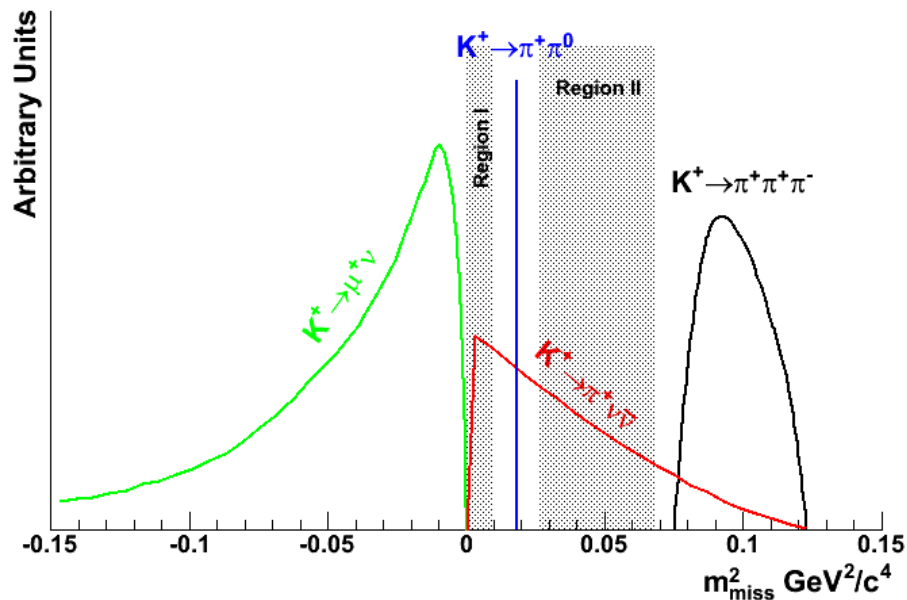
Main kinematic variable: $m_{\text{miss}}^2 = (\mathbf{P}_K - \mathbf{P}_{\pi^+})^2$

$$m_{\text{miss}}^2 \cong m_K^2 \left(1 - \frac{|P_\pi|}{|P_K|}\right) + m_\pi^2 \left(1 - \frac{|P_K|}{|P_\pi|}\right) - |P_K| |P_\pi| \theta_{\pi K}^2$$



Precise timing needed !

The signals are measured in two regions (I and II)



Background

- K^+ decay modes
- Accidental single track matched with a K-like track
- Beam-gas and upstream interactions

Signal signature:

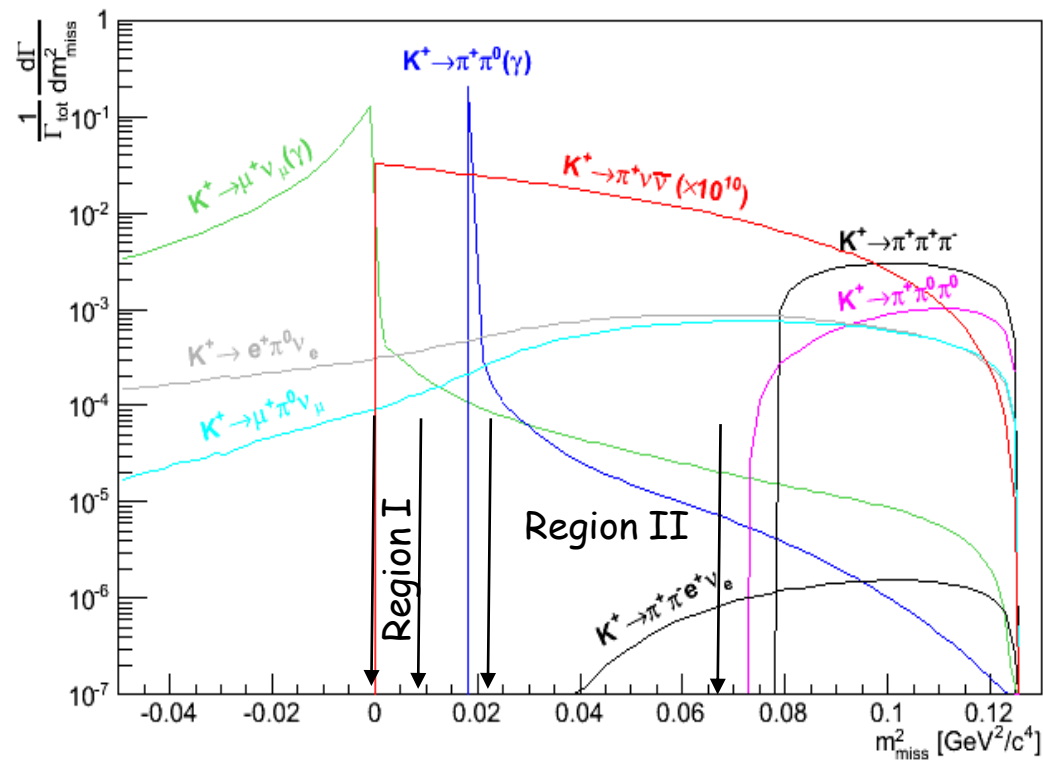
- Incoming high momentum (75 GeV/c) K^+
- Outcoming low momentum (<35 GeV/c) π^+ in time with the incoming K^+

Region I : $0 < m_{\text{miss}}^2 < 0.01 \text{ GeV}^2/c^4$

Region II: $0.026 < m_{\text{miss}}^2 < 0.068 \text{ GeV}^2/c^4$

Background rejection

- K^+ positive identification (CEDAR)
- π/μ separation (RICH)
- π/e separation (E/p)



Decay	BR [%]	Rejection
$K^+ \rightarrow \mu^+ \nu (K_{\mu 2})$	63.5	μ -ID+kinematics
$K^+ \rightarrow \pi^+ \pi^0 (K_{2\pi})$	20.66	γ -veto+kinematics
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	1.76	Multi-trk+kinematics
$K^+ \rightarrow \pi^+ \pi^0 \pi^0$	5.59	γ -veto+kinematics

Decay	BR [%]	Rejection
$K^+ \rightarrow \pi^0 e^+ \nu (K_{e3})$	5.07	e -ID+kinematics
$K^+ \rightarrow \pi^0 \mu^+ \nu (K_{\mu 3})$	3.35	μ -ID+ γ -veto
$K^+ \rightarrow \mu^+ \nu \nu (K_{\mu 2g})$	6.2×10^{-3}	γ -veto+kinematics
$K^+ \rightarrow \pi^+ \pi^- e^+ \nu (K_{e4})$	4.25×10^{-5}	e -ID+multi-trk
$K^+ \rightarrow \pi^+ \pi^- \mu^+ \nu (K_{\mu 4})$	1.4×10^{-5}	Multi-trk+kinematics

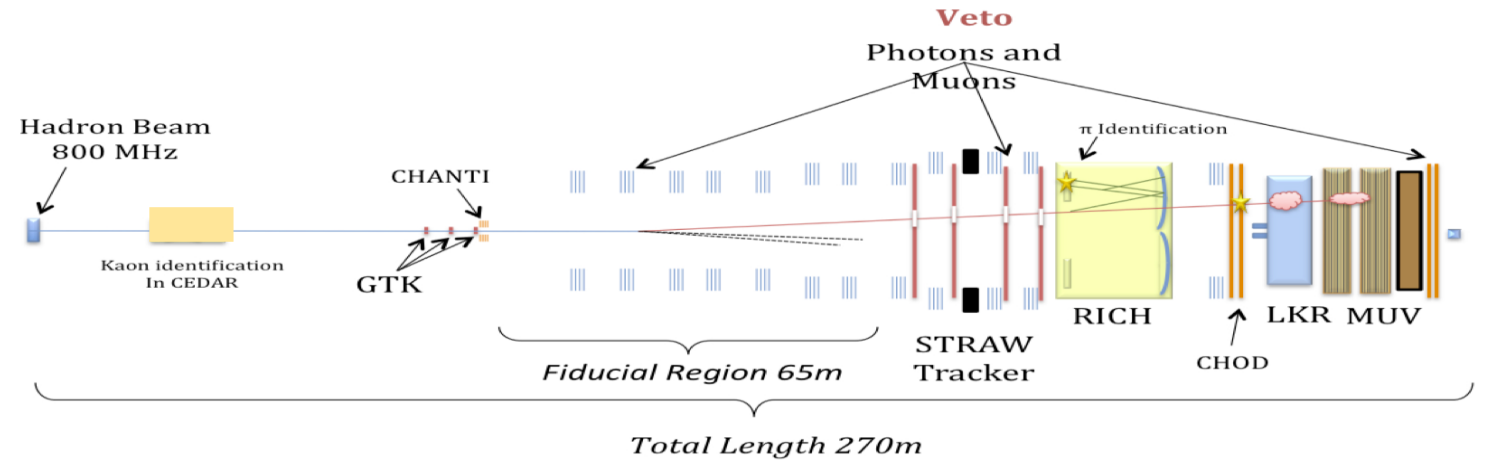
NA62 beam line & detector



Beam line

- SPS primary beam 400 GeV/c, $\sim 3 \times 10^{12}$ p per pulse on target
- Secondary (unseparated) hadron beam 75 GeV/c, ~ 780 M particles/s (p/K/ π)
- 4.8×10^{12} K decays/year

- The CEDAR - differential Cerenkov counter
 - K^+ components in the beam
- GTK - Gigatracker \rightarrow 3 Si micro-pixel station
 - Time, direction and momentum of the beam particle
- The STRAW Tracker \rightarrow 4 Chambers inside high vacuum ($\sim 10^{-6}$) tank
 - Coordinates and momentum of secondary charged particles from decay volume
- The RICH detector \rightarrow 17m long radiator filled with Ne gas at 1 atm,
- The MUV - Muon-Veto Detectors \rightarrow 2-part hadron calorimeter, iron and a transversally-segmented hodoscope
 - Separate pions and muons between 15 and 35 GeV/c



