



# Rare decays at LHCb

Nigel Watson / Univ. Birmingham  
on behalf of the LHCb Collaboration

**The XXI International Workshop  
High Energy Physics and Quantum Field Theory**

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**Saint Petersburg Area, Russia**



# Outline

- Motivation
- FCNC in b and c decays
- Rare  $\tau$  decays

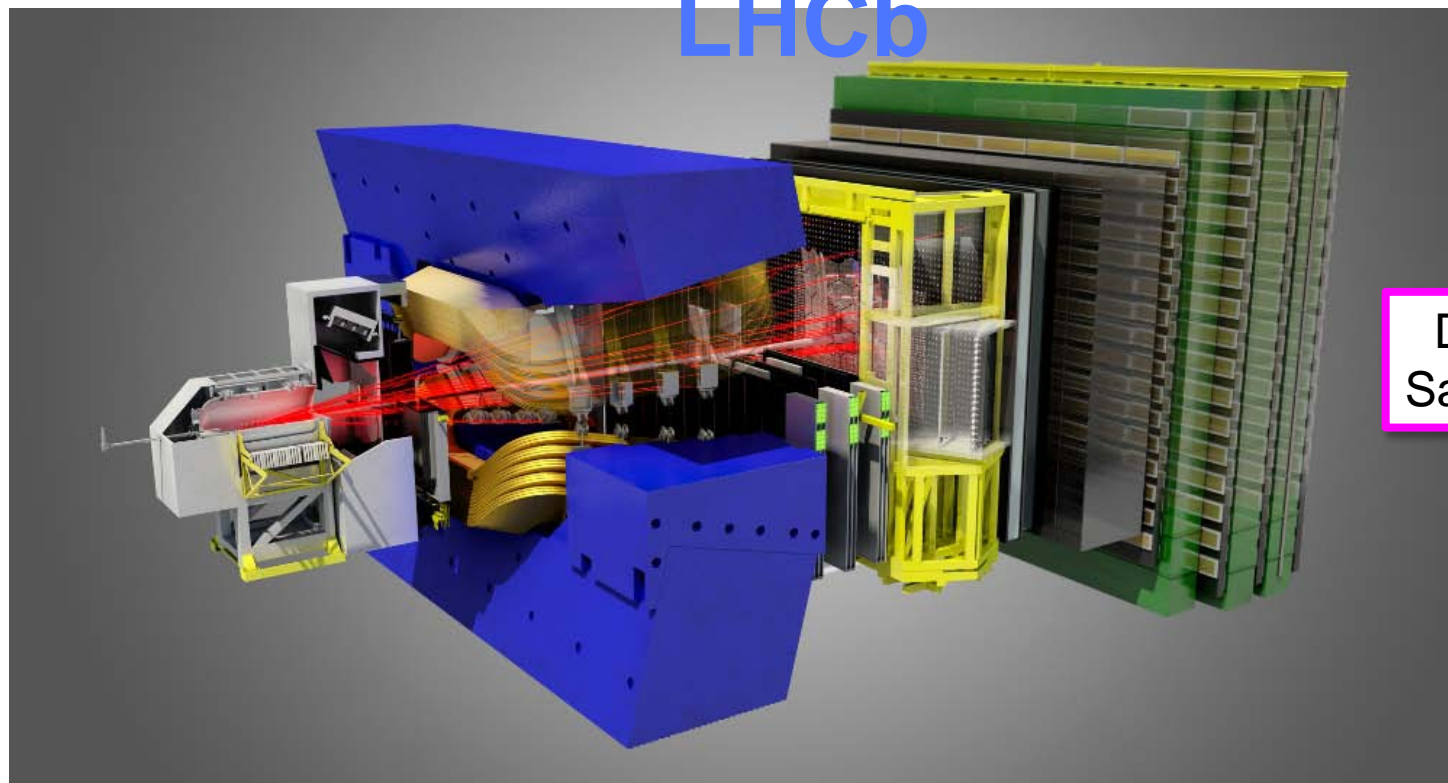


# Rare and very rare decays

- Classification
- Global summary plot of BRs/searches, e.g. HFAG  
arXiv:1207.1158
- *Very rare* → Indrek Sepp, parallel session A, this afternoon
  - Includes first observation of  $B_s \rightarrow \mu^+ \mu^-$



# LHCb



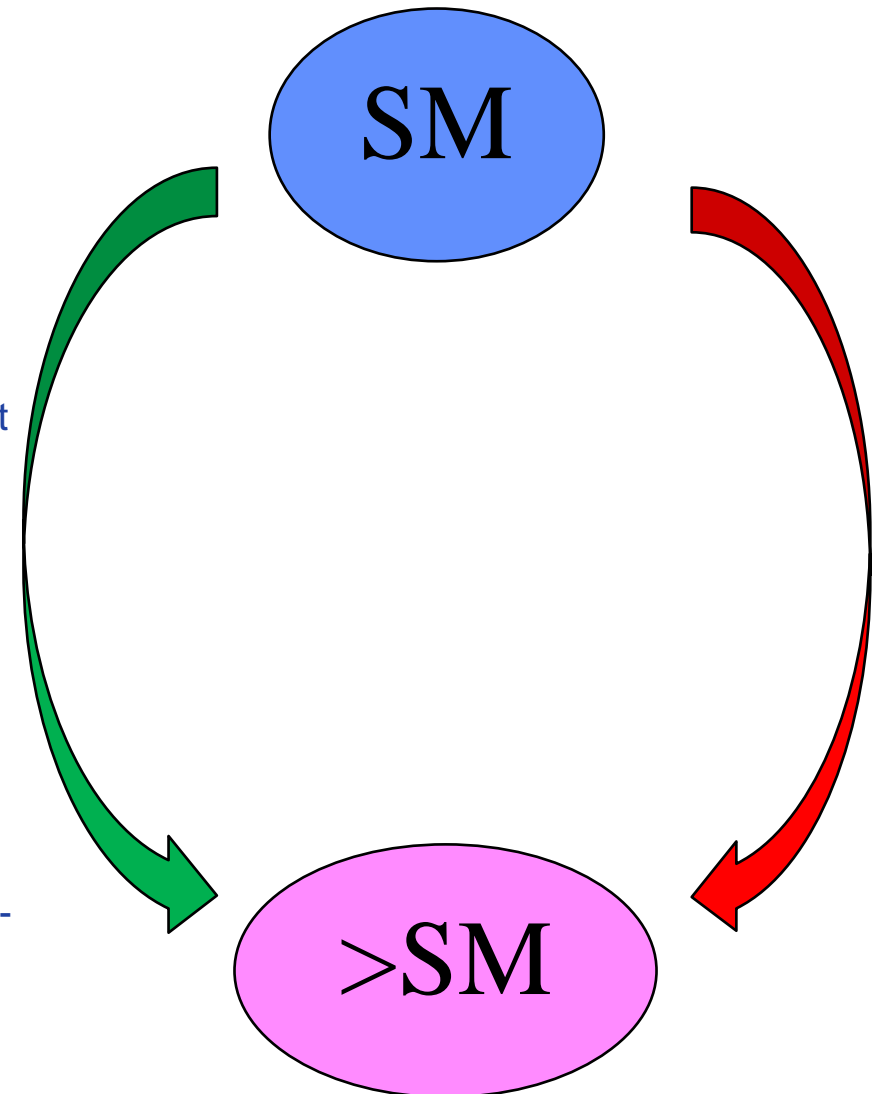
Details in  
Sajan's talk

- Single-arm spectrometer, fully instrumented in the forward region ( $2 < \eta < 5$ )
- Excellent vertex resolution from Si strip detectors around IP (VELO)
- Particle identification from RICH detectors, calorimeter and muon systems
- Primary physics programme is stress testing the Standard Model
  - **Precise measurements**
  - **Indirect searches for physics beyond SM in decays of b, c hadrons and  $\tau$  decays**
- Rare b decays a very exciting area for LHCb
- $\Delta B = \Delta S = 1$  transitions are **FCNC processes**, highly suppressed in SM
  - highly sensitive area for new physics to manifest itself (we hope!)



# Rare decays

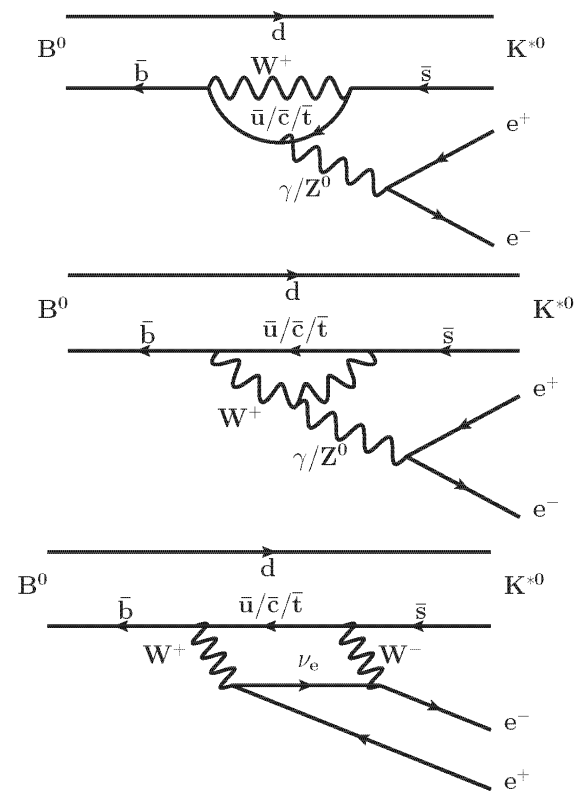
- Using heavy flavour measurements, **two routes** to finding **physics beyond the SM**
- **CP violation**
  - Clearly must exist
- **Rare decays**
  - FCNC
  - Only via loop, box diagrams as forbidden at tree level
  - Br typically  $\sim 10^{-6}$  or less
- New physics participant **may** enter in loops as virtual particles
- **Indirect** probe accesses **higher** energy scale than direct searches
  - Convenient detection mechanism
  - Not so straightforward to identify the origin - modelling





# $b \rightarrow sl^+l^-$ processes

- FCNC themselves are highly attractive for experiments
  - Wide variety of angular observables accessible in 4 particle final states
  - Experimental signatures
    - Clean
    - Low backgrounds
- New physics may manifest itself in
  - Rate
  - Angular distributions
  - Asymmetries
- Predictions well-established
  - Varying degrees of theoretical uncertainty
  - Hadronic form factors





# Rare decays: recent results

- **All published or submitted to journal/arxiv (most recent: 11<sup>th</sup> June)**
  - Use 1/fb of LHCb data sample (~1/3 of our total from Run I)
- $b \rightarrow s l^+ l^-$   $B^0 \rightarrow K^* \mu \mu$ : “flagship analysis” - use this channel to illustrate angular analysis, amplitudes
  - $B^0 \rightarrow K^* \mu \mu$ : angular analysis and differential branching fractions
    - [arXiv:1304.6325](#) 23 Apr 2013 **First multidimensional angular analysis**
  - $B^0 \rightarrow K^* \mu \mu$ : CP asymmetry
    - [PRL110 \(2013\) 031801](#)
  - $B_s \rightarrow \phi \mu \mu$ : Angular analysis and differential branching fraction
    - [arXiv:1305.2168](#) 1 May 2013 **First angular analysis**
  - $B^0 \rightarrow K^* e e$ : Differential in  $q^2$  branching fraction
    - [JHEP 05 \(2013\) 159](#) 2 May 2013 **First evidence in low  $q^2$  region**
  - $\Lambda_b \rightarrow \Lambda \mu \mu$ : Differential in  $q^2$  branching fraction
    - [arXiv:1306.2577](#) 11 Jun 2013 **First at LHC, baryons**
- Search for  $D^+_{(s)} \rightarrow \pi^+ \mu^+ \mu^-$  and  $D^+_{(s)} \rightarrow \pi^- \mu^+ \mu^+$  decays
  - [arXiv:1304.6365](#) 25 Apr 2013 **Factor ~50 improvement in limit**
- Searches for LFV and baryon number violation in  $\tau$  decays
  - [arXiv:1304.4518](#), to appear in [Phys. Lett. B 724 \(2013\) xx](#) – 21 May 2013

Last week



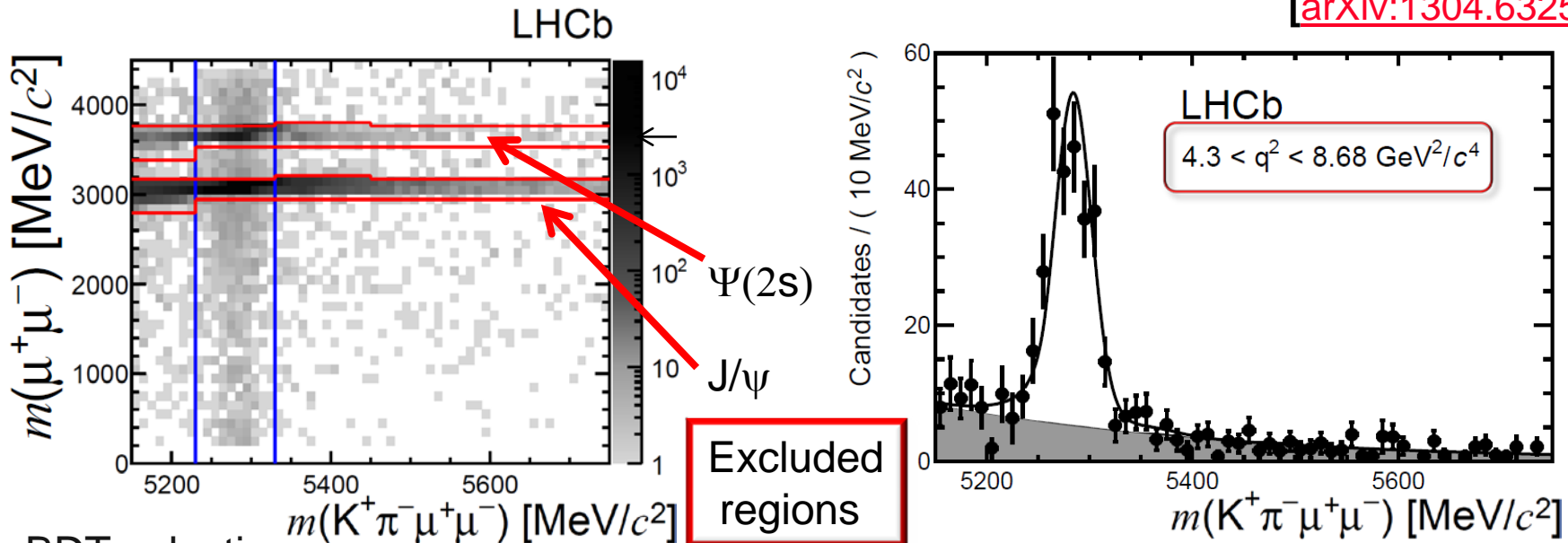
# Rare decays: recent results

- Slightly less recent, but have to draw line...
- Search for the decay  $B^0_s \rightarrow D^{*\mp} \pi^\pm$ 
  - LHCb-PAPER-2012-056 - 13 Mar 2013
- First observation of the decay  $B^+ \rightarrow \pi^+ \mu^+ \mu^-$ 
  - [JHEP 12 \(2012\) 125](#) – 16 Dec. 2012
- Search for the rare decay  $K^0_S \rightarrow \mu^+ \mu^-$ 
  - [JHEP 01 \(2013\) 090](#) – 19 Sep. 2012      Limit is a factor  $\sim 30$  below the previous measurement.
- Older  $b \rightarrow s l^+ l^-$ 
  - $B^0 \rightarrow K^* \mu \mu$  isospin asymmetry: [JHEP07\(2012\)133](#), ...
- ...





# $B^0 \rightarrow K^* \mu^+ \mu^-$ branching fraction

[\[arXiv:1304.6325\]](https://arxiv.org/abs/1304.6325)


## • BDT selection

- signal  $B^0 \rightarrow K^{*0} (\rightarrow K^+ \pi^-) \mu^+ \mu^-$  (training proxy  $B^0 \rightarrow K^{*0} J/\psi (J/\psi \rightarrow \mu^+ \mu^-)$ )
- background from  $B^0$  mass upper sideband
- Choice of the input variables to avoid biases in  $q^2 = m^2(\mu\mu)$ , angles, mass
- Loose selection in  $B^0$  candidate vertex fit, flight dist., invariant mass, impact params.,  $p_T$ , polar angle
- Final selection from BDT using decay time, flight direction, track/vertex quality, PID of daughters,  $p_T$

## • Measure differential BF in each $q^2$ bin. relative to $B^0 \rightarrow K^{*0} J/\psi$

$$- \frac{d\mathcal{B}}{dq^2} = \frac{1}{q_{\max}^2 - q_{\min}^2} \frac{N_{\text{sig}}}{N_{K^{*0} J/\psi}} \frac{\varepsilon_{K^{*0} J/\psi}}{\varepsilon_{K^{*0} \mu^+ \mu^-}} \times \mathcal{B}(B^0 \rightarrow K^{*0} J/\psi) \times \mathcal{B}(J/\psi \rightarrow \mu^+ \mu^-)$$

- **Relative efficiencies** from MC (event weights, avoids assumption of  $K^* \mu^+ \mu^-$  angular distribution)