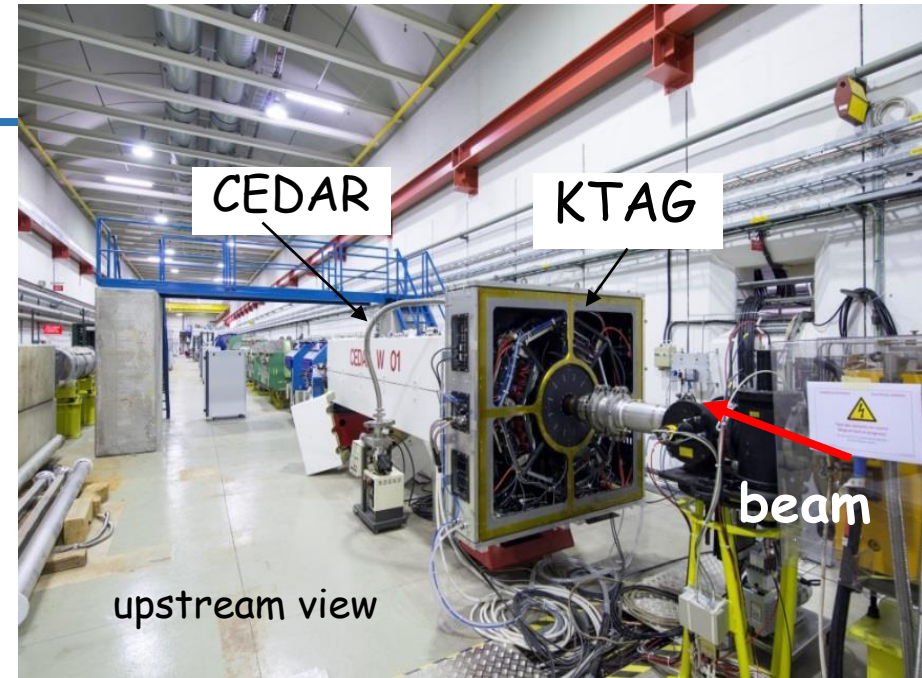
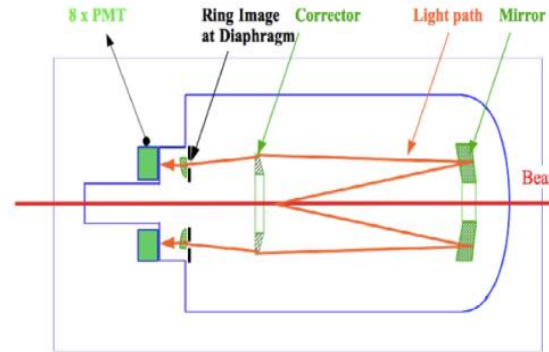
- System of Photon-Veto detectors - hermetic coverage 0-50 mrad angles from the decay region
 - The LKR - high resolution Liquid Krypton electro-magnetic calorimeter
 - IRC and SAC- Intermediate Ring and Small-Angle Calorimeters
 - 12 annular photon-veto LAV detectors
- Counters CHANTI and charge-particle hodoscope CHOD
- High-performance trigger and Data-acquisition (TDAQ) system

Kaon Identification System

Secondary (unseparated) 75 GeV/c hadron beam: total rate $\sim 750\text{MHz}$
 Main components: $\sim 72\% \pi^+$, $\sim 6\% K^+$, $\sim 22\% p$

Cherenkov kaon tagger

- ChErenkov Differential Achromat Ring focus counter /CEDAR/ with Kaon TAGging detectors
- N_2 inside CEDAR
- external optics, PMs, front-end, readout
- 8 PM stations (8 PM readout)

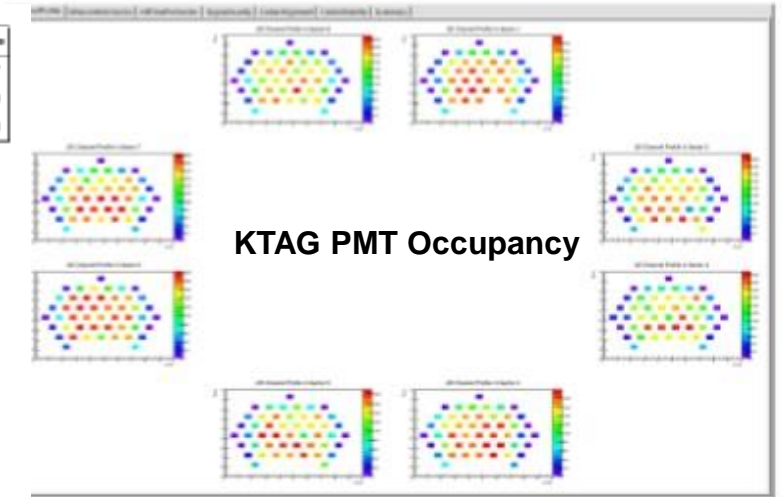
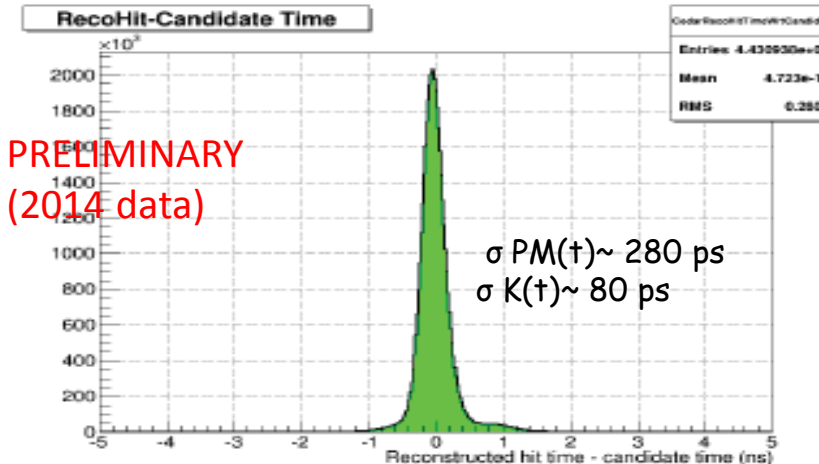


Readout and detector fully commissioned

PM occupancy

Kaon ID system (CEDAR-KTAG) requirements:

- operation with $\sim 45\text{MHz}$ kaon flux
- at least 95% K^+ ID efficiency, below 0.1% mis-tagging probability
- $< 100\text{ps}$ time resolution on K^+ crossing time
- radiation hardness (up to 1 Gy/year)



Spectrometers - beam & charged decay products

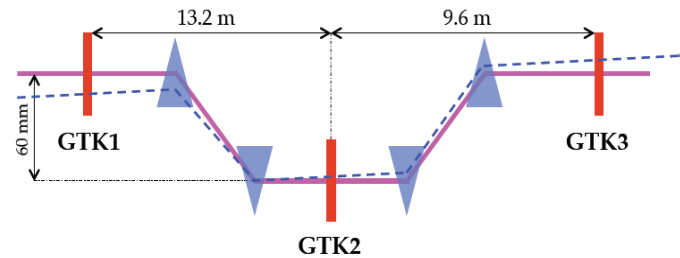
Gigatracker (GTK) – beam spectrometer

Pixel spectrometer to measure Kaons' momenta

- 3 Si pixel stations (4 achromat magnets), 10 chip/station, 18K pixel/station
- Station dimensions: 60(X)x27(Y) mm² (active area), thickness < 0.5 mm (0.5% X₀)

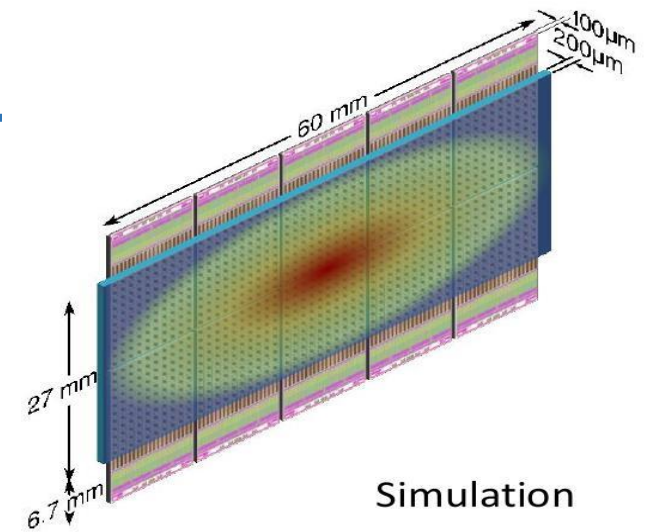
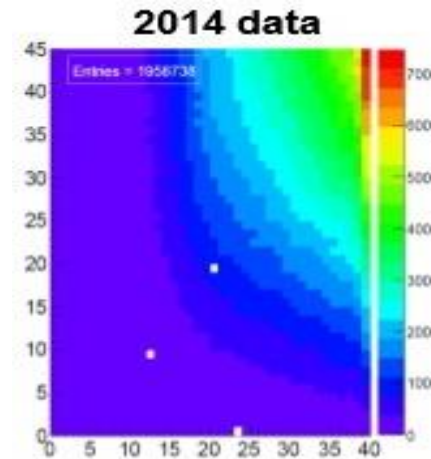
Requirements:

- Stand 750 MHz total rate (140 KHz/pixel in the center)
- 200 ps/station resolution
- $\sigma_{X,Y} \sim 16 \mu\text{rad}$, $\Delta P/P < 0.4\%$