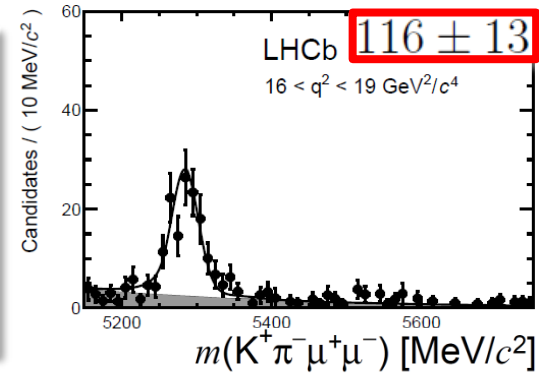
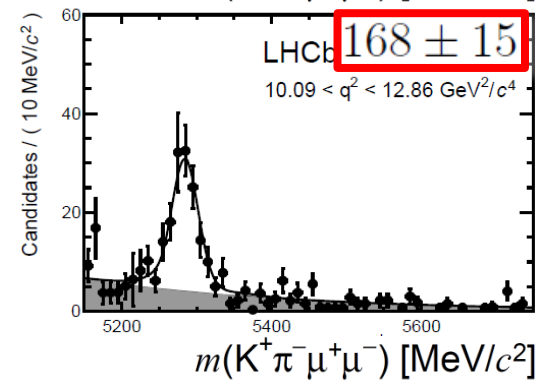
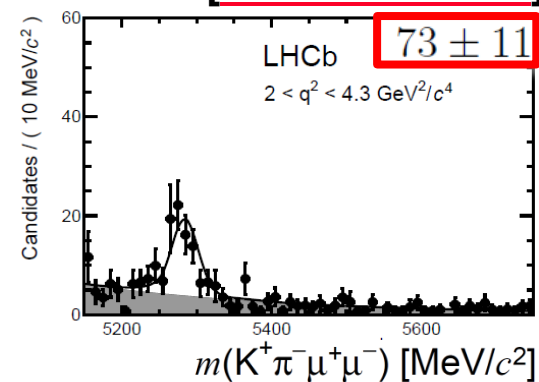
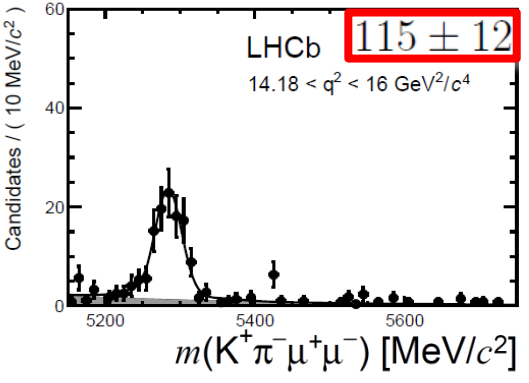
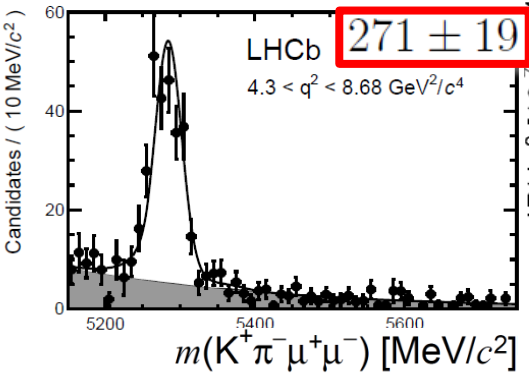
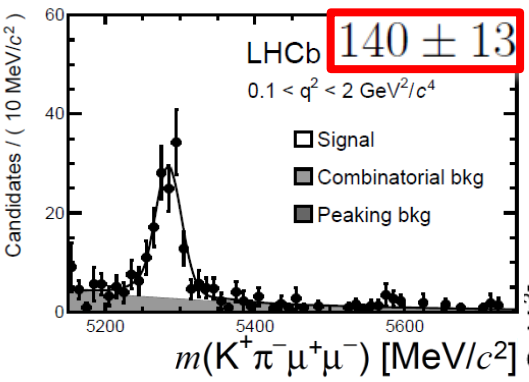
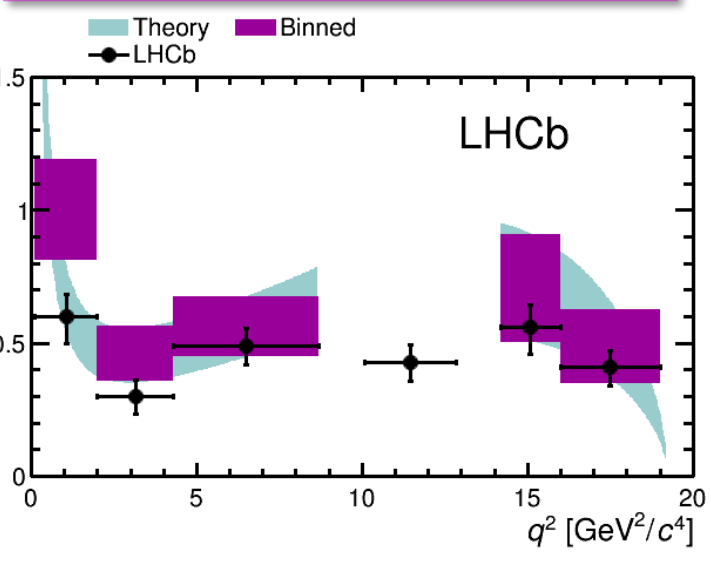


# signal decays

# $B^0 \rightarrow K^* \mu^+ \mu^-$ branching fraction

[arXiv:1304.6325]

- Uses 1/fb of 7 TeV data
- Most precise measurement of this BR to date

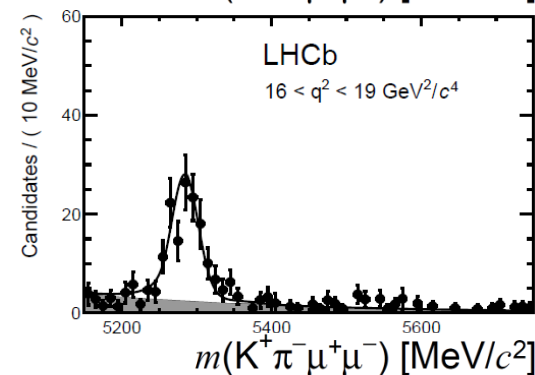
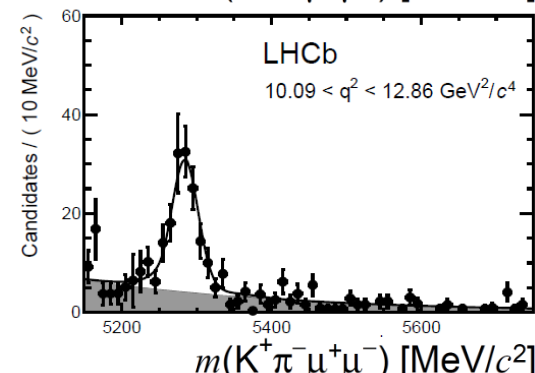
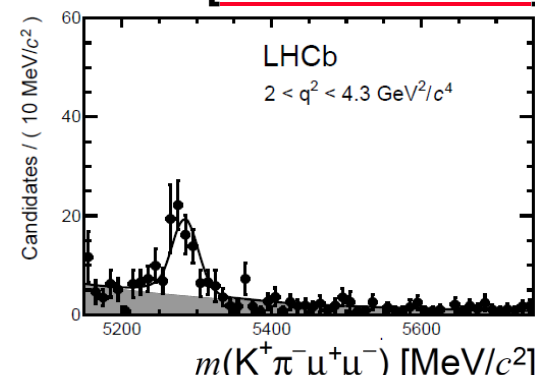
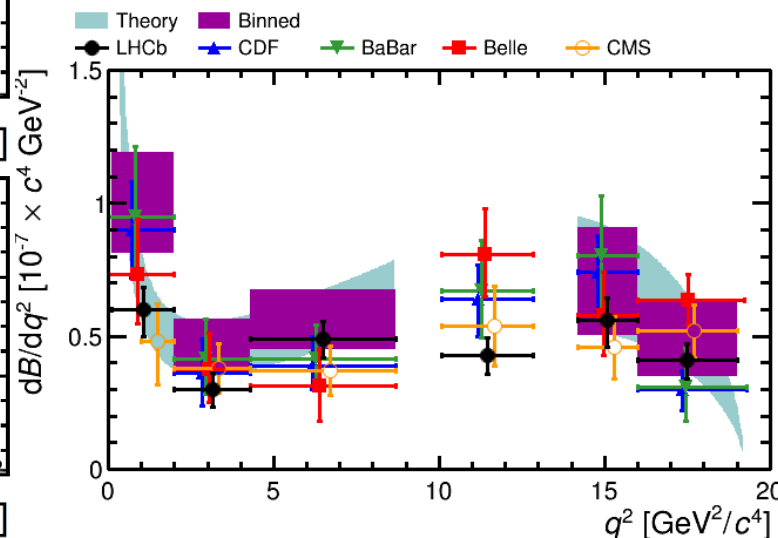
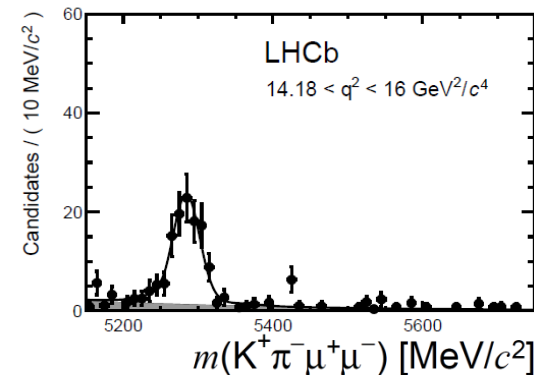
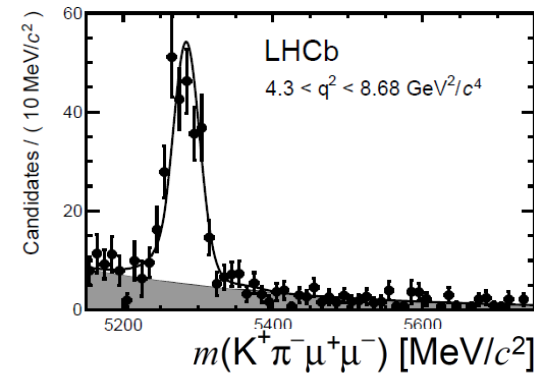
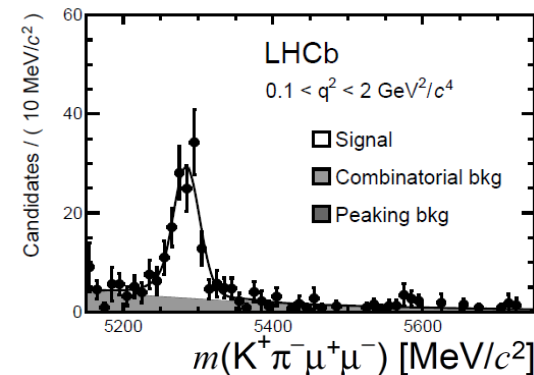


- Systematics dominated by
  - uncertainty on BRs
    - $B^0 \rightarrow K^{*0} J/\psi$
    - $J/\psi \rightarrow \mu^+ \mu^-$
  - Contamination of non- $K^{*0}$  in  $m(K^+ \pi^-)$  window



# $B^0 \rightarrow K^* \mu^+ \mu^-$ branching fraction

[arXiv:1304.6325]

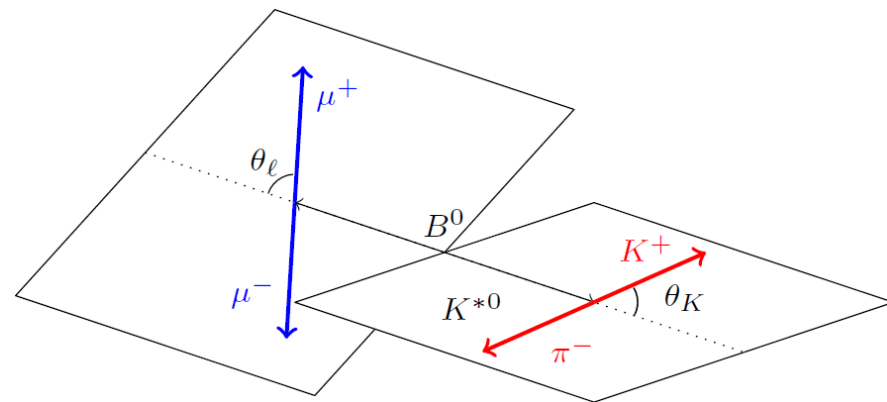


**All data consistent with SM**  
 CDF: Phys. Rev. Lett. 108 081807  
 Babar: Phys. Rev. D. 73. 092001  
 Belle: Phys. Rev. Lett. 103 (2009)171801  
 CMS: CMS-PAS-BPH-11-009

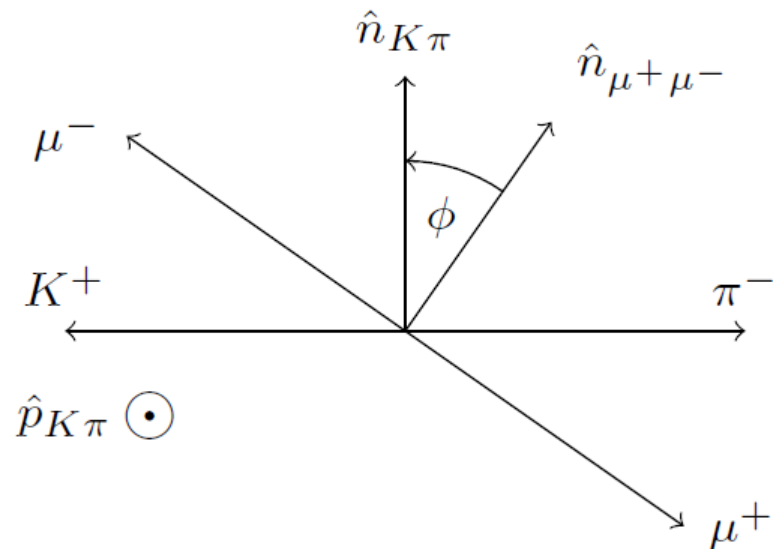
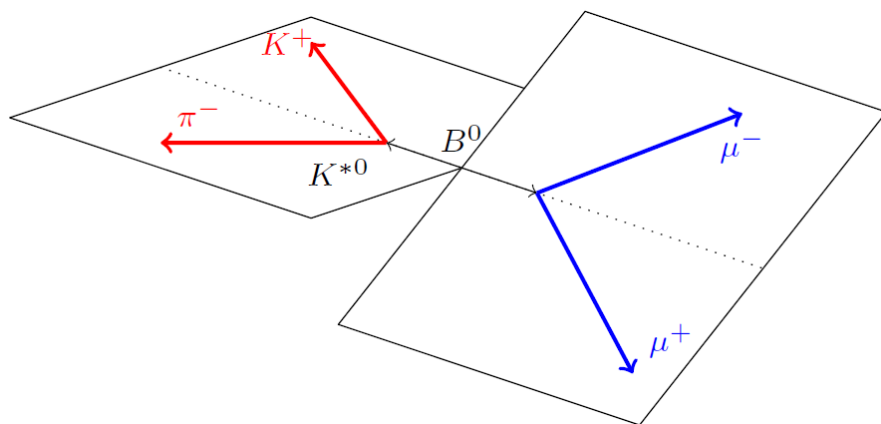
# $B^0 \rightarrow K^* \mu^+ \mu^-$ angular analysis

- 4 particle final state
- 3 decay angles

$\theta_K$  and  $\theta_\ell$  definitions for the  $B^0$  decay



$\phi$  definition for the  $B^0$  decay





# $B^0 \rightarrow K^* \mu^+ \mu^-$ angular analysis

- Branching fraction measured differential in  $q^2$  and 3 decay angles
- Limited statistics:  $\phi + \pi$  if  $\phi < 0$
- Parametric in 4 angular observables  $F_L, A_{FB}, S_3, A_9$ , from CP asymmetries and averages of decay amplitudes
- Theoretical uncertainties smaller in angular analysis (hadronic form factors)

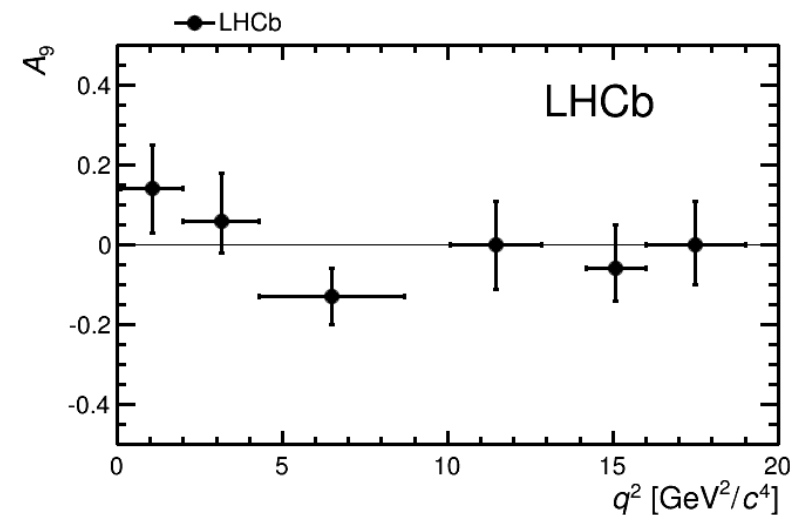
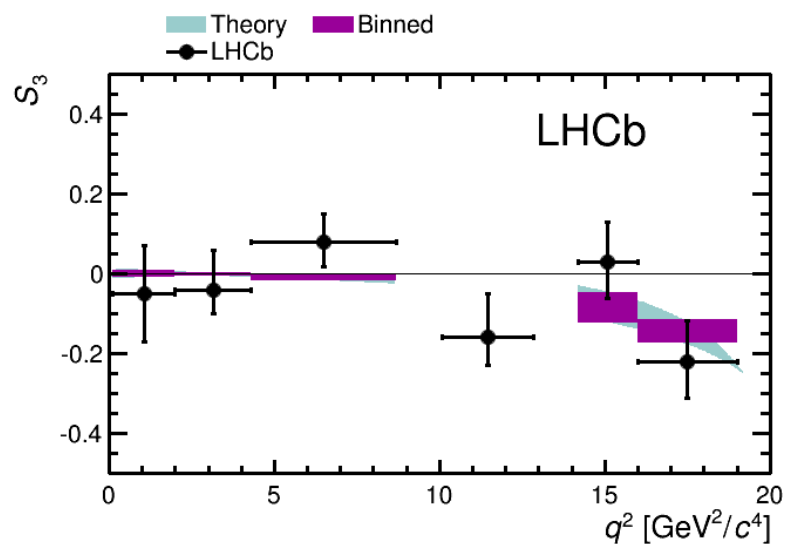
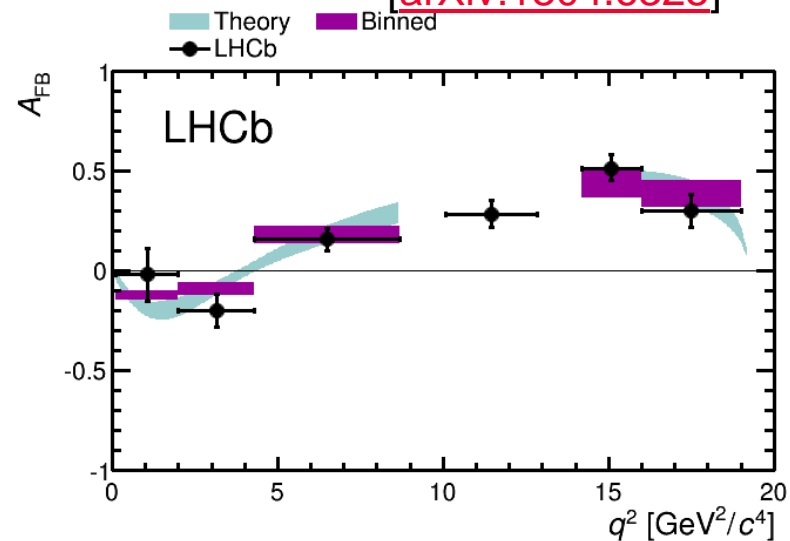
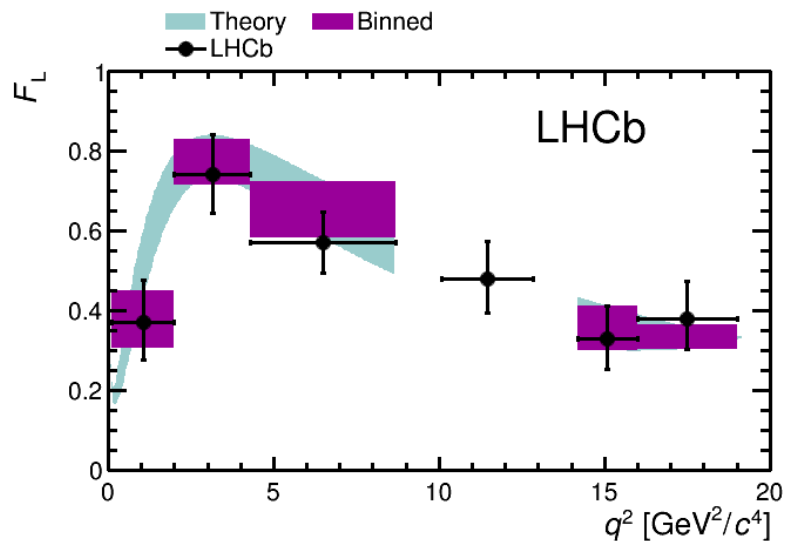
The first simultaneous fit to all angles

$$\frac{1}{d\Gamma/dq^2} \frac{d^4\Gamma}{dq^2 d\cos\theta_\ell d\cos\theta_K d\hat{\phi}} \propto \left[ \begin{aligned} & F_L \cos^2 \theta_K + \frac{3}{4}(1 - F_L)(1 - \cos^2 \theta_K) - \\ & F_L \cos^2 \theta_K (2 \cos^2 \theta_\ell - 1) + \\ & \frac{1}{4}(1 - F_L)(1 - \cos^2 \theta_K)(2 \cos^2 \theta_\ell - 1) + \\ & S_3(1 - \cos^2 \theta_K)(1 - \cos^2 \theta_\ell) \cos 2\hat{\phi} + \\ & \frac{4}{3} A_{FB}(1 - \cos^2 \theta_K) \cos \theta_\ell + \\ & A_9(1 - \cos^2 \theta_K)(1 - \cos^2 \theta_\ell) \sin 2\hat{\phi} \end{aligned} \right]$$