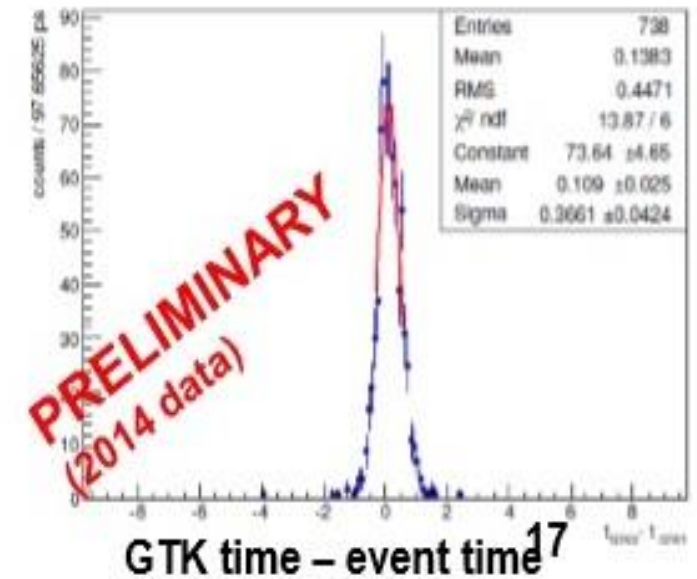
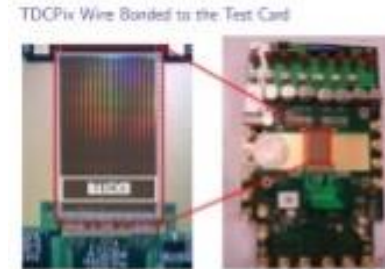
2014 Pilot run

- ✓ Readout partially commissioned (1 chip/station, no trigger matching)
- ✓ 450/250 μm technology (100 μm in 2015)
- ✓ Cooling system commissioned



Simulation

The TDCPix chip

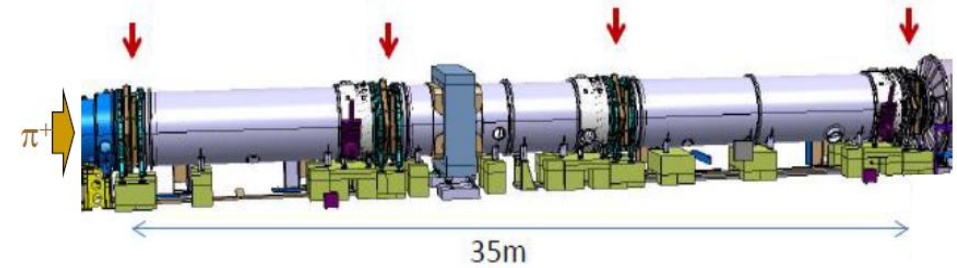


STRAW – decay products spectrometer

Momentum measurement of charged particles from K decays

- 7168 straw tubes spectrometer in vacuum
- 4 chambers, 4 views/chamber, 4 staggered layers/view, 112 Mylar straws/layer
- Material: 50nm Cu+20nm Au+36μm Mylar
- Filling gas: Ar+CO₂ (70/30%)
- 0.36 T magnet after the 2nd chamber
- Readout: TDCs+CARIOCA chip+SRBs

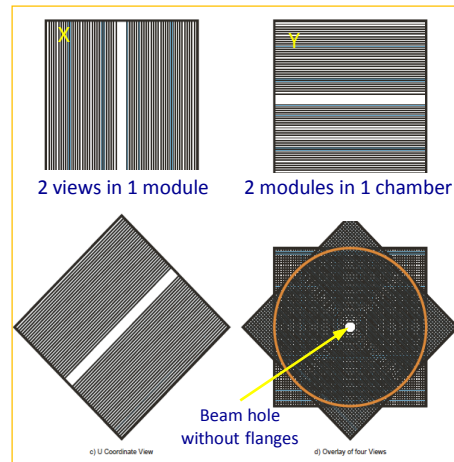
4 straw chambers in vacuum + spectrometer magnet



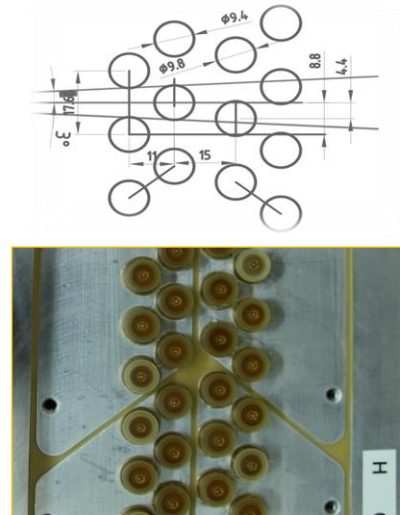
Magnet:

- $B \sim 0.36\text{T}$
- $p_T = 270\text{ MeV}/c$

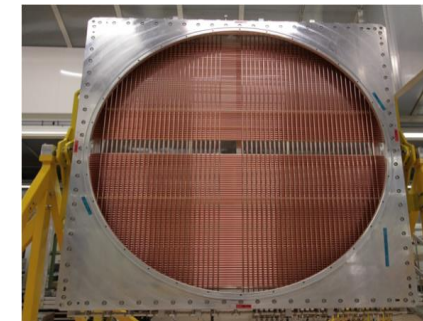
Straw detector design



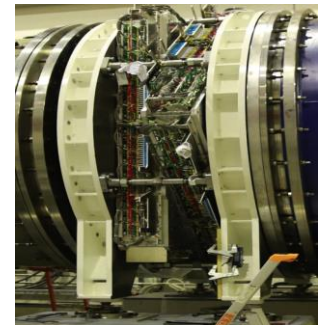
Straw view



Straw module



Straw chamber



Requirements:

- ✓ high precision (special resolution $\sim 130\ \mu\text{m}/\text{view}$)
- ✓ high efficiency
- ✓ low mass budget ($\sim 1.8\% X_0$)
- ✓ momentum resolution $dp/p \sim 0.32\% + 0.008\% * p$

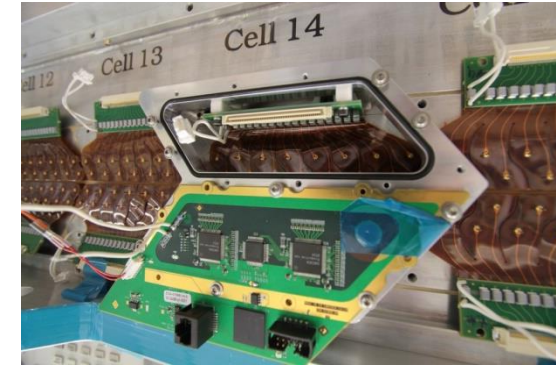
STRAW – decay products spectrometer



Installation of a Straw chamber



Straw cover (TDC+Carioca)



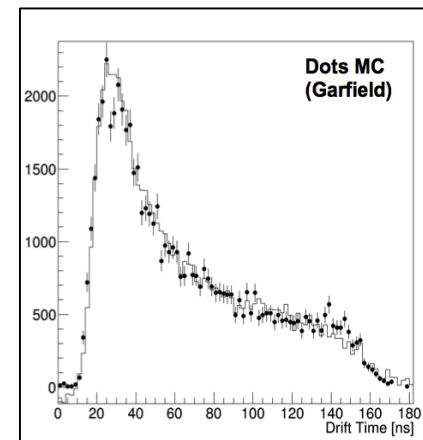
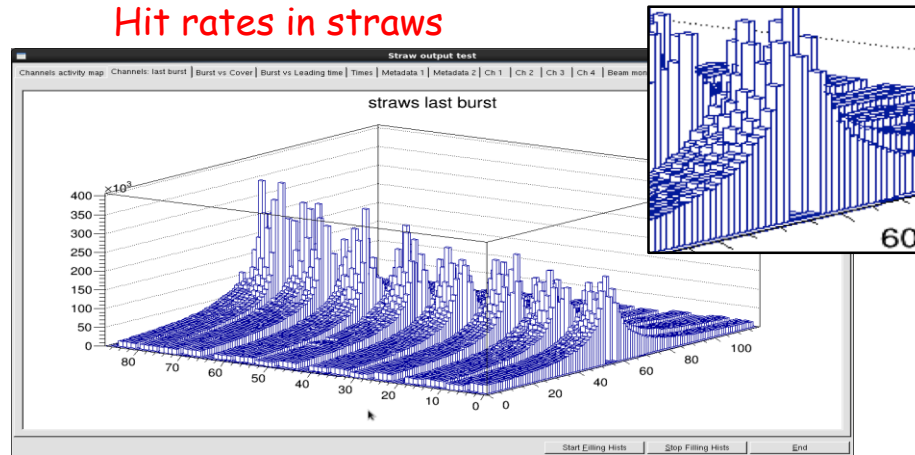
2014 Pilot run

- ✓ Triggerless readout (LO readout in 2015)
- ✓ Detector fully commissioned

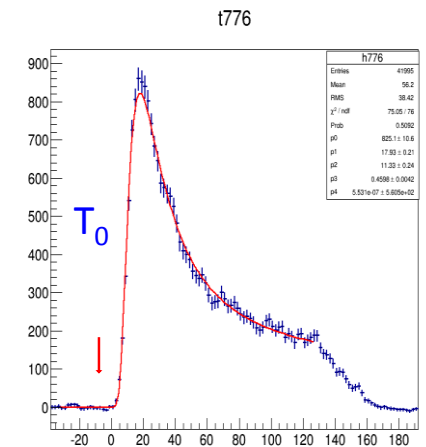
Straw trailing time (drift time)

T_0 for each channel
In progress

Hit rates in straws



PRELIMINARY
(2014 data)



Particle Identification

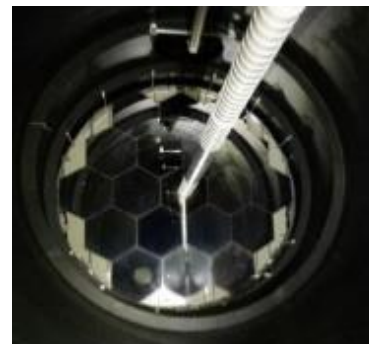
Cherenkov decay particles tagger :

- 17m long vessel filled with Ne at atmospheric pressure
- Array of 20 hexagonal mirrors focusing the light to PMs
- 2 PM flanges
- 976 PMs per flange

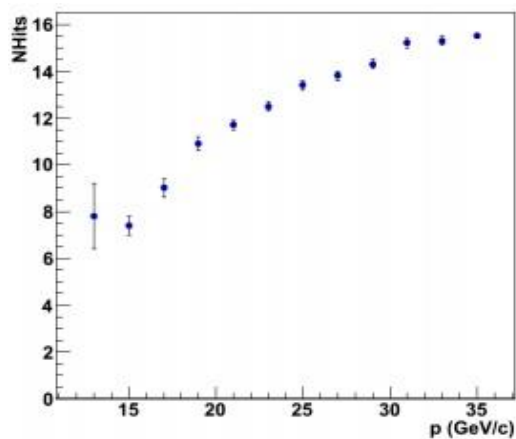
Requirements

- π/μ separation @ $5 \cdot 10^{-3}$ ($15 < P < 35 \text{ GeV}/c$)
- Time resolution $\sim 70\text{ps}$

RICH mirrors



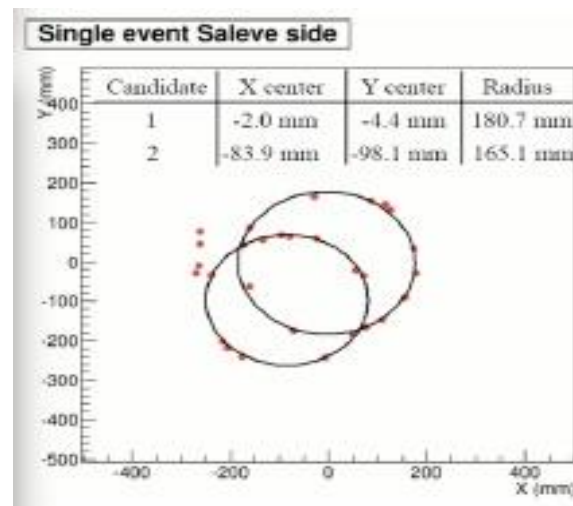
N_{photons} vs particle momentum



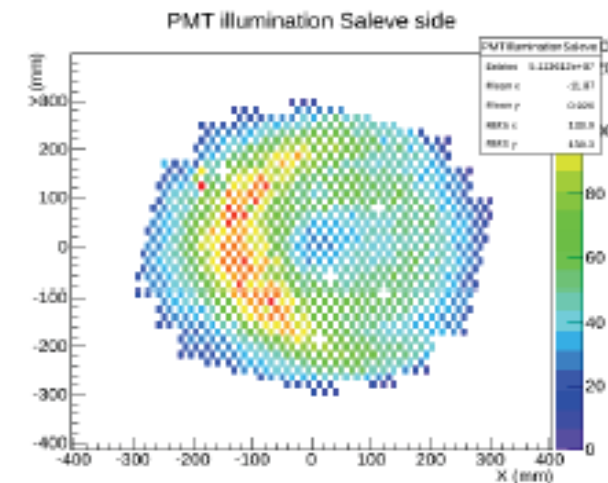
PRELIMINARY
(2014 data)

detector and readout
fully commissioned

Reconstructed rings, 2014 run



RICH PM illumination in 2014

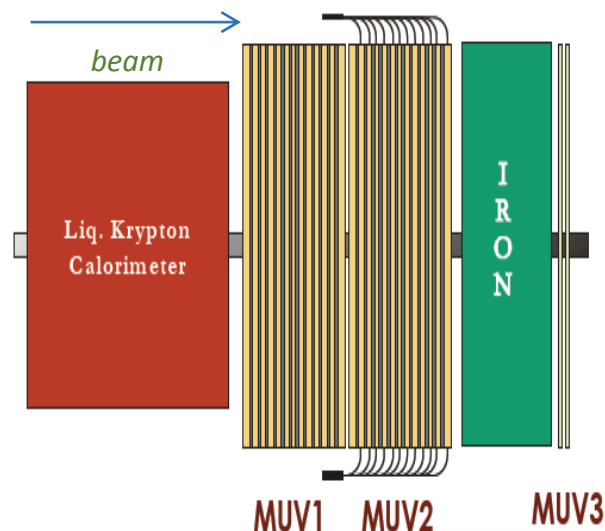


MUV 1+2 (HAC): measurement of π deposits

- Iron/scintillation sandwich
- 2 modules of iron/scintillator plates (88+176 channels)
- Readout: PMs+CREAM boards
- MUV2 reused from NA48

2014 Pilot run

- ✓ 1 module commissioned in 2014

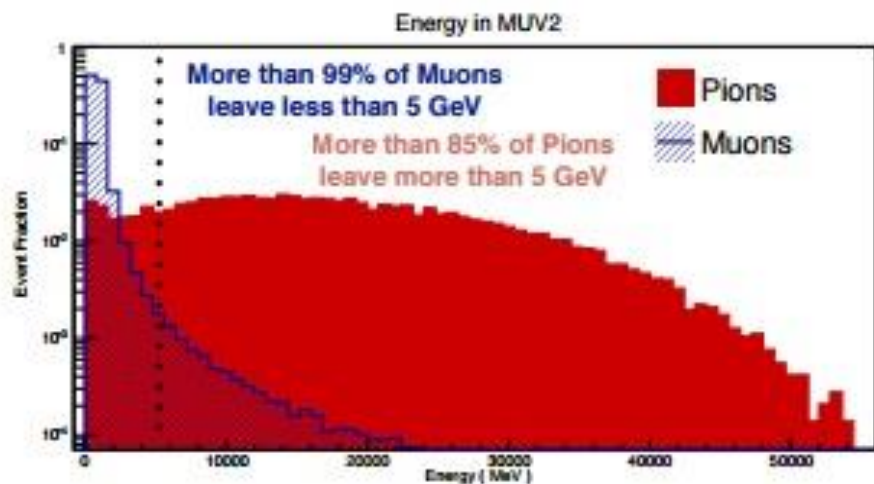


MUV3: μ tagging

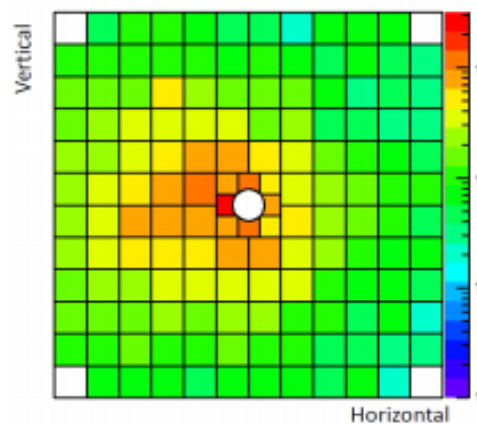
- Fast muon veto plane
- 148 scintillator tiles (2PMs per tile)
- < 500 ps time resolution

2014 Pilot run

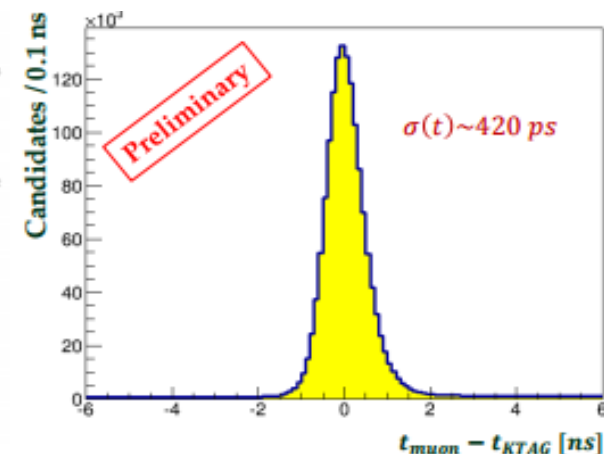
- ✓ Detector & RO commissioned



MUV3 illumination in 2014



MUV3 resolution



Photon Vetos

LAV – Large Angular Vetos

Particle veto @ large angle (8.5-50 mrad)