# $B^0 \rightarrow K^* \mu^+ \mu^-$ angular analysis

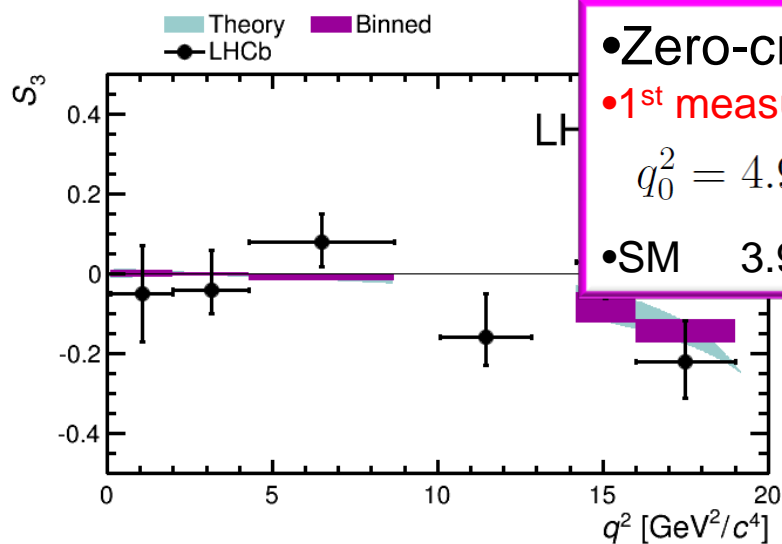
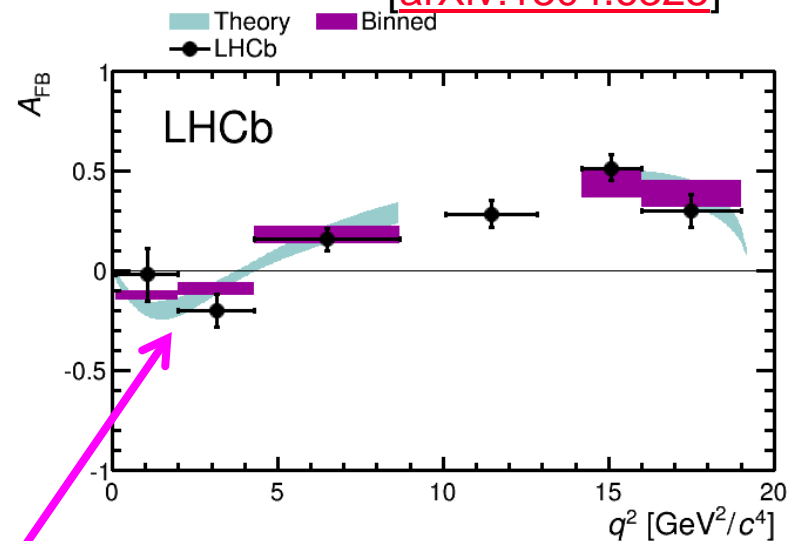
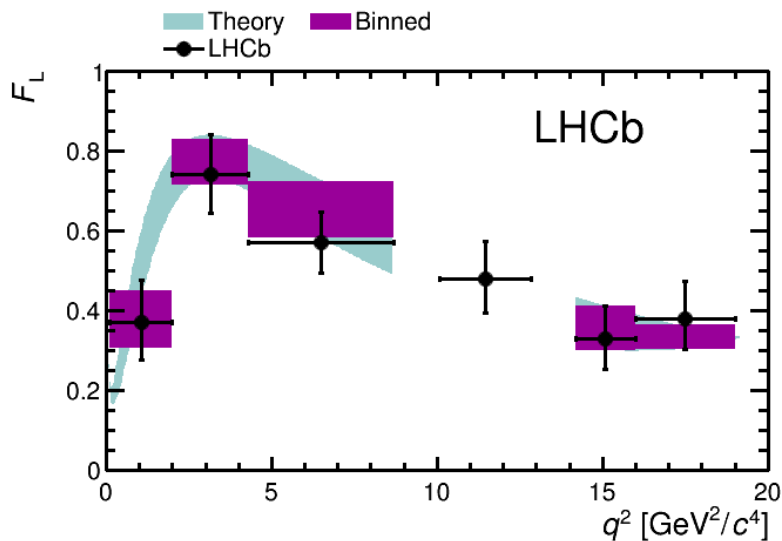
[[arXiv:1304.6325](https://arxiv.org/abs/1304.6325)]



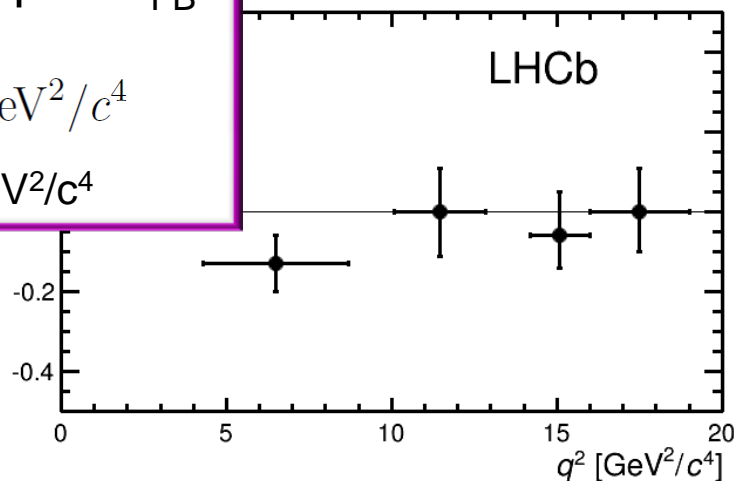


# $B^0 \rightarrow K^* \mu^+ \mu^-$ angular analysis

[arXiv:1304.6325]



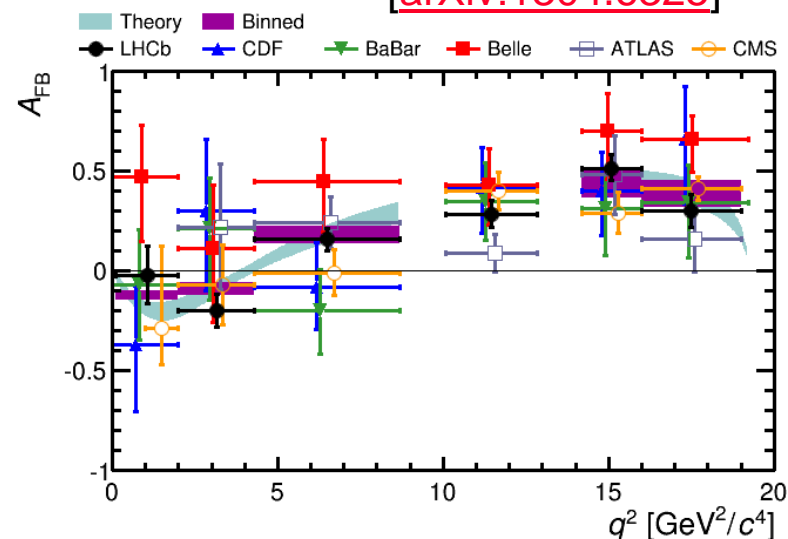
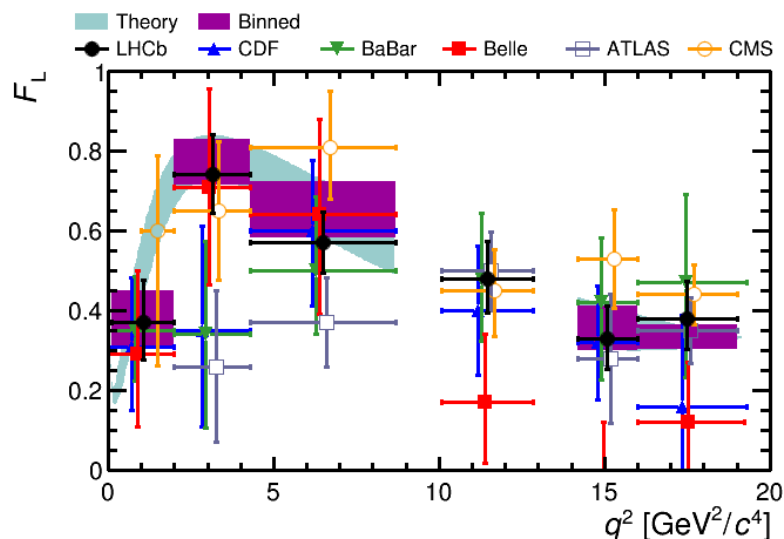
• Zero-crossing  $q^2$  of  $A_{FB}$   
 • 1<sup>st</sup> measurement  
 $q_0^2 = 4.9 \pm 0.9 \text{ GeV}^2/c^4$   
 • SM 3.9 - 4.4  $\text{GeV}^2/c^4$





# $B^0 \rightarrow K^* \mu^+ \mu^-$ angular analysis

[arXiv:1304.6325]



## All experiments consistent with SM

CDF: Phys. Rev. Lett. 108 081807

Babar: Phys. Rev. D. 73. 092001

Belle: Phys. Rev. Lett. 103 (2009) 171801

ATLAS: ATLAS-CONF-2013-038

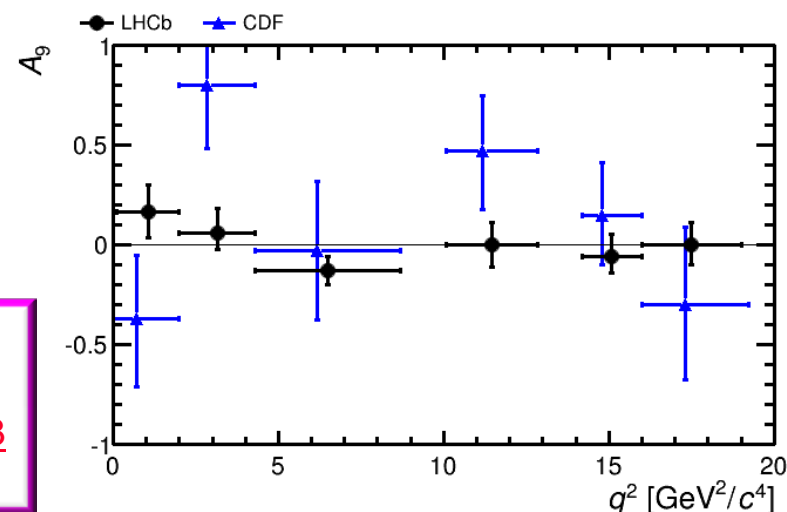
CMS: CMS-PAS-BPH-11-009

## SM predictions

Bobeth, Hiller, van Dyk, Wacker, [JHEP 01 \(2012\) 107](#)

Beneke, Feldmann, Seidel, [Eur.Phys.J.C41\(2005\) 173](#)

Ali, Kramer, Zhu, [Eur.Phys.J.C47\(2006\) 625](#)

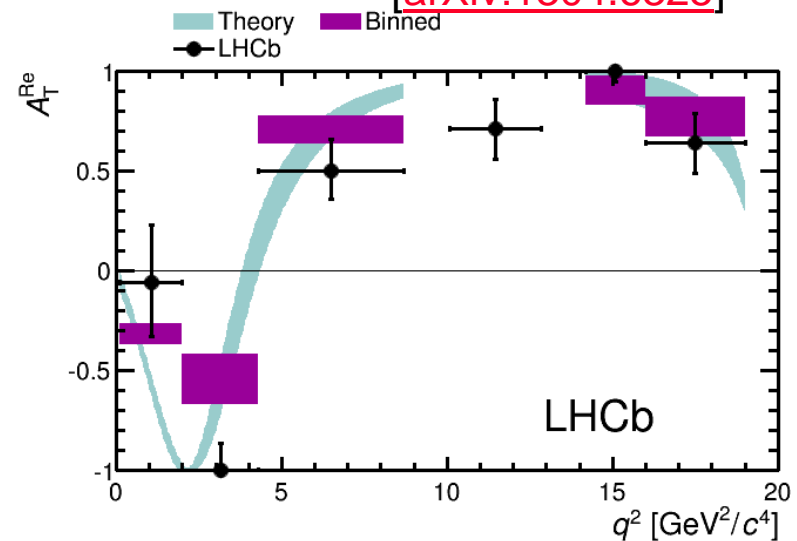
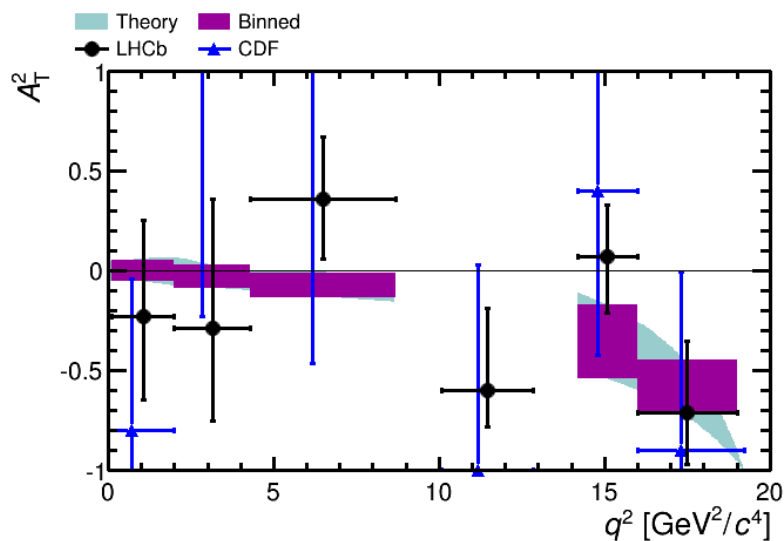






# $B^0 \rightarrow K^* \mu^+ \mu^-$ angular analysis

[[arXiv:1304.6325](https://arxiv.org/abs/1304.6325)]



- Alternative fits for transverse amplitudes variables
  - $A_T^{\text{Re}}$  and  $A_T^2$
  - theoretically cleaner
- $S_3 = \frac{1}{2} (1-F_L) A_T^2$

- $A_{\text{FB}} = \frac{3}{4} (1-F_L) A_T^{\text{Re}}$

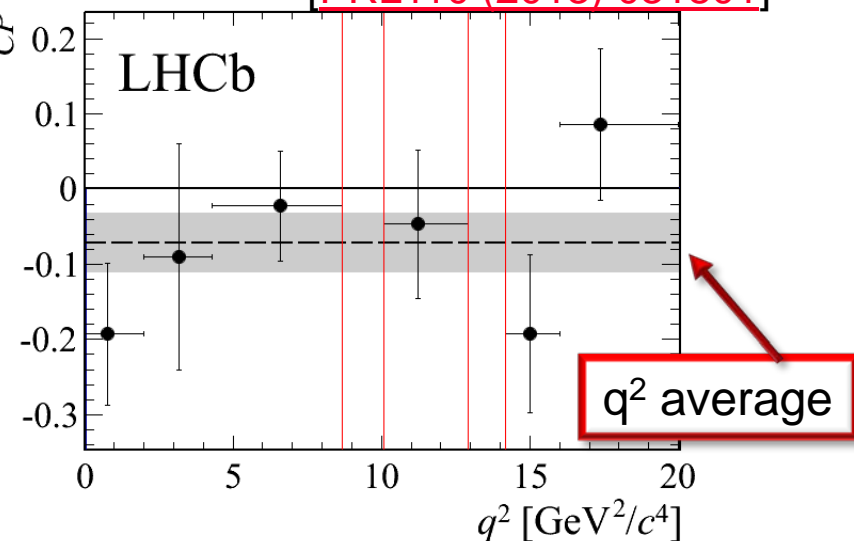


# $B^0 \rightarrow K^* \mu^+ \mu^-$ CP asymmetry

[PRL110 (2013) 031801]

$$\mathcal{A}_{CP} = \frac{\Gamma(\bar{B}^0 \rightarrow \bar{K}^{*0} \mu^+ \mu^-) - \Gamma(B^0 \rightarrow K^{*0} \mu^+ \mu^-)}{\Gamma(\bar{B}^0 \rightarrow \bar{K}^{*0} \mu^+ \mu^-) + \Gamma(B^0 \rightarrow K^{*0} \mu^+ \mu^-)} \mathcal{A}_{CP}$$