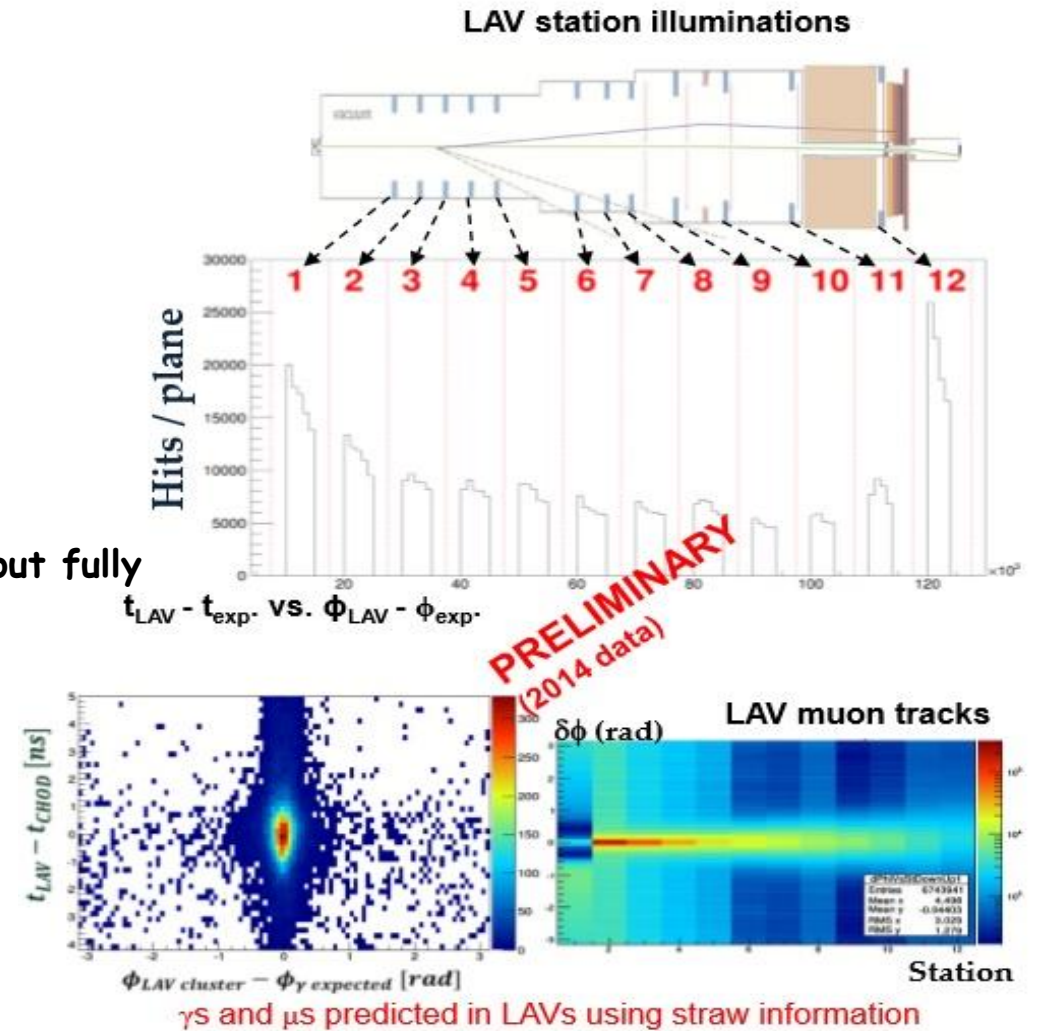
- 12 veto stations along the beamline, OPAL crystals reused
- 5/4 staggered rings/station, 32 crystals/ring
- Signal (Cherenkov light) read by PMs and discriminated using

Requirements:

- ✓ $10^{-3}/10^{-4}$ inefficiency on photons up to 150 MeV
- ✓ ~1 ns time resolution
- ✓ Rate at full intensity 1 MHz



detector and readout fully
commissioned



LKr – electromagnetic calorimeter

Forward veto (1-8.5 mrad), precision measurement of EM energy deposits

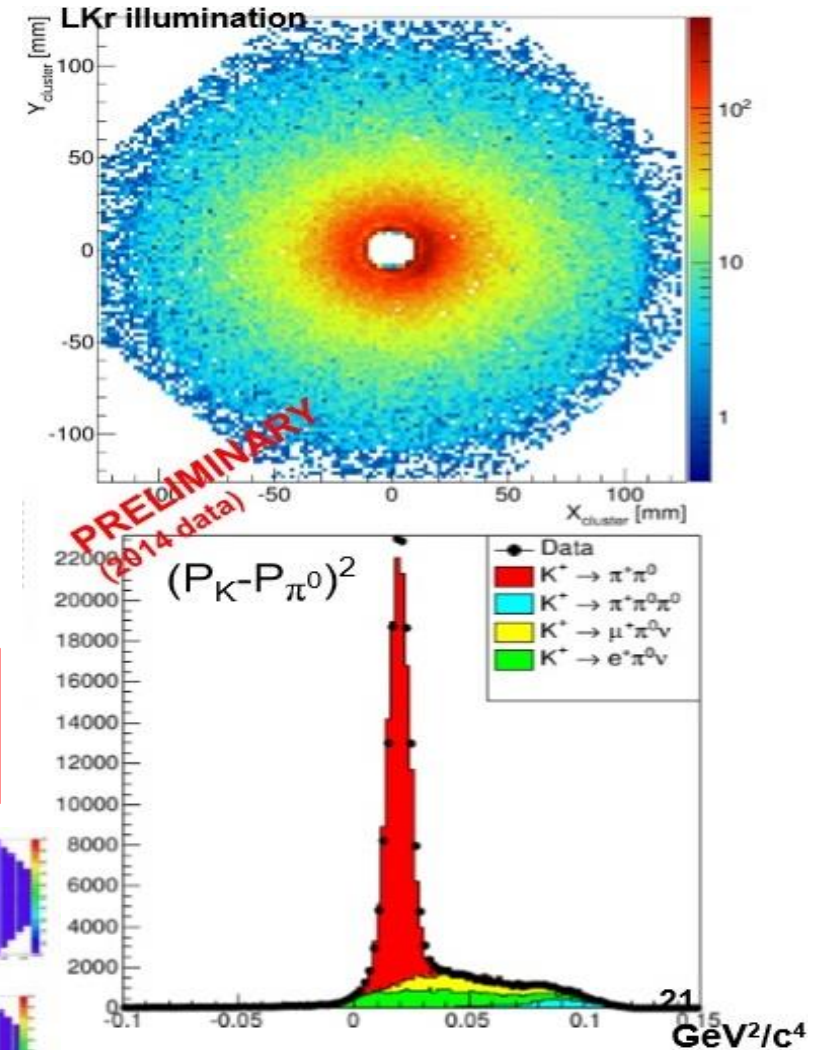
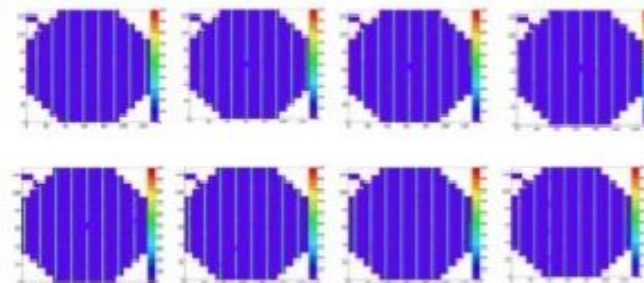
- 10 m³ Liquid Krypton calorimeter
- 1.25 m deep (27 X₀, 6.1 cm Molière radius)
- 13284 2x2 cm² cells, projecting geometry towards the kaon fiducial region
- Preamplifiers inside the LKr
- Built-in calibration system
- Detector built for NA48, new electronics (based on the CREAM board)

- ✓ < 10⁻⁵ inefficiency for photons with energy greater than 10 GeV
- ✓ Rate at full intensity 10 MHz

- ✓ **Detector refurbished and commissioned**
- ✓ **Readout fully commissioned**



LKr calibration pattern



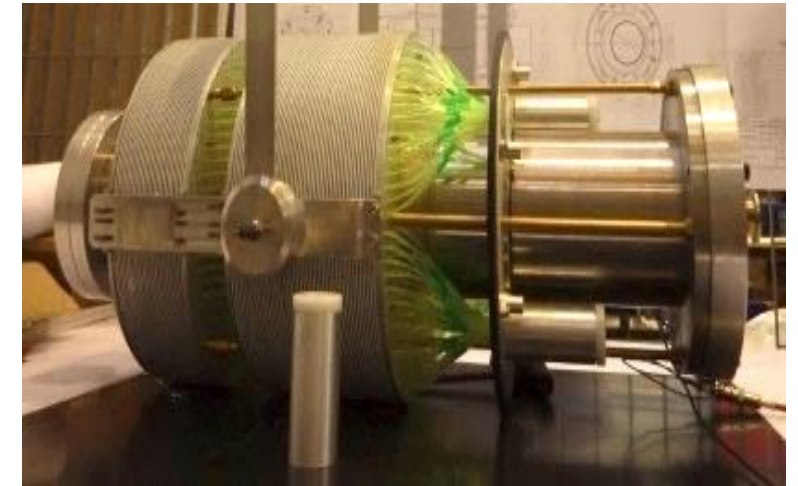
SAC & IRC: small angle veto Shashlyk calorimeters

- SAC: γ detection along the beam line (after beam deflection)
- IRC: detection of photons at very low angle in front of the LKr, radial coverage $7 \text{ cm} < R < 14 \text{ cm}$
- WLFs+PMs used for both detectors

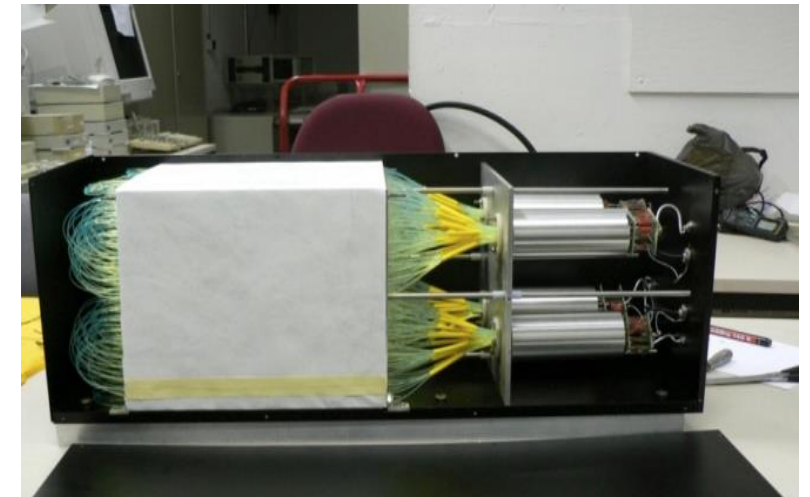
LAV + LKr + IRC + SAC:
 $\sim 10^8$ rejection of $\pi^0 \rightarrow \gamma\gamma$

detectors installed, readout partially commissioned

IRC



SAC



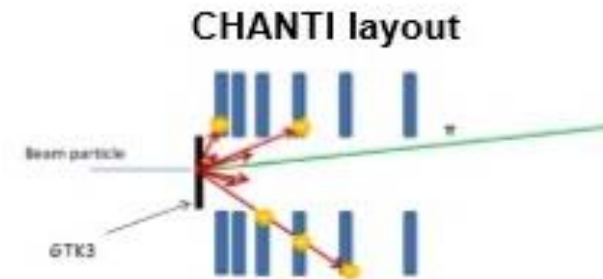
CHOD & CHANTI

CHANTI: detection of particles from inelastic interactions in GTK mimicking a Pion in time with a Kaon

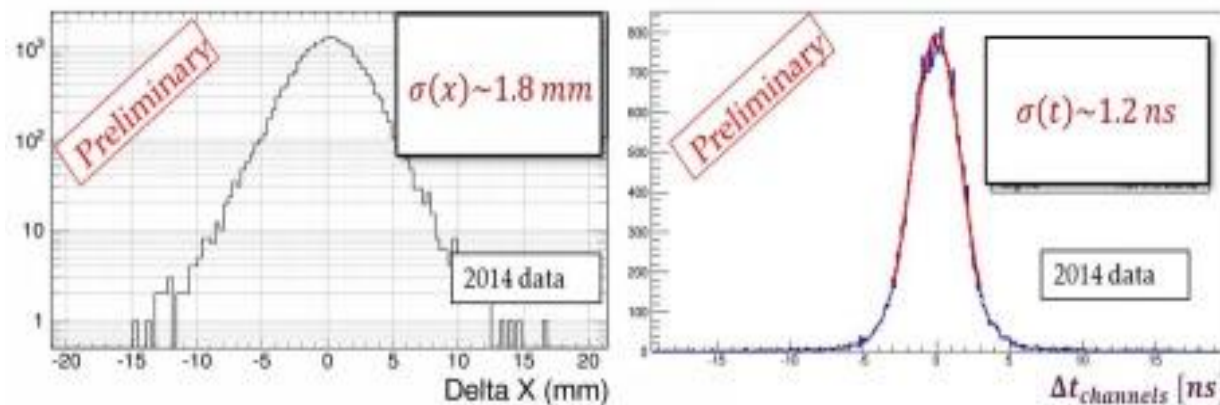
- 6 stations hermetic to charged particles between 49 and 1.31 mrad
- 22(24) scintillation bars in X(Y) for each station
- WLS fibers inside each bar, readout by SiPM on one side only (other is mirrored)
- IIs happen every $5/10^4$ (GEANT studies)

2014 Pilot run

- ✓ detector installed and aligned, readout commissioned



CHANTI X and time resolution



CHOD – charged particle hodoscope

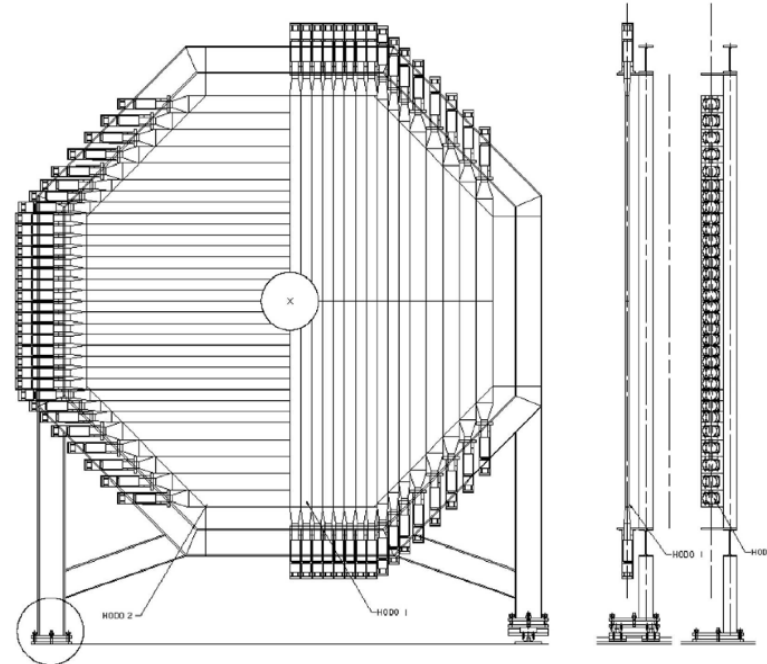
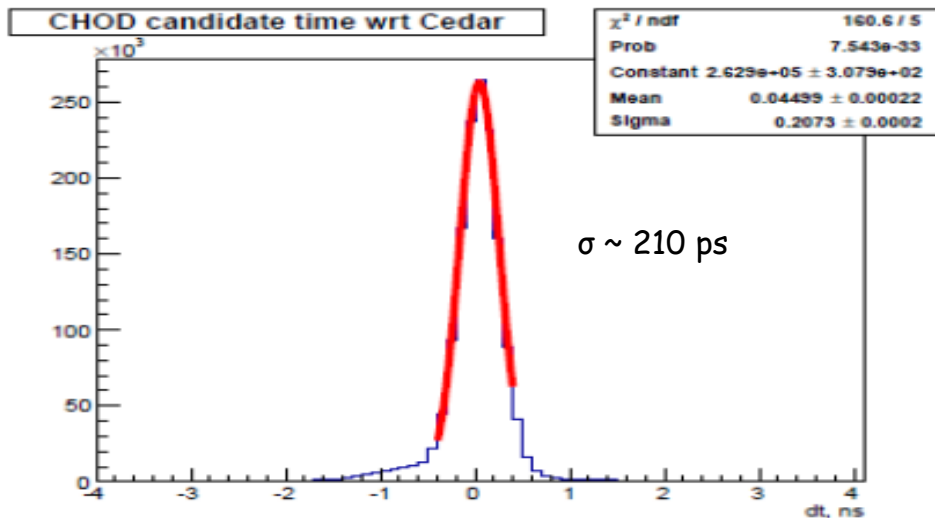
CHOD: detection tracks with precise measurements of the arrival time and impact point

- 2 planes with scintillator slabs
- 64 slabs per plane
- $X/X_0 \sim 5\%$ per plane

Requirements:

- ✓ Time resolution with impact point correction
- $\sigma_t < 400\text{ps}$

CHOD time resolution



2014 Pilot run

- ✓ Readout and detector fully commissioned

2014 Pilot Run:

- two weeks of data taking at stable conditions
- 5% of the nominal intensity
- Preliminary time alignments and calibrations

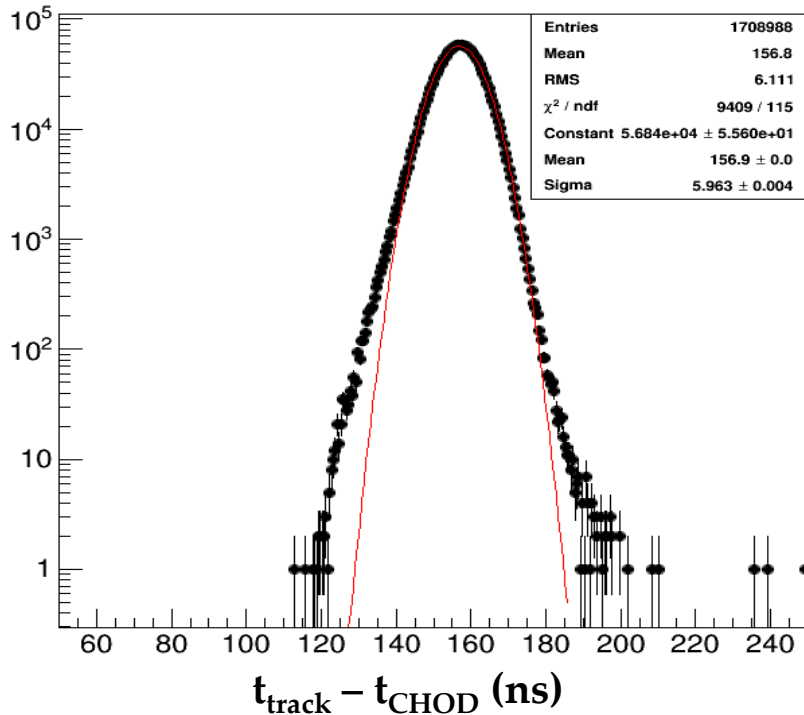
Data analysis from 2014 Pilot Run

First look at the 2014 data - Single Track Events

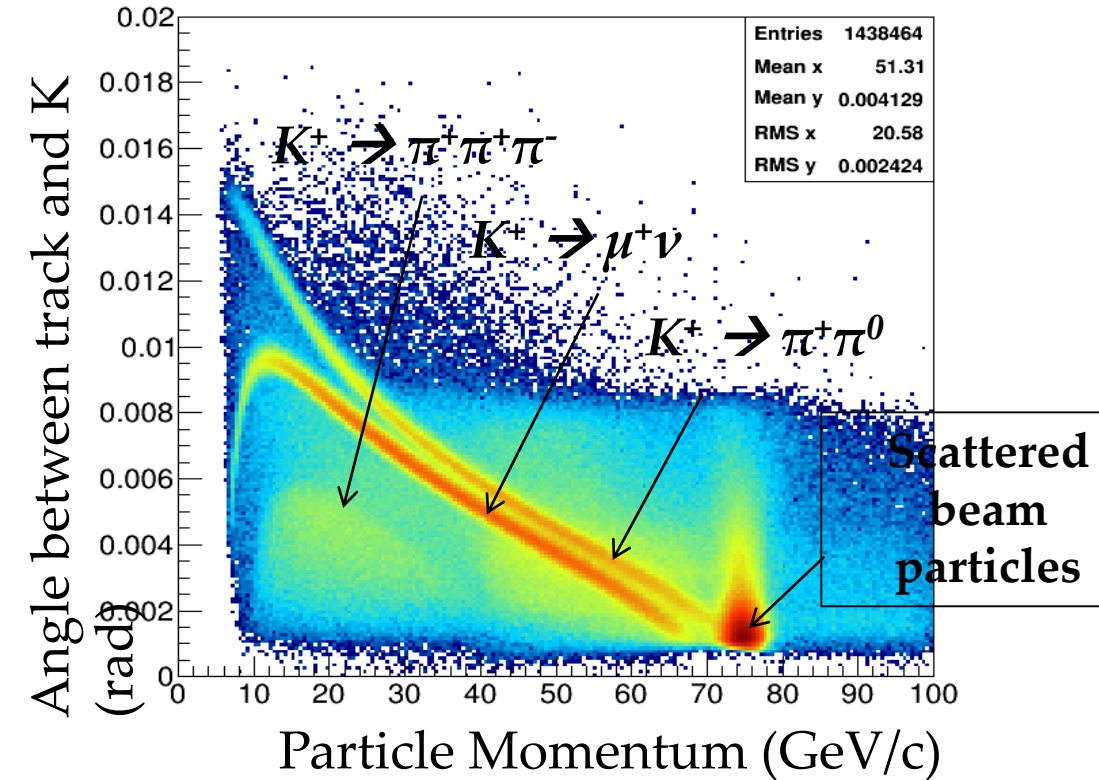


Events with only 1 reconstructed track in the spectrometer (40 ns time window)