- ~900 signal decays
- Systematics from non-cancelling sources of asymmetry accounted for:
  - Kinematics of signal/normalisation modes
  - Detection of  $\mu^+$ ,  $\mu^-$
  - Mass fit model
- $\mathcal{A}_{CP}$  measured in simultaneous fit in 6  $q^2$  bins, to 8 invariant mass distributions:
  - $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ ,  $B^0 \rightarrow J/\psi K^{*0}$
  - $B^0$ ,  $\bar{B}^0$
- Two magnet polarities



- Most precise to date

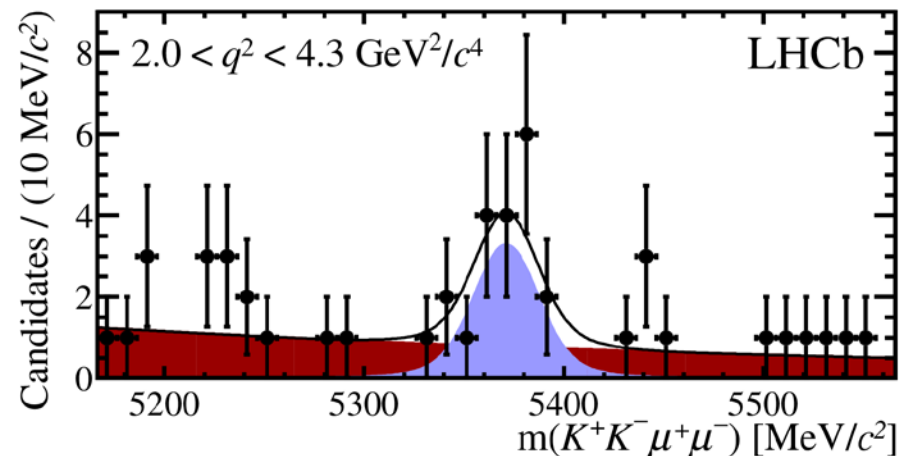
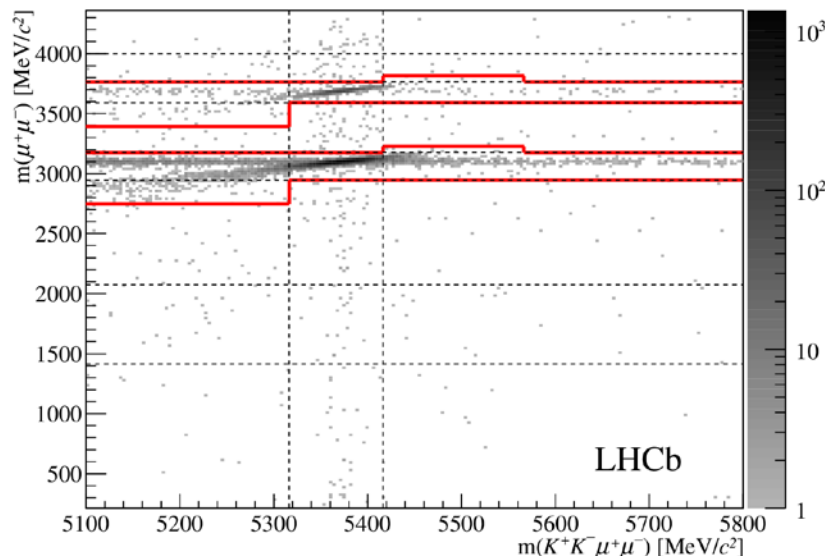
$$\mathcal{A}_{CP}(B^0 \rightarrow K^{*0} \mu^+ \mu^-) = -0.072 \pm 0.040 \pm 0.005.$$

- Consistent with Belle, BaBar ☺
- SM:  $\mathcal{A}_{CP}$  up to to  $10^{-3}$ ) ☹
- NP models, e.g. up to 0.15



# $B_s^0 \rightarrow \phi \mu^+ \mu^-$ branching fraction

[arXiv:1305.2168]

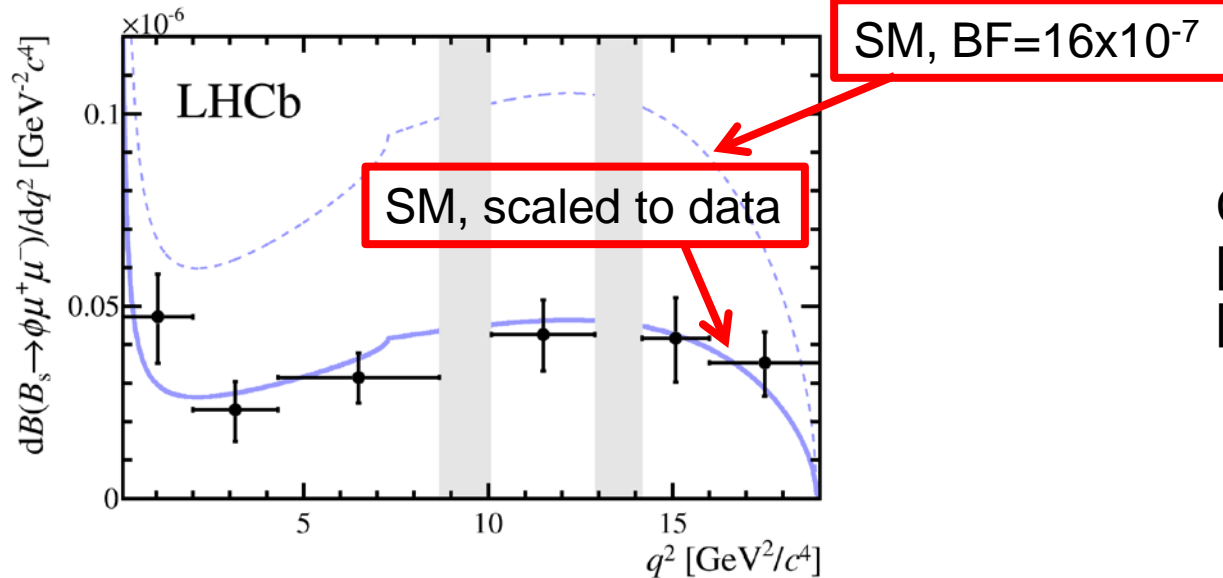


- Selection  $\sim B_s^0 \rightarrow K^{*0} \mu \mu$ , BDT combining kinematic, PID, topological variables
  - Signal proxy  $B_s^0 \rightarrow J/\psi \phi$  ( $J/\psi \rightarrow \mu^+ \mu^-$ ) background  $B_s^0 \rightarrow \phi \mu^+ \mu^-$  (mass sidebands)
  - Extended maximum likelihood fit
    - signal: double Gaussian function, parameters from proxy decays
    - Background: exponential
- Measure differential BF in 6  $q^2$  bin, relative to  $\mathcal{B}(B_s^0 \rightarrow J/\psi \phi)$ 
  - $$\frac{d\mathcal{B}(B_s^0 \rightarrow \phi \mu^+ \mu^-)}{dq^2} = \frac{1}{q_{\max}^2 - q_{\min}^2} \frac{N_{\text{sig}}}{N_{J/\psi \phi}} \frac{\epsilon_{J/\psi \phi}}{\epsilon_{\phi \mu^+ \mu^-}} \mathcal{B}(B_s^0 \rightarrow J/\psi \phi) \mathcal{B}(J/\psi \rightarrow \mu^+ \mu^-)$$
  - Relative efficiencies from MC (in each  $q^2$  bin)
- Most precise measurement of this BR to date



# $B_s^0 \rightarrow \phi \mu^+ \mu^-$ branching fraction

[arXiv:1305.2168]



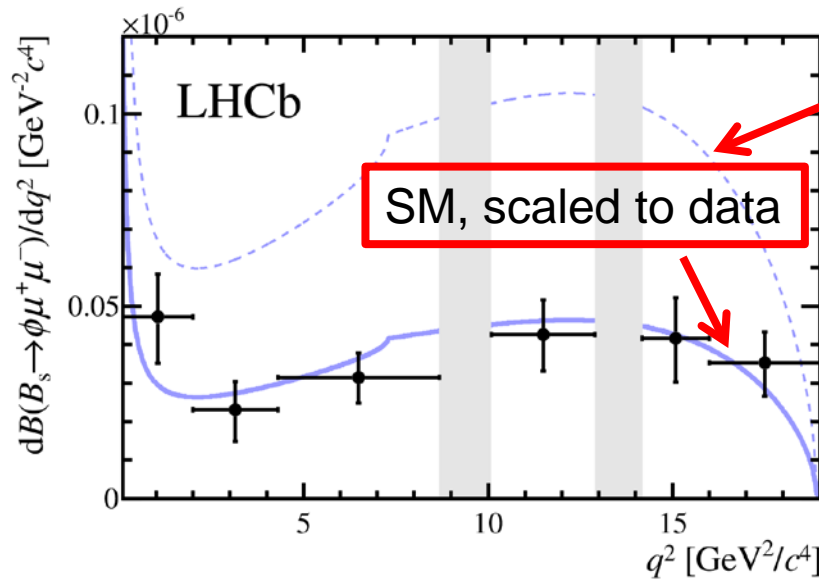
Consistent with CDF  
 [PRL 107 (2011) 201802]  
 [CDF public 10894 (2012)]

- Sum over 6 bins, converted to absolute BF
- Using LHCb  $\mathcal{B}(B_s^0 \rightarrow J/\psi \phi) = (10.50 \pm 1.05) \times 10^{-4}$   
 - Phys. Rev. D87 (2013) 072004
- Measured  
 -  $\mathcal{B}(B_s^0 \rightarrow \phi \mu^+ \mu^-) = (7.07_{-0.59}^{+0.64} \pm 0.17 \pm 0.71) \times 10^{-7}$
- SM prediction (14.5-19.2)  $\times 10^{-7}$   
 - **Uncertainties from form factors ~20-30%**

Geng&Liu, J.Phys.G29(2003)1103  
 Erkol&Turan, Eur.Phys.J.C25(2002)575  
 U. Yilmaz, Eur.Phys.J.C58(2008)555  
 Chang&Gao, Nucl.Phys.B845(2011)

# $B_s^0 \rightarrow \phi \mu^+ \mu^-$ branching fraction

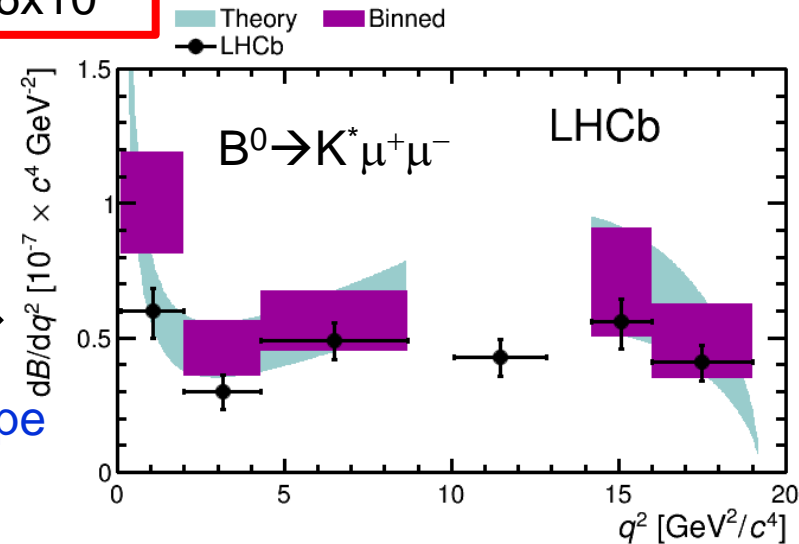
[arXiv:1305.2168]



SM, BF=16x10 $^{-7}$

SM, scaled to data

similar shape



- Sum over 6 bins, converted to absolute BF
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 Erkol&Turan, Eur.Phys.J.C25(2002)575  
 U. Yilmaz, Eur.Phys.J.C58(2008)555  
 Chang&Gao, Nucl.Phys.B845(2011)



# $B^0_s \rightarrow \phi \mu^+ \mu^-$ angular analysis

- Parametrise angular distributions in terms of 4 observables:  $F_L$ ,  $A_6$ ,  $S_3$ ,  $A_9$
- Simulation used to correct for angular acceptance
  - Dominates systematics
- Integrate over 2 angles
  - 2d max. likelihood fits in each **angle** and  $B^0_s$  mass
  - No  $A_{FB}$  as not flavour tagged
  - Repeated in 6  $q^2$  bins

$$\frac{1}{d\Gamma/dq^2} \frac{d^2\Gamma}{dq^2 d\cos\theta_K} = \frac{3}{4}(1 - F_L)(1 - \cos^2\theta_K) + \frac{3}{2}F_L \cos^2\theta_K$$

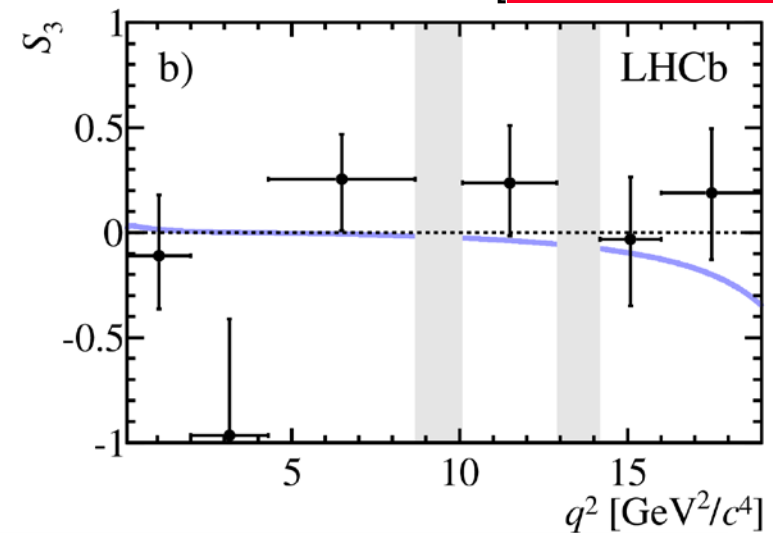
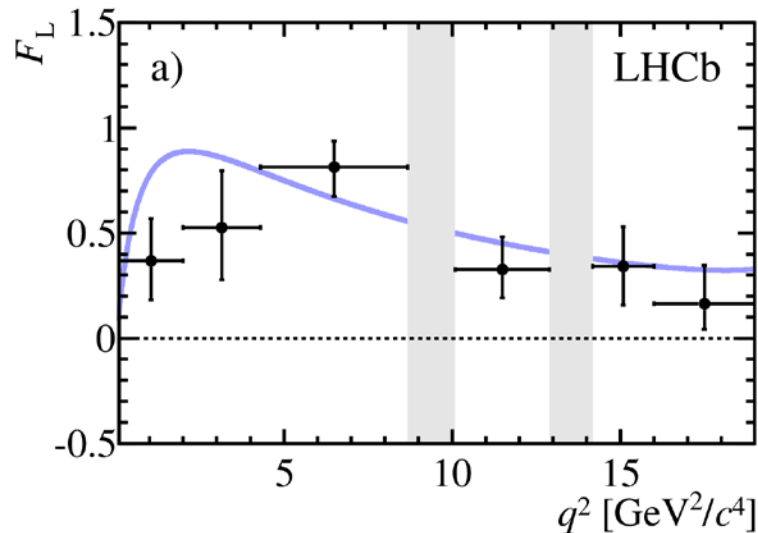
$$\frac{1}{d\Gamma/dq^2} \frac{d^2\Gamma}{dq^2 d\cos\theta_\ell} = \frac{3}{8}(1 - F_L)(1 + \cos^2\theta_\ell) + \frac{3}{4}F_L(1 - \cos^2\theta_\ell) + \frac{3}{4}A_6 \cos\theta_\ell$$

$$\frac{1}{d\Gamma/dq^2} \frac{d^2\Gamma}{dq^2 d\Phi} = \frac{1}{2\pi} + \frac{1}{2\pi}S_3 \cos 2\Phi + \frac{1}{2\pi}A_9 \sin 2\Phi$$

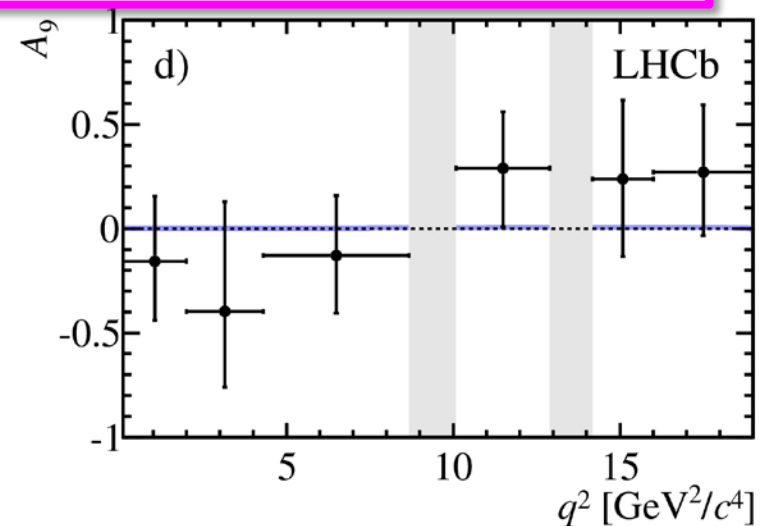
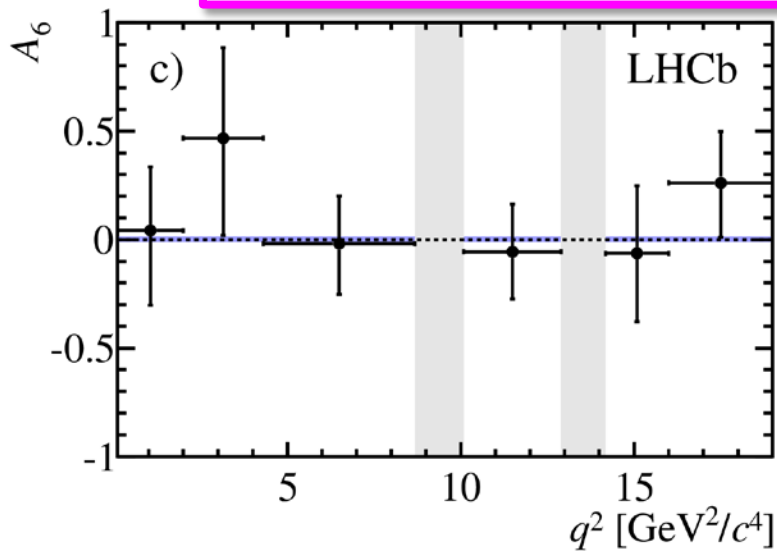


# $B_s^0 \rightarrow \phi \mu^+ \mu^-$ : Angular analysis

[arXiv:1305.2168]



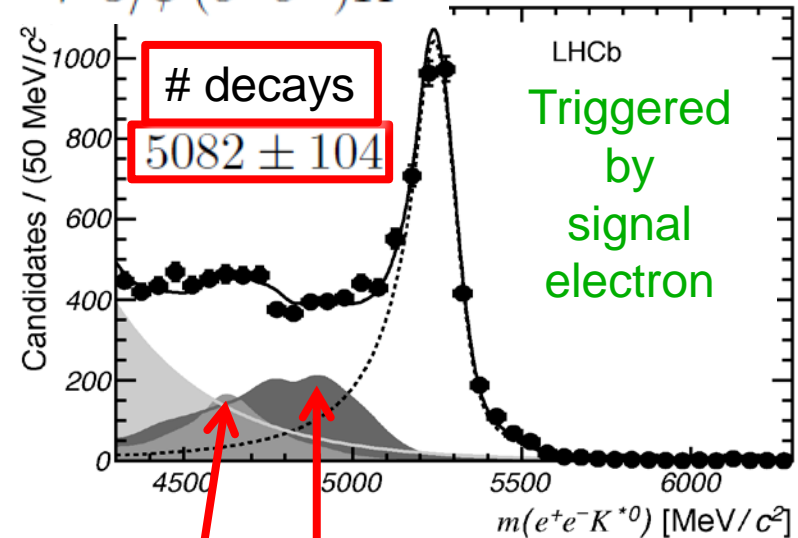
(LO) SM predictions consistent with measured angular observables



# $B^0 \rightarrow K^* e^+ e^-$ branching fraction

- Low  $q^2$  region more sensitive to photon polarisation
  - Extend reach using electrons
  - Not previously observed at low  $q^2$
- Use  $q^2$  region 30-1000  $\text{MeV}/c^2$
- Experimentally **hard** below 30  $\text{MeV}/c^2$ 
  - Multiple scattering, decay plane definition degraded
  - Background from  $B^0 \rightarrow K^{*0} \gamma$  increases rapidly
- Account for bremsstrahlung upstream of magnet to avoid biases
- Many sources of background identified
  - Dominated by  $B \rightarrow K^* \eta$  ( $\eta \rightarrow \gamma e^+ e^-$ )
  - Not peaking in signal region
- Use BDT to enhance selection

$$B^0 \rightarrow J/\psi(e^+e^-)K^{*0} \quad [\text{JHEP05(2013)159}]$$



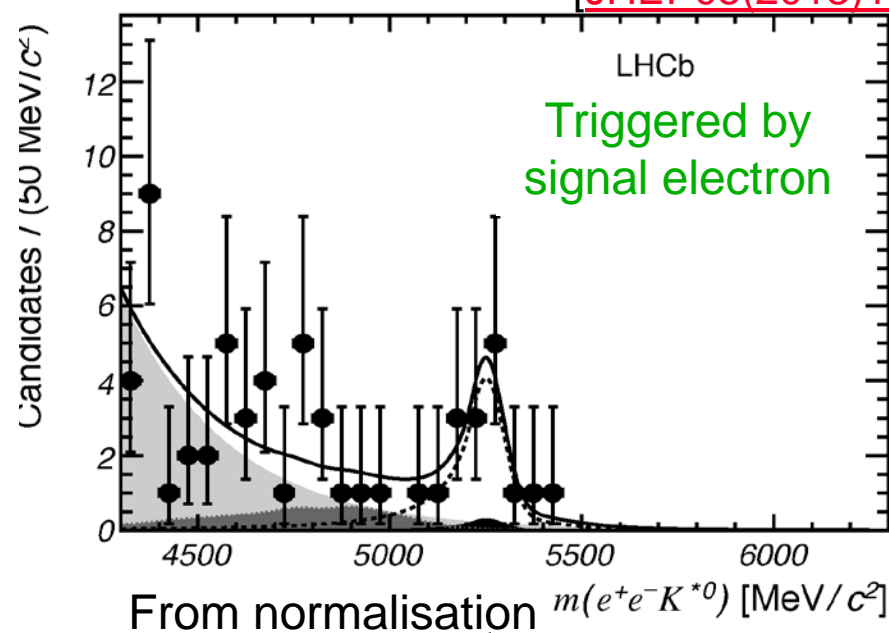
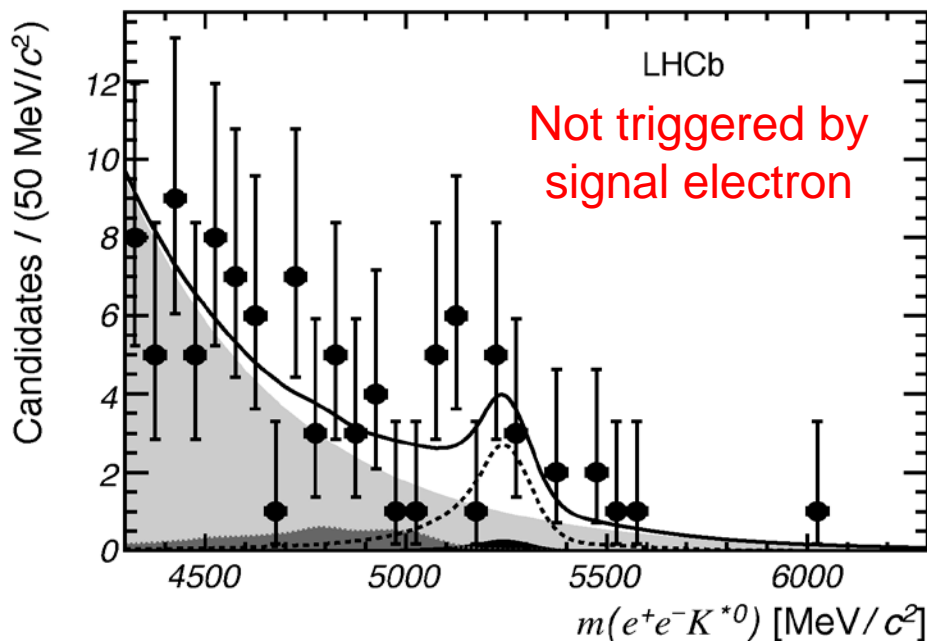
- Partially reconstructed backgrounds well-modelled





# $B^0 \rightarrow K^* e^+ e^-$ branching fraction

JHEP05(2013)159



$$\mathcal{B}(B^0 \rightarrow K^{*0} e^+ e^-)_{30-1000 \text{ MeV}/c^2} = (3.1^{+0.9}_{-0.8} \text{ } ^{+0.2}_{-0.3} \pm 0.2) \times 10^{-7}$$

- Normalised to  $B^0 \rightarrow J/\psi(e^+e^-)K^{*0}$
- Signal resolution, and background type/rate depend on hardware trigger
- 2 mutually exclusive trigger selections
- Measurement limited by sample size
- 4.6 $\sigma$  significance from background

- Agrees with SM  $\mathcal{B}$  prediction ☹️

$$- (2.43^{+0.66}_{-0.47}) \times 10^{-7}$$

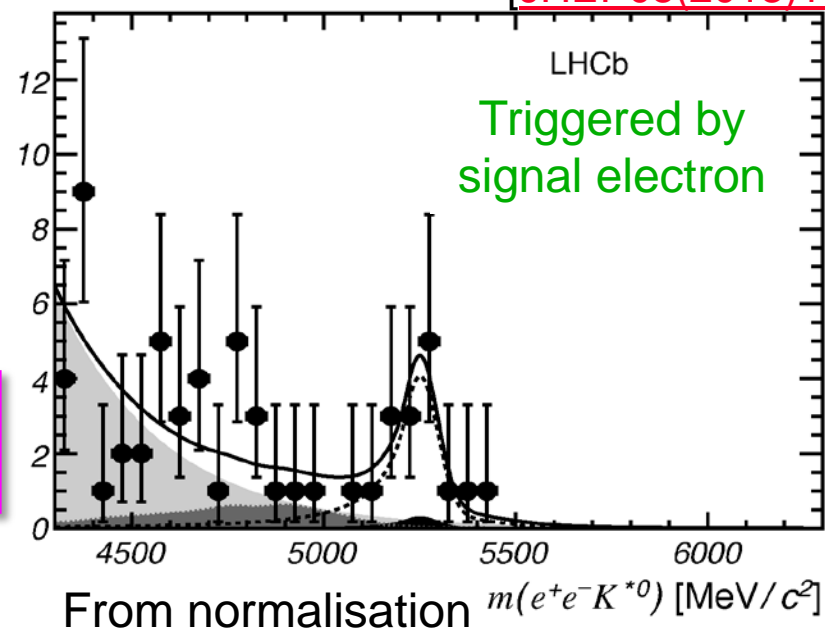
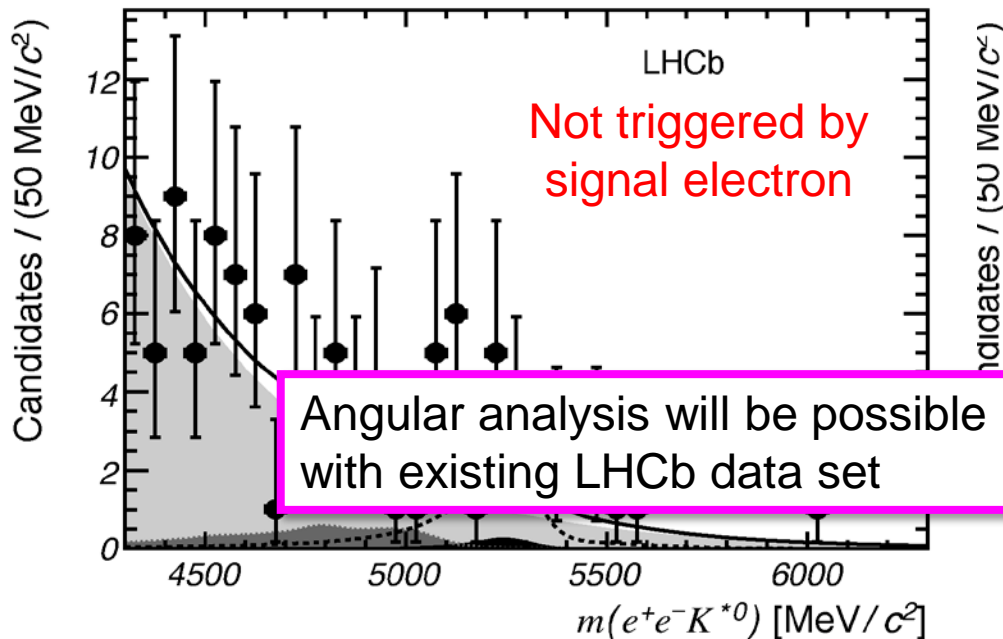
[Jager &amp; Camalich, JHEP 05 (2013) 043]

$$- 2.35 \times 10^{-7}$$

[Y. Grossman &amp; Pirjol, JHEP 06 (2000) 029]

# $B^0 \rightarrow K^* e^+ e^-$ branching fraction

JHEP05(2013)159



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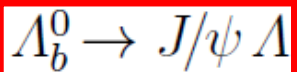
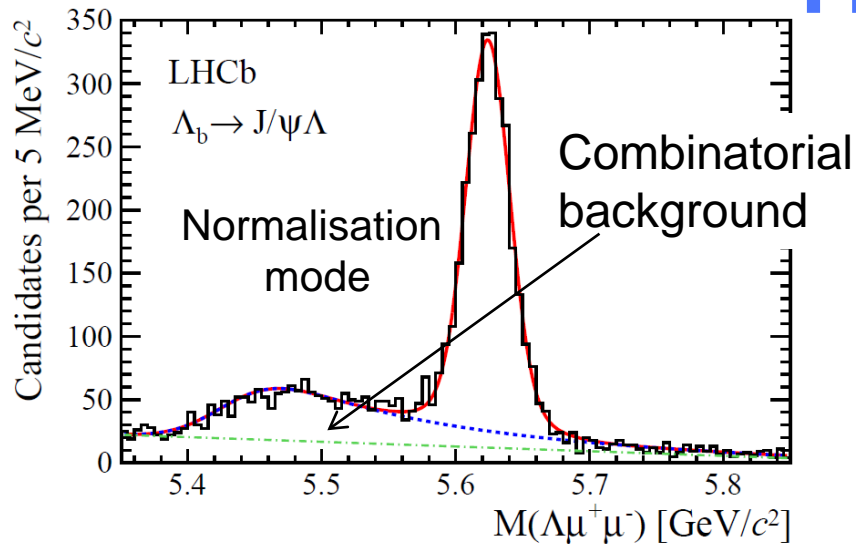
# $\Lambda_b \rightarrow \Lambda \mu^+ \mu^-$ branching fraction

- First measurement at LHC
- Extends the  $b \rightarrow s$  FCNC study into baryonic sector
- **Non-zero initial spin gives access to helicity structure not available in mesonic state**
- Theory less-well developed than in meson case
  - Less experimental data
- Selection
  - Final state has long lived  $\Lambda$ , so consider behaviour of decay products within/beyond acceptance of our vertex detector
  - ANN selection, kinematic and topological observables
  - Distinctive kinematics allow us to not require PID except  $\mu$  (gains efficiency)
- Measured relative to  $\Lambda_b^0 \rightarrow J/\psi \Lambda$  ( $J/\psi \rightarrow \mu^+ \mu^-$ )
  - Signal for training from MC
  - Training background from upper mass sideband of  $\Lambda_b^0$  mass spectrum

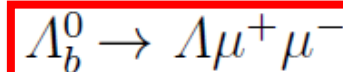
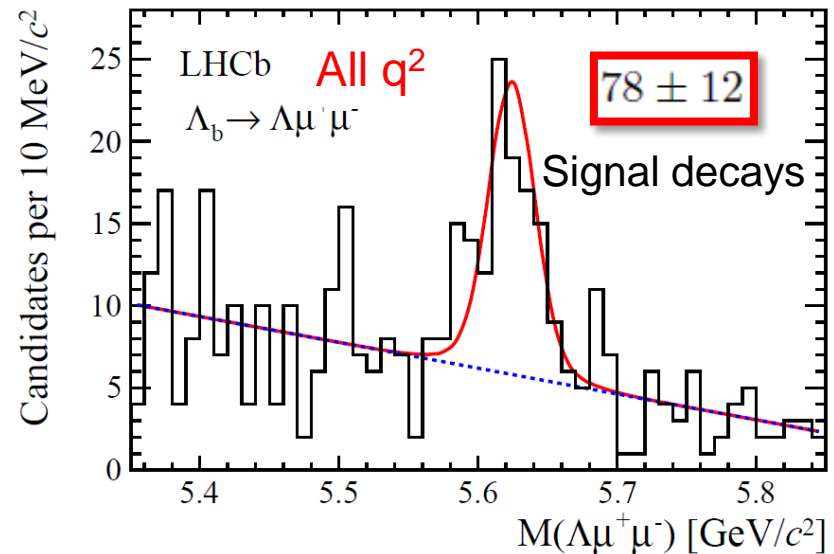


# Yields

[arXiv:1306.2577]



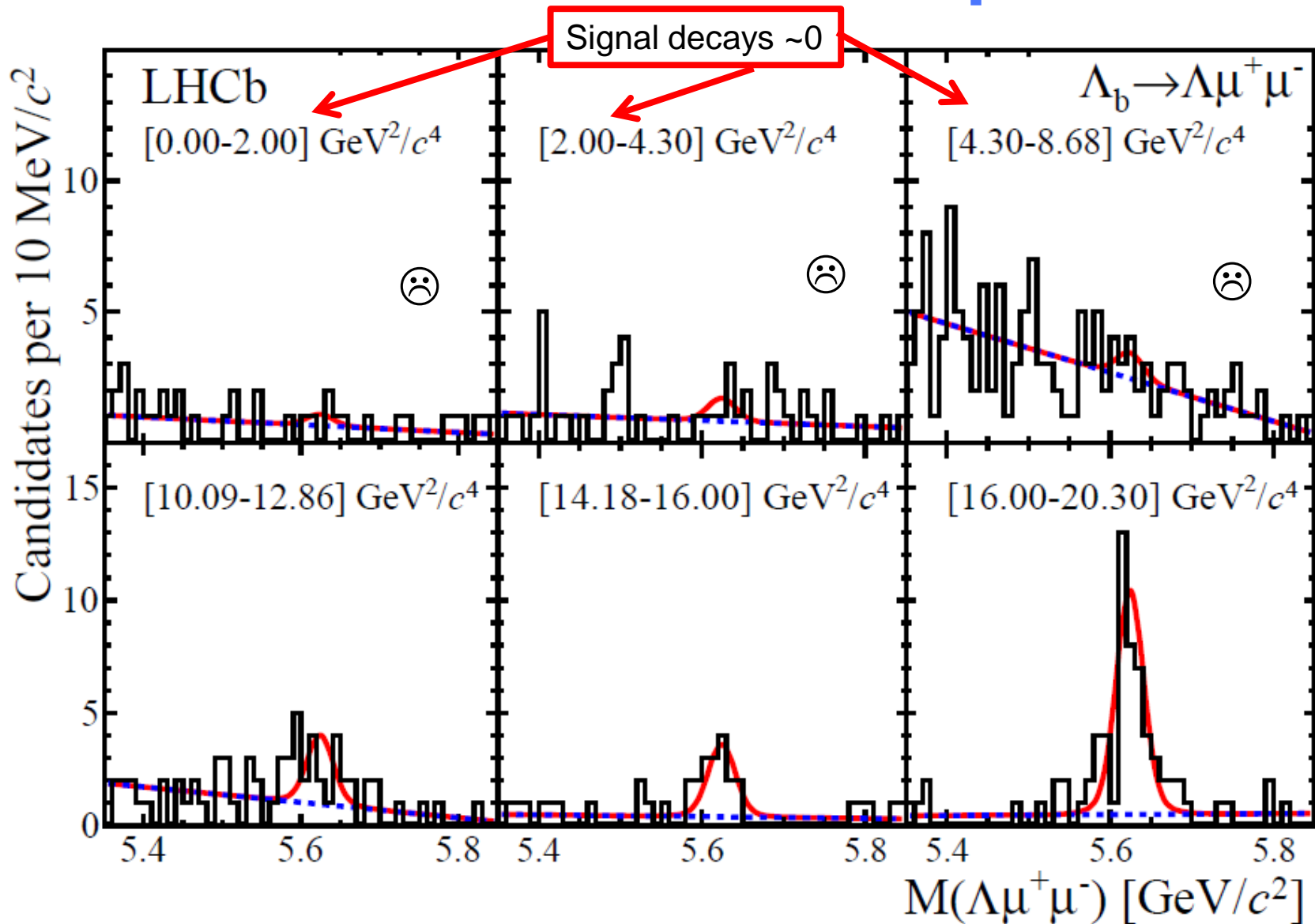
- Backgrounds
  - $B^0 \rightarrow J/\psi K_S^0$  ( $K_S^0 \rightarrow \pi^+ \pi^-$ )
  - Broad shape, peaks below  $\Lambda_b^0$
  - Account for in fit (shape from MC)
- For normalisation mode
  - Double Gaussian, common mean, relative fractions from MC, otherwise free