- 10^2 muon rejection at trigger level
- KTAG rejects kaon BGR



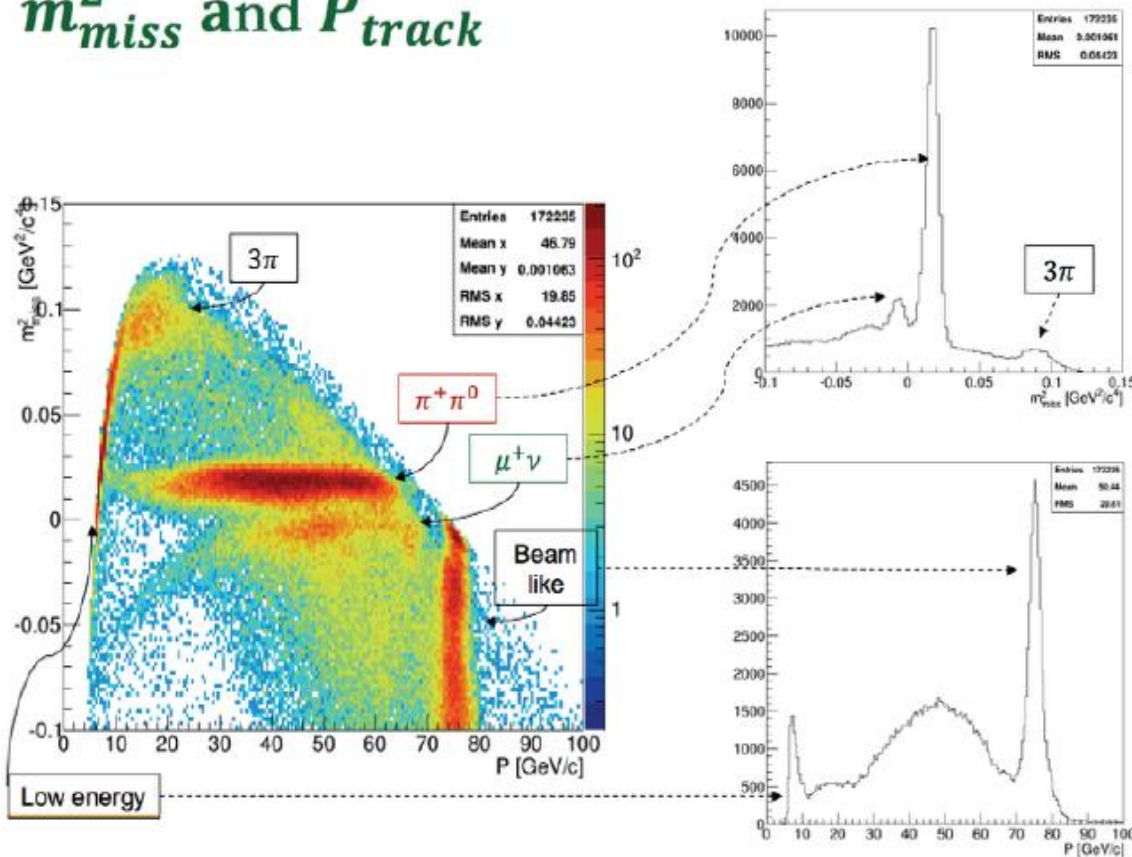
RMS = 6 ns



- ✗ Spectrometer + kaon momentum and direction assumption.
- ✗ 4 chamber tracks.

First look at the 2014 data

m_{miss}^2 and P_{track}

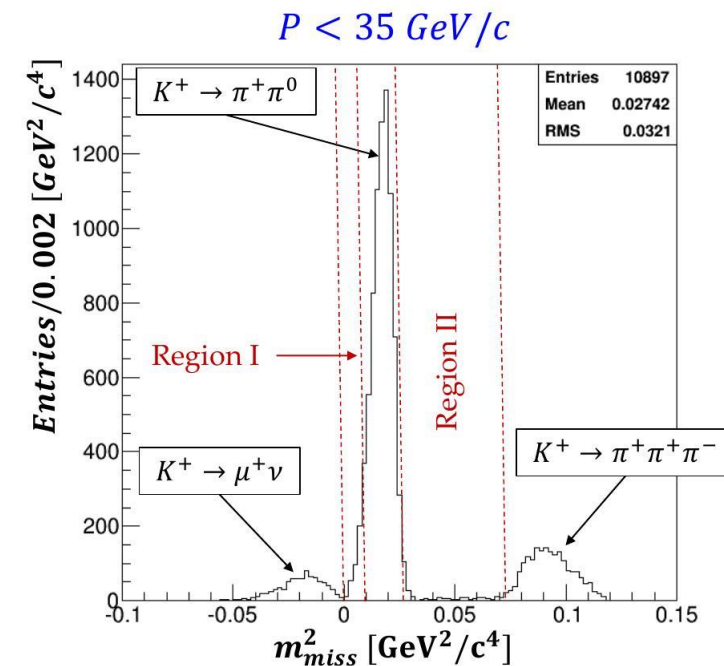


- Kaon selected in time with CHOD and Spectrometer track + geometrical acceptance

- Vertex in fiducial region

- $15 < P < 35 \text{ GeV}/c$

Resolution of the $\pi^+\pi^0$ peak - $5 \cdot 10^{-3} \text{ GeV}^2/c^4$
(vs. $3 \cdot 10^{-3} \text{ GeV}^2/c^4$ in MC)



- **The NA62 experiment 2014 pilot run has been successful**, the majority of detectors and readout systems has been commissioned
- **Nominal intensity beam in 2015-2017** for full physics runs (first run has just started)

Main Goal:

- collect $O(100)$ SM $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ events
- measure $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ with $\sim 10\%$

Further goals:

- Extraction $|V_{td}|$ with $\sim 10\%$ accuracy
- Probe several New Physics scenarios in $K^+ \rightarrow \pi^+ \nu \nu$
- Probe New Physics in similar processes (e.g. $K^+ \rightarrow \pi^+ X$)

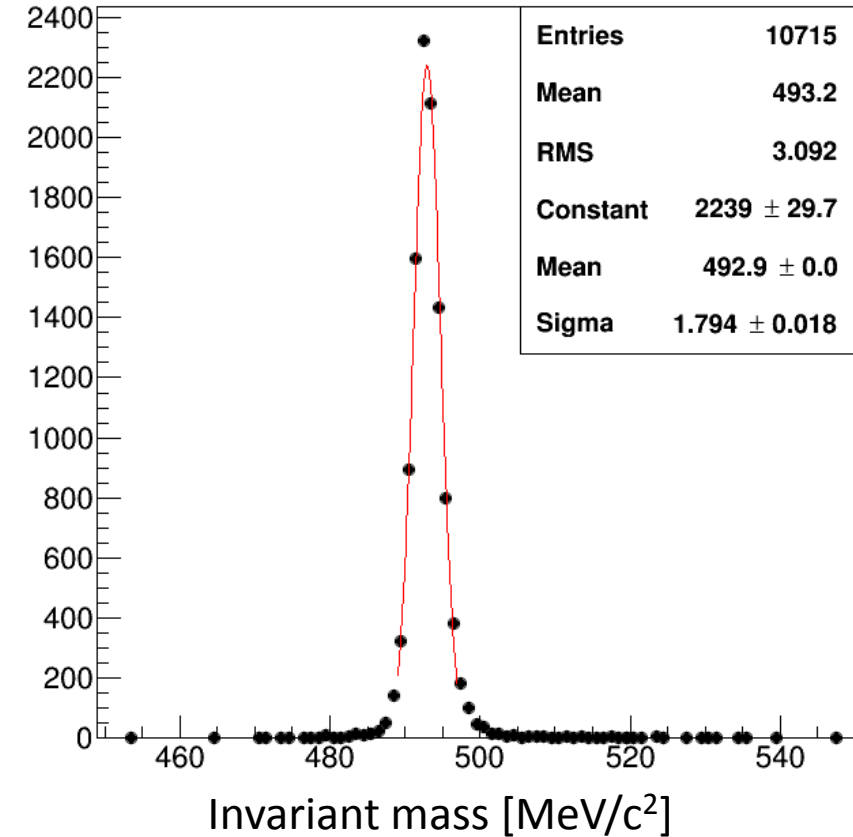
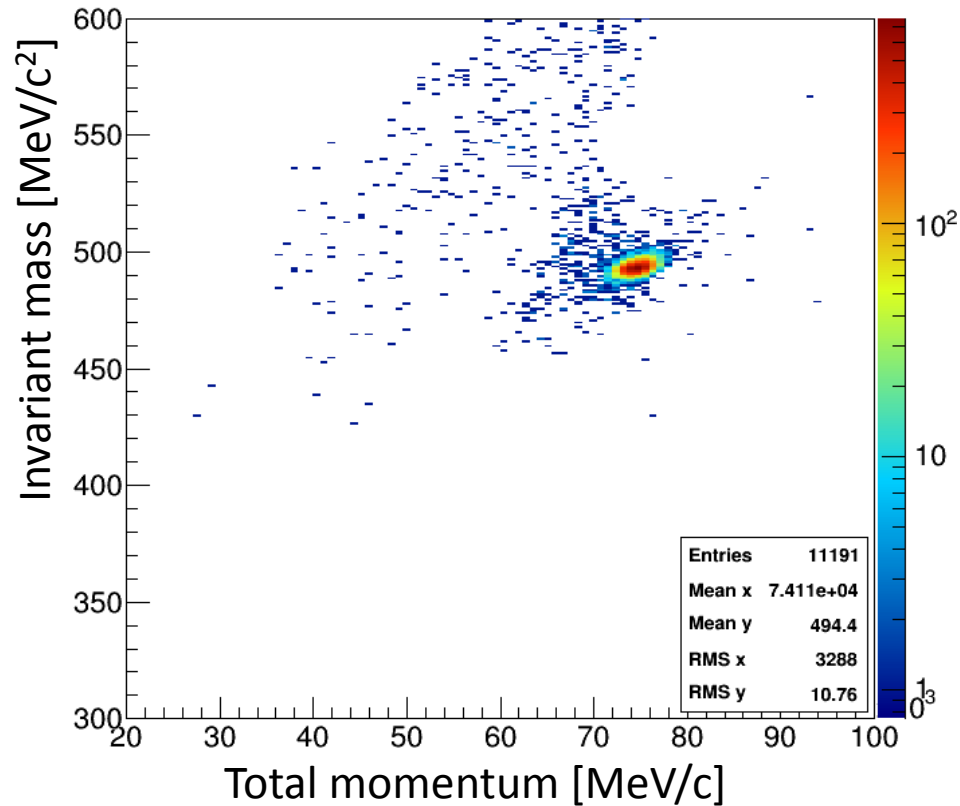
A wide-angle night photograph of a city skyline across a body of water. The sky is filled with a dense field of stars, creating a starry night effect. The city lights are reflected on the water's surface. The text "THANK YOU!" is overlaid in the lower-left quadrant.