- Backgrounds
  - $B^0 \rightarrow K_S^0 \mu^+ \mu^-$  with  $\pi \rightarrow p$  mis-id
  - Estimate rate negligible
- For signal mode
  - Double Gaussian, parameters from normalisation mode data



# Candidates vs. $q^2$

[\[arXiv:1306.2577\]](https://arxiv.org/abs/1306.2577)


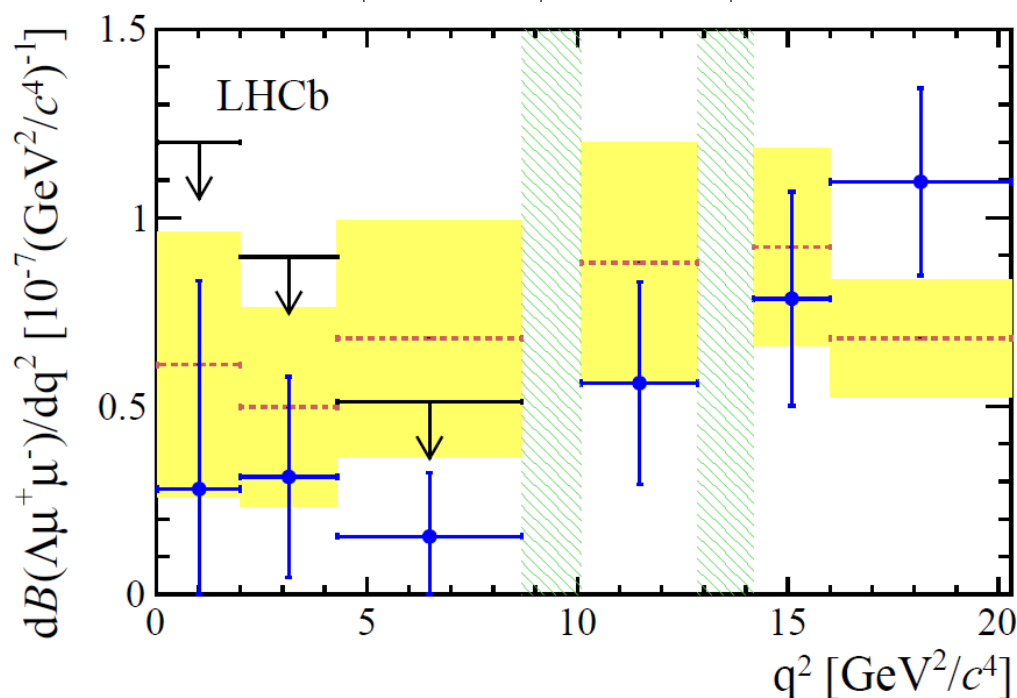


# $\Lambda_b \rightarrow \Lambda \mu^+ \mu^-$ signal yields

[\[arXiv:1306.2577\]](https://arxiv.org/abs/1306.2577)

$q^2$ interval [GeV <sup>2</sup> /c <sup>4</sup> ]	$N_S$	$N_B$	Statistical significance
0.00 – 2.00	$2 \pm 3$	$34 \pm 6$	0.8
2.00 – 4.30	$4 \pm 3$	$42 \pm 7$	1.4
4.30 – 8.68	$4 \pm 5$	$134 \pm 12$	1.0
10.09 – 12.86	$13 \pm 5$	$52 \pm 8$	3.4
14.18 – 16.00	$14 \pm 4$	$20 \pm 5$	4.9
16.00 – 20.30	$44 \pm 7$	$24 \pm 6$	9.8
Integrated yield	$78 \pm 12$	$310 \pm 19$	8.9

- Significance from fits

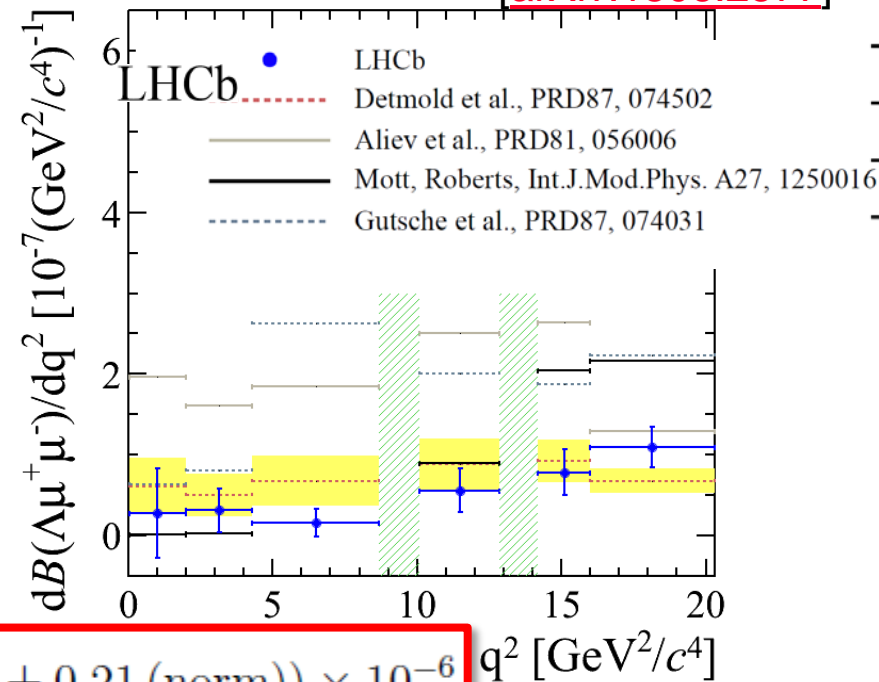
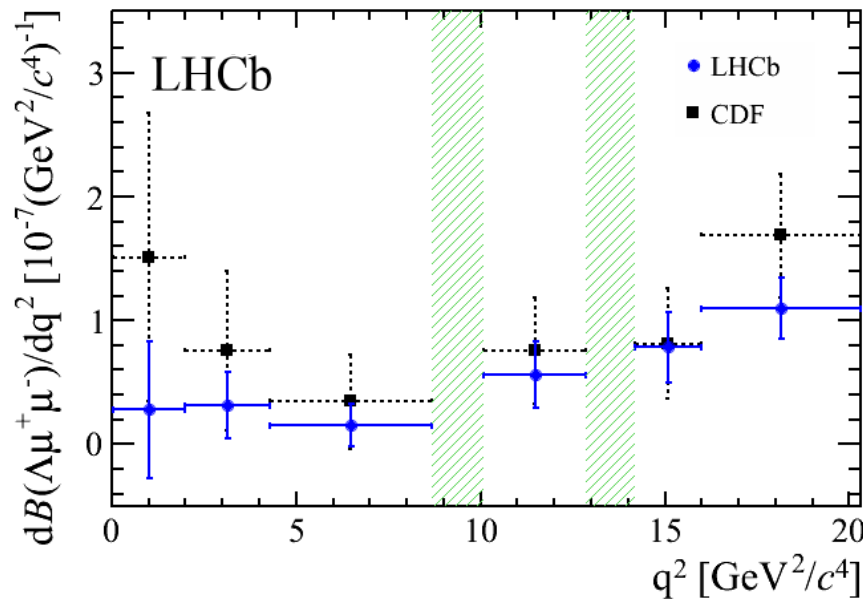


SM: Detmold, Lin, Meinel, Wingate,  
Phys.Rev.D87(2013)074502

.....



# Differential branching fractions

[arXiv:1306.2577](https://arxiv.org/abs/1306.2577)


$$\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda\mu^+\mu^-) = (0.96 \pm 0.16 \text{ (stat)} \pm 0.13 \text{ (syst)} \pm 0.21 \text{ (norm)}) \times 10^{-6}$$

- Consistent with 1<sup>st</sup> observation of this mode by CDF
- Significant signal so far observed for  $q^2 > m_{J/\psi}^2$
- Upper limits set in lowest 3  $q^2$  bins
- Consistent with SM
- Clearly statistics limited
  - but we have 2x already under study
- New theoretical activity welcome ☺
- Full angular analysis coming...



# Rare charm searches for $D^+_{(s)} \rightarrow \pi^\pm \mu^+ \mu^\mp$

- **FCNC  $c \rightarrow u \mu^+ \mu^-$  decays**

- Large GIM suppressions

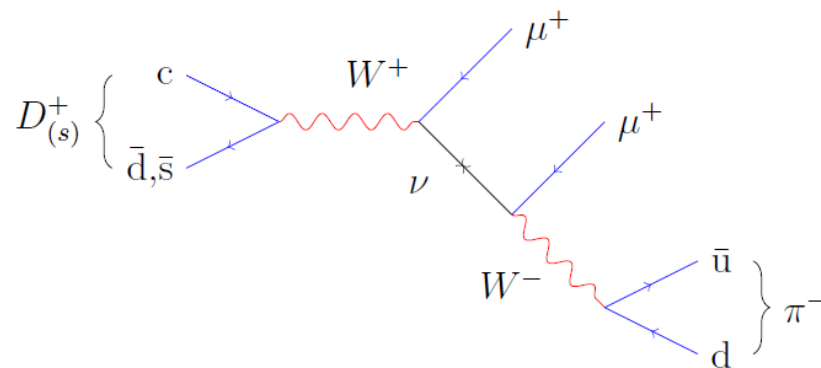
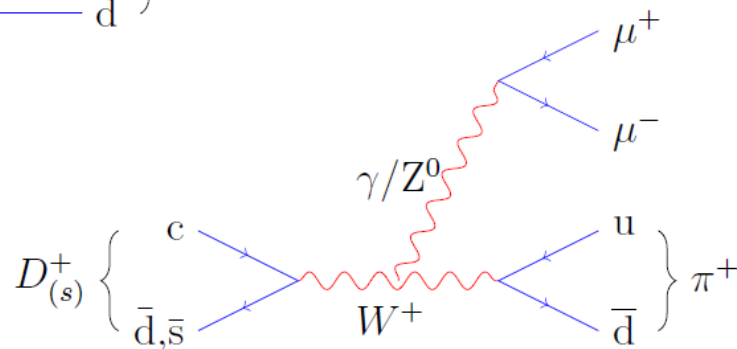
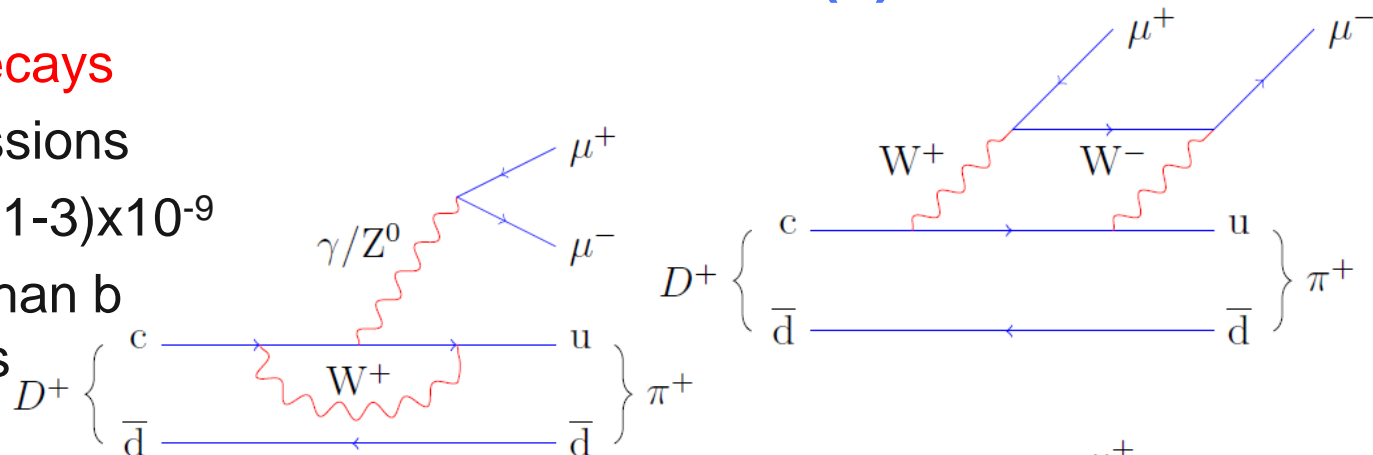
- SM  $B(c \rightarrow u \mu^+ \mu^-) \sim (1-3) \times 10^{-9}$

- More suppressed than b case as no t quarks in loops

- Can be enhanced by non-SM contributions

- Weak annihilation

- **LVN decay** forbidden in SM as required exotic e.g. Majorana  $\nu$



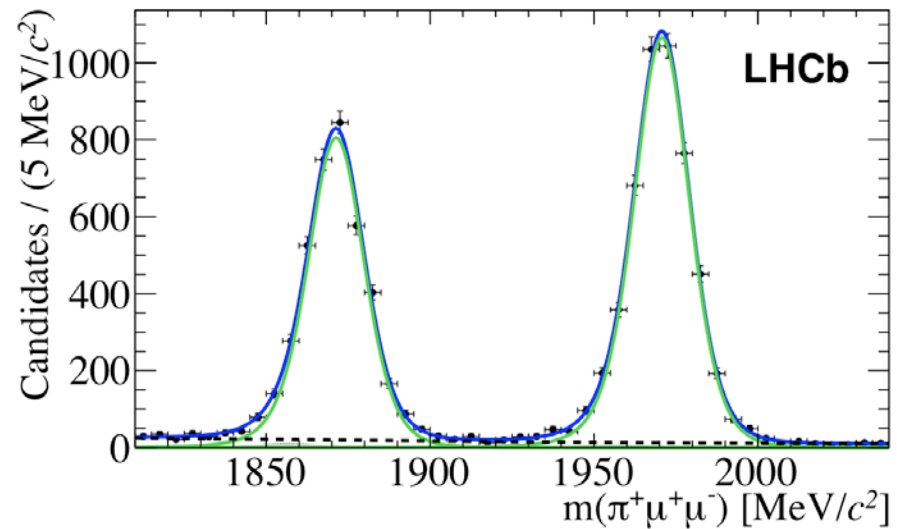
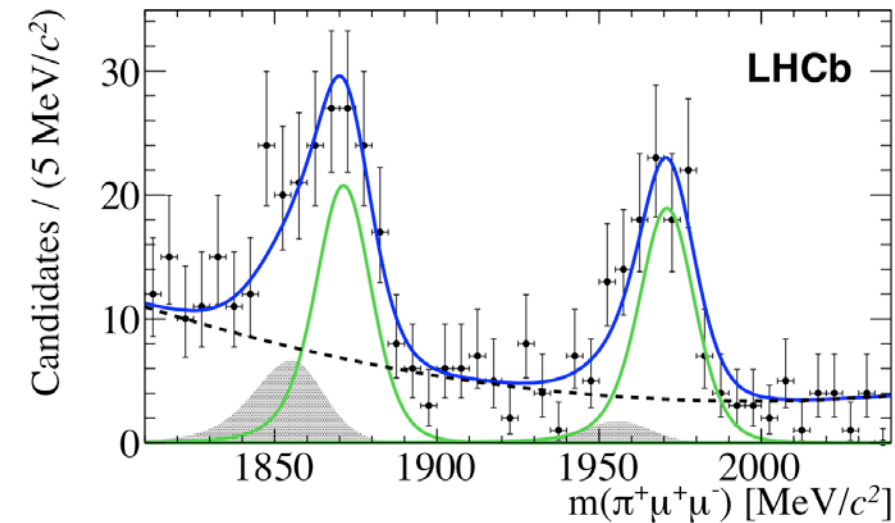
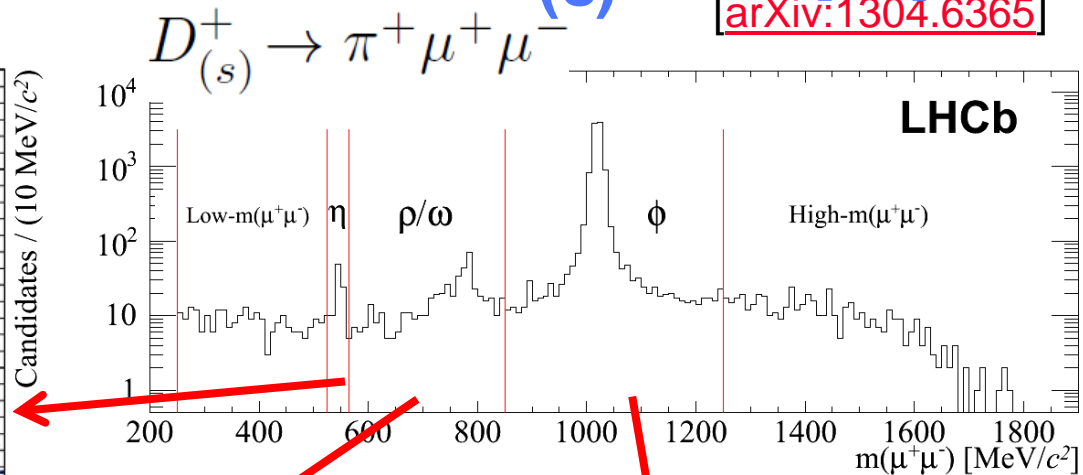
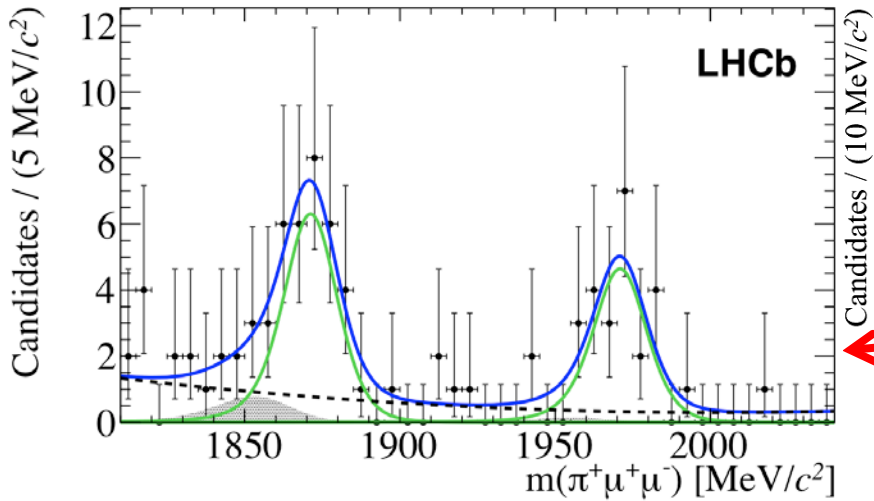




# Rare charm searches for $D^+_{(s)} \rightarrow \pi^+ \mu^+ \mu^-$

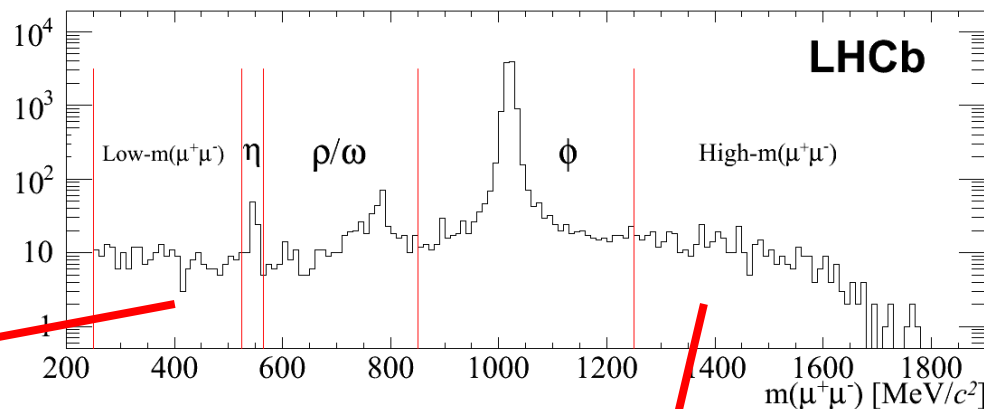
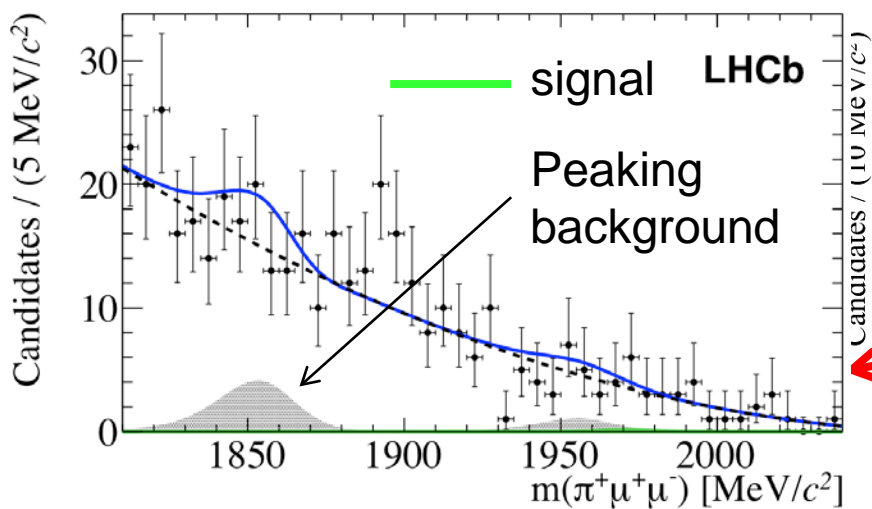
- $D^+_{(s)}$  reconstructed from 3 good tracks, vertex quality,  $D^+_{(s)}$  flight direction, ...
- BDT classifies candidates as signal/background using topological and kinematic observables
  - Training Signal is MC  $D^+ \rightarrow \pi^+ \mu^+ \mu^-$
  - Background  $D^+_{(s)} \rightarrow \pi^+ \mu^+ \mu^-$  mass sidebands
    - Independent 2010 data, 36/pb, not use elsewhere in analysis
- Dominant background is  $D^+_{(s)} \rightarrow \pi^+ \pi^+ \pi^-$ 
  - Peaking background extracted from fit with looser PID and alternative mass hypothesis
- Normalisation channel  $D^+_{(s)} \rightarrow \phi (\mu^+ \mu^-) \pi$ 
  - Also used as proxy for pid study, optimise significance by fitting...
- Analysis carried out in
  - 5 bins of  $m(\mu^+ \mu^-)$  bins for FCNC
  - 4 bins of  $m(\mu^+ \pi^-)$  for LNV) search – separate above/below resonance regions

# Rare charm searches for $D^+_{(s)} \rightarrow \pi^+ \mu^+ \mu^-$ [\[arXiv:1304.6365\]](https://arxiv.org/abs/1304.6365)





# Limits for rare charm $D^+_{(s)} \rightarrow \pi^+ \mu^+ \mu^-$ [arXiv:1304.6365]

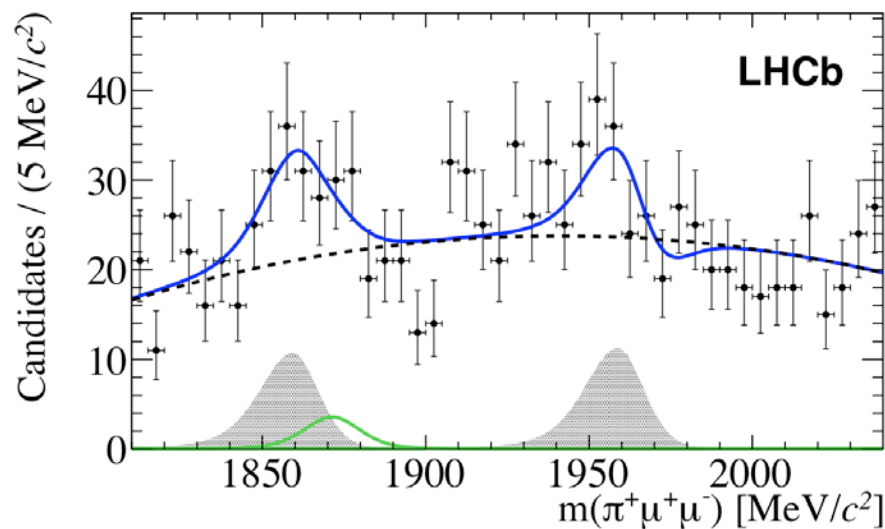


- Look for new physics away from known resonance regions
  - low and high mass regions
- No excess signal observed
- Limits set at 90 (95) %CL (extrapolate to total  $\mathcal{B}$  using phase space model)

$$\mathcal{B}(D^+ \rightarrow \pi^+ \mu^+ \mu^-) < 7.3 (8.3) \times 10^{-8}$$

$$\mathcal{B}(D^+_s \rightarrow \pi^+ \mu^+ \mu^-) < 4.1 (4.8) \times 10^{-7}$$

- ~50x stronger than previous





# Limits for rare charm $D^+_{(s)} \rightarrow \pi \mu^+ \mu^+$

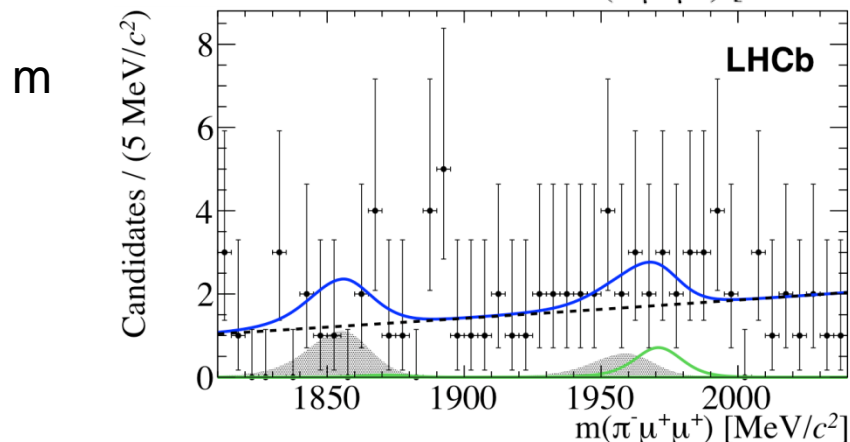
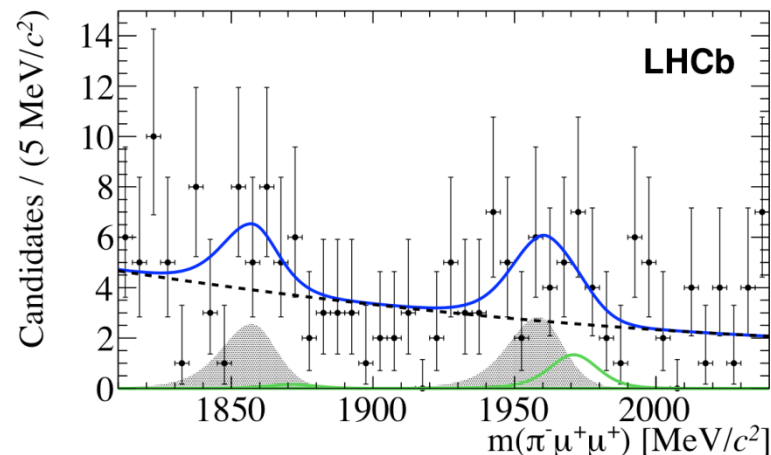
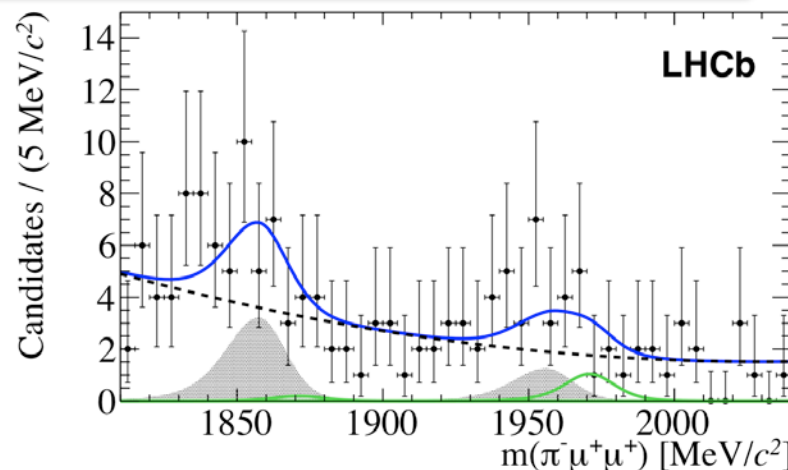
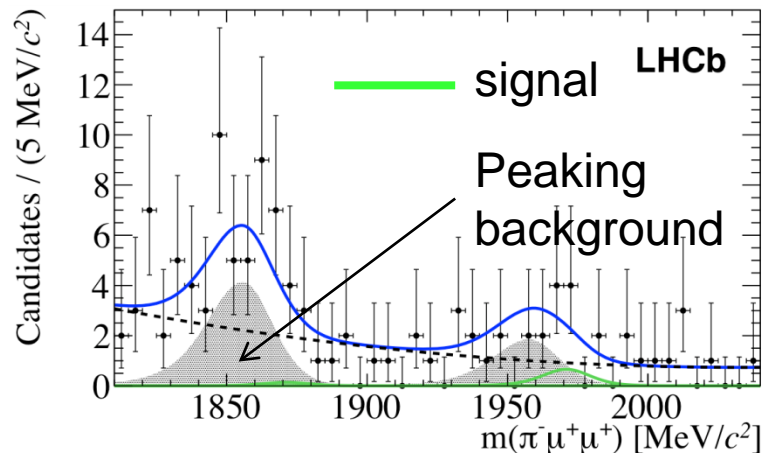
[arXiv:1304.6365]

Limits set at 90 (95) % CL (extrapolate to total B using phase space model)

Also ~50x stronger than previous

$$\mathcal{B}(D^+ \rightarrow \pi^- \mu^+ \mu^+) < 2.2 (2.5) \times 10^{-8}$$

$$\mathcal{B}(D_s^+ \rightarrow \pi^- \mu^+ \mu^+) < 1.2 (1.4) \times 10^{-7}$$





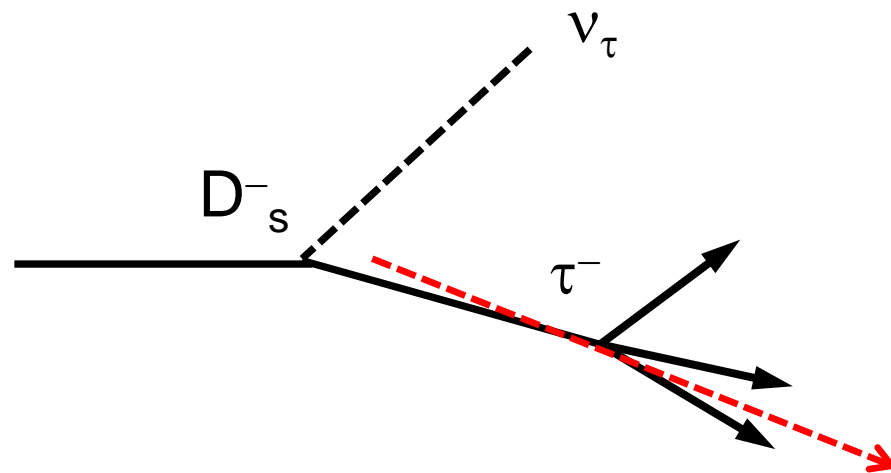
# LFV and baryon number in $\tau$ decays

- Charged Lepton Flavour Violation and Baryon Number Violation would be clear signals of BSM physics
- $\tau$  are an ideal place for these studies
  - Large cross-section, inclusive  $\sim 80\mu\text{b}$  at LHC
- SM predictions for LFV,  $\mathcal{B} < 10^{-40}$ 
  - We use  $\tau^- \rightarrow \mu^- \mu^+ \mu^-$
  - Current best limit (Belle)  $\mathcal{B}(\tau^- \rightarrow \mu^- \mu^+ \mu^-) < 2.1 \times 10^{-8}$  @ 90%CL
- BNV
  - Studied in modes  $\tau^- \rightarrow \bar{p} \mu^+ \mu^-$  and  $\tau^- \rightarrow p \mu^- \mu^-$
  - $|\Delta(B - L)| = 0$  in most models (angular momentum)
  - LFV follows
  - These modes never previously studied
- Calibration and normalisation mode  $D_s^- \rightarrow \phi \pi^-$  ( $\phi \rightarrow \mu^+ \mu^-$ )
- Discuss LFV in  $\tau^- \rightarrow \mu^- \mu^+ \mu^-$  then BNV in  $\tau^- \rightarrow \bar{p} \mu^+ \mu^-$

# LFV in $\tau \rightarrow \mu\mu\mu$ decays

## Selection

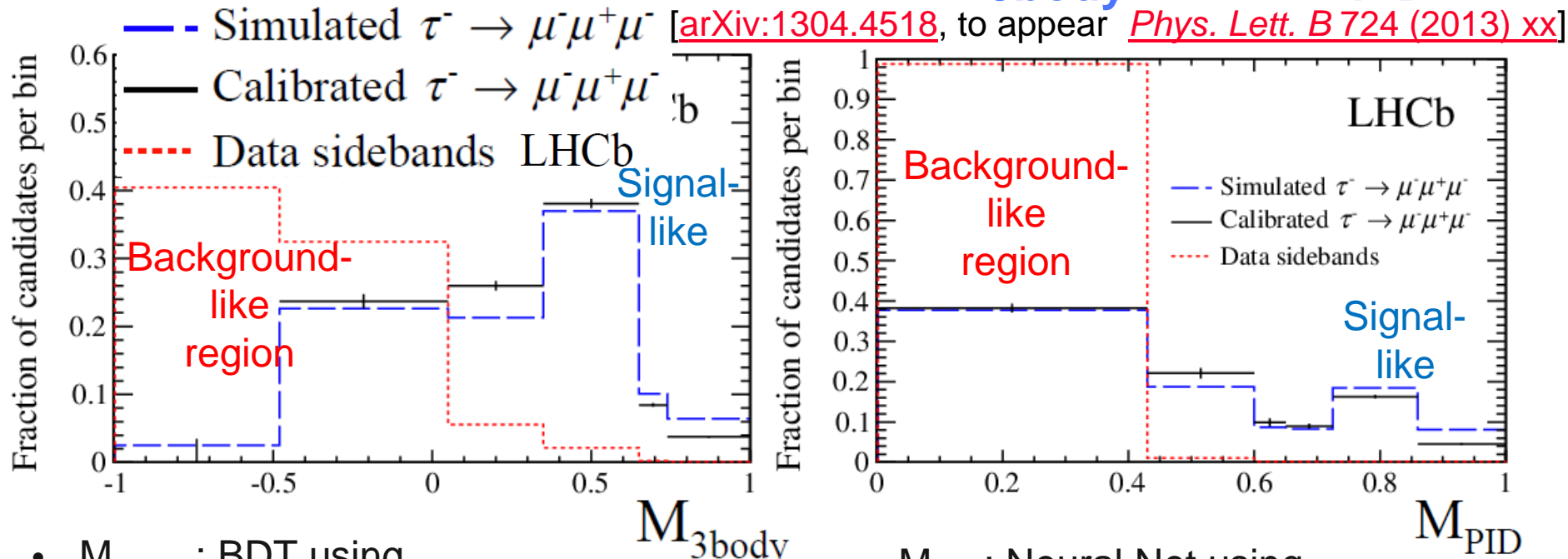
- Loose cut-based selection
- Blinded mass windows  $\sim 3\sigma_m$  around  $m_\tau$
- Separate signal from background using 2 likelihoods & invariant mass
  - $M_{3\text{body}}$ 
    - 3 body decay topology
  - $M_{\text{PID}}$ 
    - Muon identification for 3 candidates
  - $m_\tau$



- Decay vertex displaced from primary vertex
  - 3 good quality tracks,  $p_T > 0.3 \text{ GeV}/c$
  - Pointing of 3-track momentum consistent with flight direction (low Q-values)
- Decay time  $\sim$  heavy meson or  $\tau$
- Invariant mass =  $m_\tau \pm 20 \text{ MeV}/c^2$