THANK YOU!

Spares

First look of 2014 data - Three track events

- ✗ Selection of $K^+ \rightarrow \pi^+\pi^+\pi^-$
- ✗ 2 positive and 1 negative charge. 3-track vertex (analytical). 4 chamber tracks.



- ✗ Invariant mass resolution: 0.9 MeV/c²
- ✗ Invariant mass average: 493.657 MeV/c² (PDG - 493,667 (MeV/c²))

The NA62 goals

Main goal:

- Collect $O(100)$ SM signal events in 3 years data taking
- Measure $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ with 10% precision

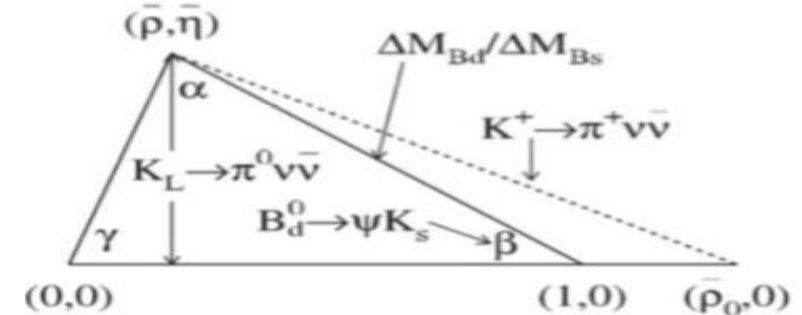
Further goals:

- Extraction $|V_{td}|$ with $\sim 10\%$ accuracy
- Probe several New Physics scenarios in $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
- Probe New Physics in similar processes (e.g. $K^+ \rightarrow \pi^+ X$)

Beyond the baseline:

- LFV/LNV decays with 3 tracks in the final state
- Heavy neutrino searches
- π^0 decays
- Dark photon searches
- $K^+ \rightarrow \pi^+ \pi^0 \pi$ (pseudoscalar sGoldstino should exist in model with spontaneous symmetry breaking, V.Rubakov)

Independent determination of **unitary triangle** for K meson system (with neutral mode)



$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (9.11 \pm 0.72) \times 10^{-11}$$

[JHEP 1411 (2014) 121 [arXiv:1408.0728 [hep-ph]]

error: CKM parametric, dominated by V_{cb}

Beyond the baseline

Decay	Physics	Present limit (90% C.L.) / Result	NA62
$\pi^+\mu^+e^-$	LFV	1.3×10^{-11}	0.7×10^{-12}
$\pi^+\mu^-e^+$	LFV	5.2×10^{-10}	0.7×10^{-12}
$\pi^-\mu^+e^+$	LNV	5.0×10^{-10}	0.7×10^{-12}
$\pi^-e^+e^+$	LNV	6.4×10^{-10}	2×10^{-12}
$\pi^-\mu^+\mu^+$	LNV	1.1×10^{-9}	0.4×10^{-12}
$\mu^-ve^+e^+$	LNV/LFV	2.0×10^{-8}	4×10^{-12}
$e^-\nu\mu^+\mu^+$	LNV	No data	10^{-12}
π^+X^0	New Particle	$5.9 \times 10^{-11} m_{X^0} = 0$	10^{-12}
$\pi^+\chi\chi$	New Particle	—	10^{-12}
$\pi^+\pi^+e^-\nu$	$\Delta S \neq \Delta Q$	1.2×10^{-8}	10^{-11}
$\pi^+\pi^+\mu^-\nu$	$\Delta S \neq \Delta Q$	3.0×10^{-6}	10^{-11}
$\pi^+\gamma$	Angular Mom.	2.3×10^{-9}	10^{-12}
$\mu^+\nu_h, \nu_h \rightarrow \nu\gamma$	Heavy neutrino	Limits up to $m_{\nu_h} = 350 \text{ MeV}$	
R_K	LU	$(2.488 \pm 0.010) \times 10^{-5}$	$>> 2$ better
$\pi^+\gamma\gamma$	χ PT	< 500 events	10^5 events
$\pi^0\pi^0e^+\nu$	χ PT	66000 events	$O(10^6)$
$\pi^0\pi^0\mu^+\nu$	χ PT	-	$O(10^5)$

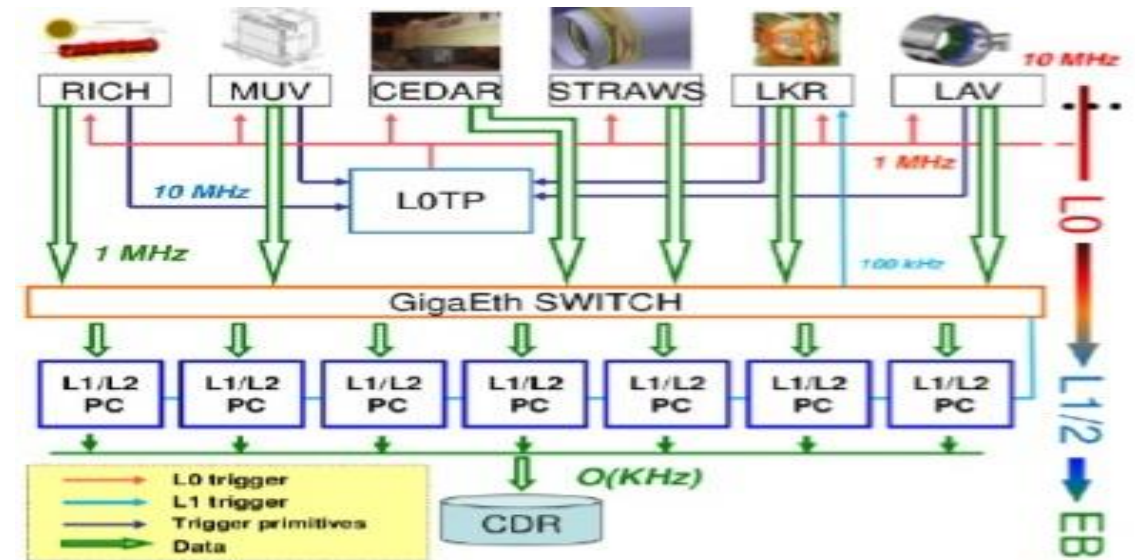
The TDAQ system

The NA62 trigger system is based on 3 trigger levels:

- L0: based on "trigger primitives" from a configurable number of detectors
 - Fixed latency (~1 ms)
 - FPGA based
 - LOTP receives the primitives, takes the L0 decision and sends the L0 signal
 - **Reduction factor:** 10 MHz → 1 MHz
- L1: data from most detectors acquired by L1 PCs and used to take the L1 decision
 - Whole event analysed by L1 PCs
 - LKr data not sent @ L1 level
 - Max latency: ~1 s
 - **Reduction factor:** 1 MHz → 100 KHz
- L2: final decision taken with data from all detectors available
 - Max latency ~ spill length
 - **Reduction factor:** 100 KHz → 20 KHz

2014 Pilot run

- ✓ Data collected at 20% nominal intensity
- ✓ Trigger primitives partially commissioned



INPUT TRIGGER PRIMITIVES

L0 trigger processor (LOTP)

- Altera DE4 test board, StratixIV onboard
- Max input rate from detectors: 10 MHz
- Max LOT output rate: 1 MHz
- 6 Eth. ports to receive trigger