# LFV in $\tau \rightarrow \mu\mu\mu$ decays: $M_{3\text{body}}$ and $M_{\text{PID}}$

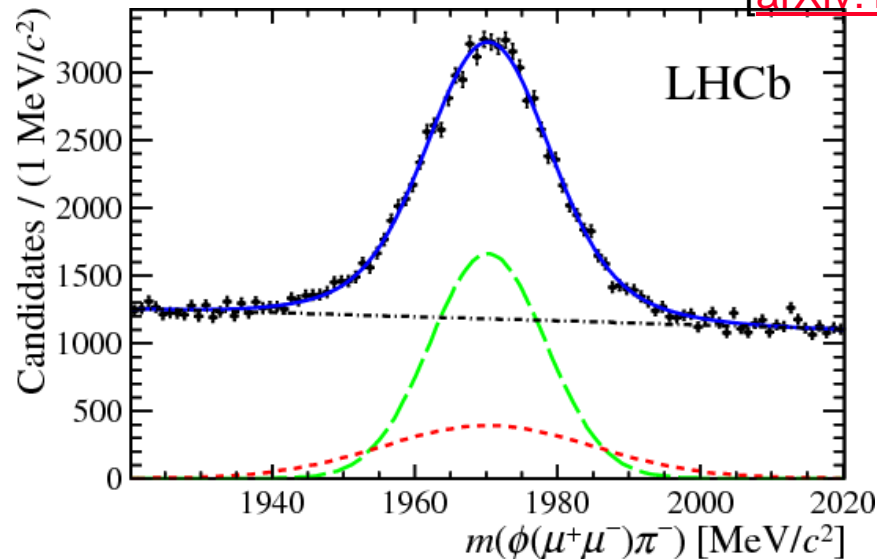


- $M_{3\text{body}}$ : BDT using
  - **Topology**: vertex separation and pointing
  - **Kinematics**:  $p_T$  of  $\tau$
- Trained using MC for signal and background
  - Fractions of heavy quark backgrounds scaled to  $D_s^- \rightarrow \phi(\mu^+ \mu^-) \pi^-$  data
  - Calibrated with data
  - Binning iterated to optimise
- $M_{\text{PID}}$ : Neural Net using
  - Quantify each of 3 particles compatibility with  $m$  (RICH, CALO, MUON)
  - **Lowest** value of 3 particles
- Calibrated using  $J/\psi \rightarrow \mu^+ \mu^-$  data
- Lowest  $M_{3\text{body}}$  and  $M_{\text{PID}}$  bins
  - Do not improve expected sensitivity
  - **→ removed**



# LFV in $\tau \rightarrow \mu\mu\mu$ decays: invariant mass

[[arXiv:1304.4518](https://arxiv.org/abs/1304.4518), to appear in *Phys. Lett. B* 724 (2013) xx]



- Fit also gives yield of normalisation mode
- Additional mass cuts to eliminate specific backgrounds

- Signal

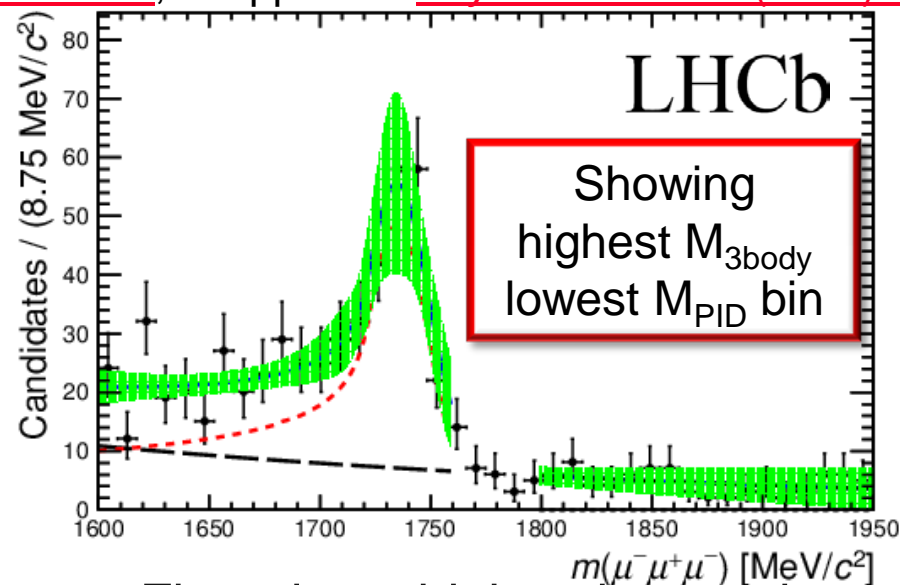
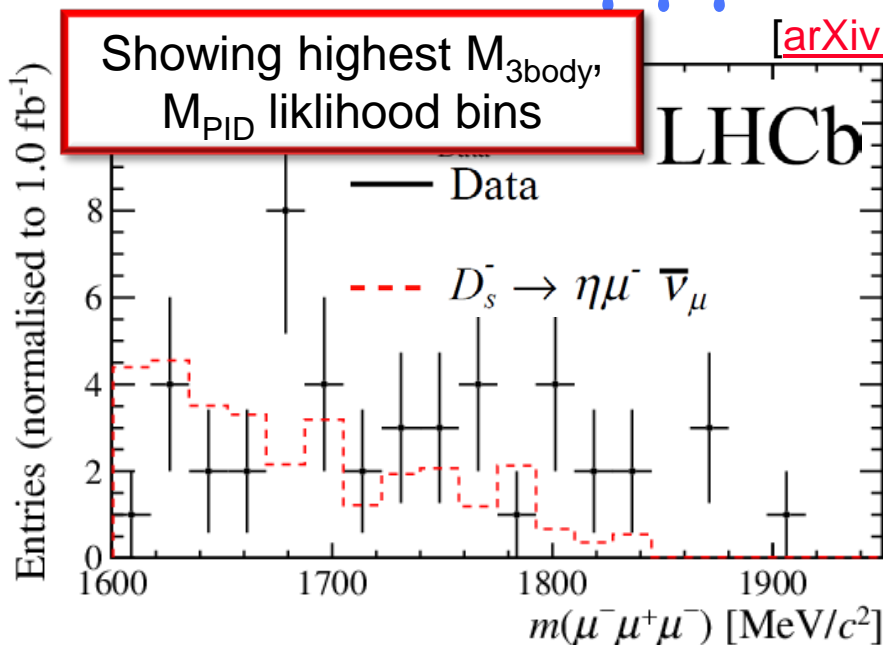
- Shape from 2 Gaussian fit (common mean) to  $D_s^- \rightarrow \phi(\mu^+ \mu^-) \pi^-$  data
- Narrower Gaussian accounts for ~70% of yield
- Widths from signal MC scaled by data/MC for  $D_s^- \rightarrow \phi(\mu^+ \mu^-) \pi^-$





# LFV in $\tau \rightarrow \mu\mu\mu$ decays: backgrounds

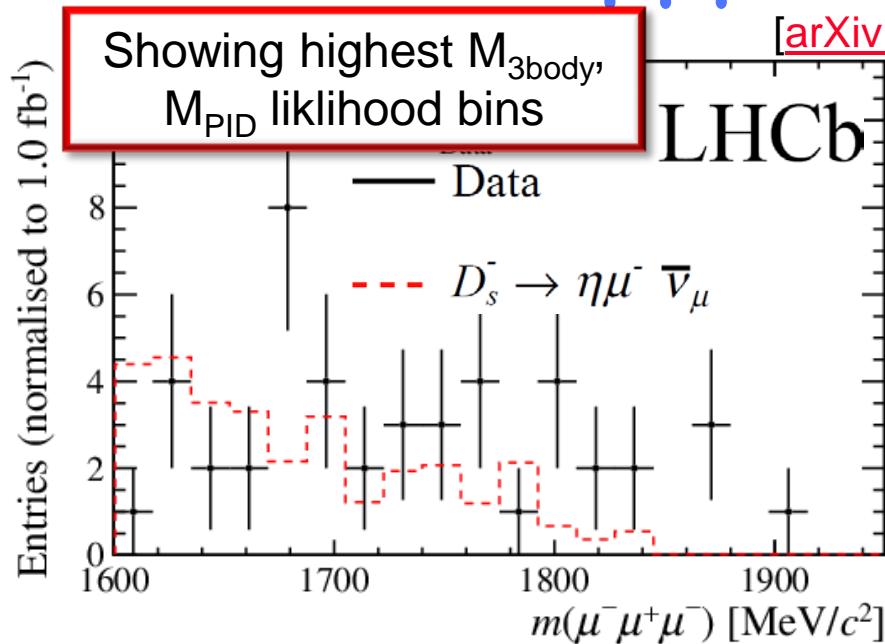
[arXiv:1304.4518, to appear in *Phys. Lett. B* 724 (2013) xx]



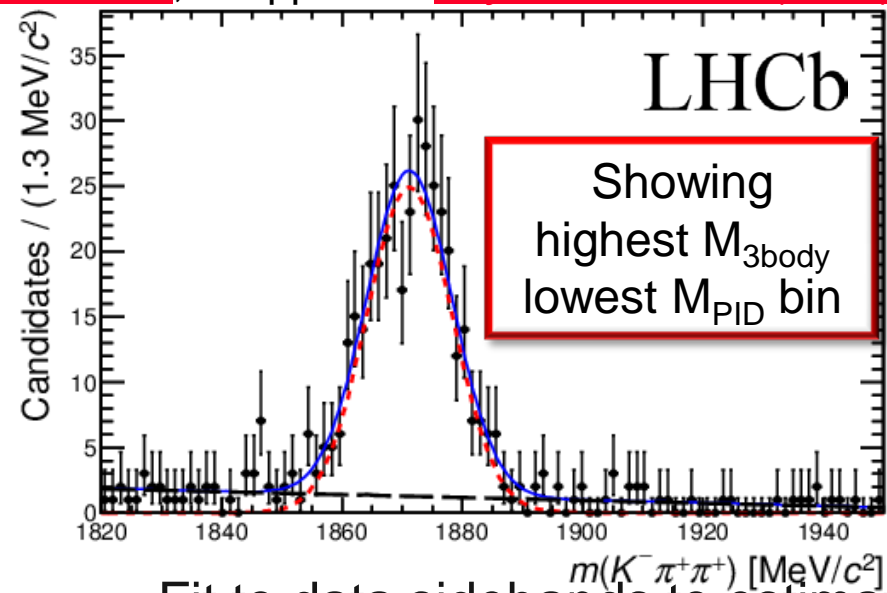
- Dominant background is combinatorial in final selection
  - Linear functions
- Peaking backgrounds
  - 3 real muons  $D_s^- \rightarrow \eta(\mu^+ \mu^- \gamma)\mu^- \bar{\nu}_\mu$
  - Irreducible contribution
  - Reject if any  $\mu\mu$  mass  $< 450 \text{ MeV}/c^2$
  - Fit remaining  $\sim 20\%$  of this as linear
- Fit to data sidebands to estimate background in signal box
- Strong peaking background from 3 particles mis-identified as muons (lowest  $M_{\text{PID}}$  bin)

# LFV in $\tau \rightarrow \mu\mu\mu$ decays: backgrounds

[arXiv:1304.4518, to appear in *Phys. Lett. B* 724 (2013) xx]



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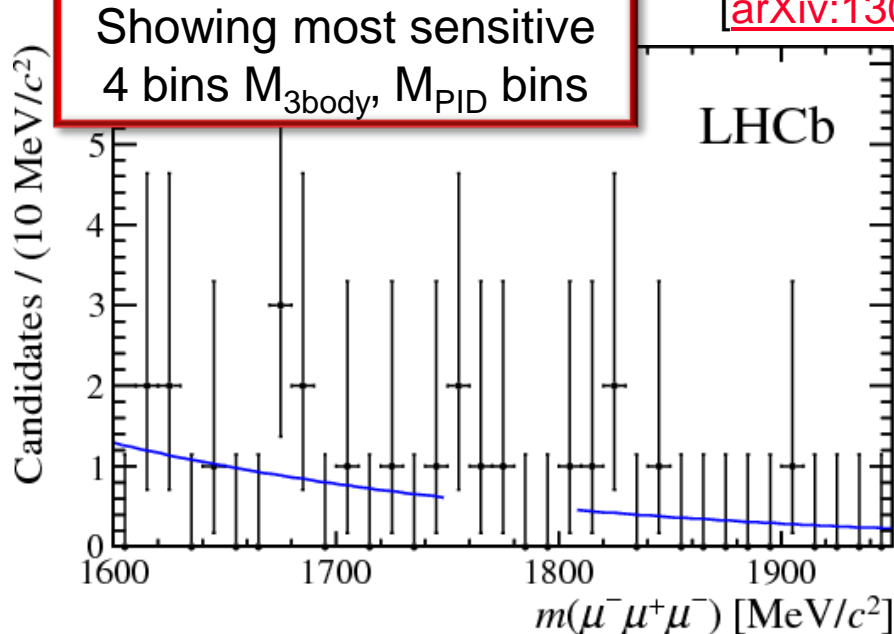


- Fit to data sidebands to estimate background in signal box
- Strong peaking background from 3 particles mis-identified as muons (lowest  $M_{\text{PID}}$  bin)
- Refit with  $K^- \pi^+ \pi^+$  hypothesis
- Justifies exclusion of lowest  $M_{\text{PID}}$  bin



# LFV in $\tau \rightarrow \mu\mu\mu$ decays: backgrounds

[arXiv:1304.4518, to appear in *Phys. Lett. B* 724 (2013) xx]



- No peaking background remains
  - Treat as exponential, interpolate to signal region (~1760-1800 MeV/c<sup>2</sup>)
- Normalisation relative to
  - ~48k selected
- Correction factor  $D_s^- \rightarrow \phi(\mu^+ \mu^-) \pi^-$  absolute  $\sigma$  or luminosity required, signal/calibration
- Other factors from data (LHCb, PDG, BaBar)

$$\mathcal{B}(\tau^- \rightarrow \mu^- \mu^+ \mu^-)$$

$$= \mathcal{B}(D_s^- \rightarrow \phi(\mu^+ \mu^-) \pi^-) \times \frac{f_\tau^{D_s}}{\mathcal{B}(D_s^- \rightarrow \tau^- \bar{\nu}_\tau)} \times \frac{\epsilon_{\text{cal}}^{\text{REC\&SEL}}}{\epsilon_{\text{sig}}^{\text{REC\&SEL}}} \times \frac{\epsilon_{\text{cal}}^{\text{TRIG}}}{\epsilon_{\text{sig}}^{\text{TRIG}}} \times \frac{N_{\text{sig}}}{N_{\text{cal}}}$$

$$= \alpha \times N_{\text{sig}},$$



# Normalisation for $\tau \rightarrow \mu\mu\mu$ , $p\mu^+\mu^-$ decays

	$\tau^- \rightarrow \mu^- \mu^+ \mu^-$	$\tau^- \rightarrow \bar{p} \mu^+ \mu^-$	$\tau^- \rightarrow p \mu^- \mu^-$
$\mathcal{B}(D_s^- \rightarrow \phi(\mu^+ \mu^-) \pi^-)$	$(1.33 \pm 0.12) \times 10^{-5}$		
$f_{\tau}^{D_s}$	$0.78 \pm 0.05$		
$\mathcal{B}(D_s^- \rightarrow \tau^- \bar{\nu}_{\tau})$	$0.0561 \pm 0.0024$		
$\epsilon_{\text{cal}}^{\text{REC\&SEL}} / \epsilon_{\text{sig}}^{\text{REC\&SEL}}$	$1.49 \pm 0.12$	$1.35 \pm 0.12$	$1.36 \pm 0.12$
$\epsilon_{\text{cal}}^{\text{TRIG}} / \epsilon_{\text{sig}}^{\text{TRIG}}$	$0.753 \pm 0.037$	$1.68 \pm 0.10$	$2.03 \pm 0.13$
$\epsilon_{\text{cal}}^{\text{PID}} / \epsilon_{\text{sig}}^{\text{PID}}$	n/a	$1.43 \pm 0.07$	$1.42 \pm 0.08$
$N_{\text{cal}}$	<b><math>48\,076 \pm 840</math></b>	<b><math>8\,145 \pm 180</math></b>	
$\alpha$	$(4.34 \pm 0.65) \times 10^{-9}$	$(7.4 \pm 1.2) \times 10^{-8}$	$(9.0 \pm 1.5) \times 10^{-8}$

$$\mathcal{B}(\tau^- \rightarrow \mu^- \mu^+ \mu^-)$$

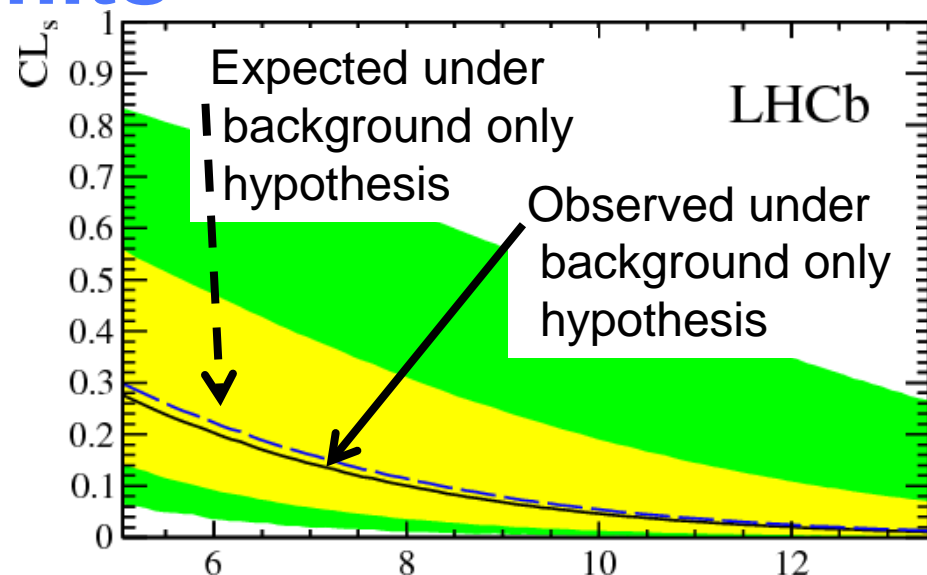
$$= \mathcal{B}(D_s^- \rightarrow \phi(\mu^+ \mu^-) \pi^-) \times \frac{f_{\tau}^{D_s}}{\mathcal{B}(D_s^- \rightarrow \tau^- \bar{\nu}_{\tau})} \times \frac{\epsilon_{\text{cal}}^{\text{REC\&SEL}}}{\epsilon_{\text{sig}}^{\text{REC\&SEL}}} \times \frac{\epsilon_{\text{cal}}^{\text{TRIG}}}{\epsilon_{\text{sig}}^{\text{TRIG}}} \times \frac{N_{\text{sig}}}{N_{\text{cal}}}$$

$$= \alpha \times N_{\text{sig}} ,$$



# Limits

$\mathcal{M}_{\text{PID}}$	$\mathcal{M}_{3\text{body}}$	Expected	Observed
0.43 – 0.6	–0.48 – 0.05	$345.0 \pm 6.7$	409
	0.05 – 0.35	$83.8 \pm 3.3$	68
	0.35 – 0.65	$30.2 \pm 2.0$	35
	0.65 – 0.74	$4.3 \pm 0.8$	2
	0.74 – 1.0	$1.4 \pm 0.4$	1
0.6 – 0.65	–0.48 – 0.05	$73.1 \pm 3.1$	64
	0.05 – 0.35	$18.3 \pm 1.5$	15
	0.35 – 0.65	$8.6 \pm 1.1$	7
	0.65 – 0.74	$0.4 \pm 0.1$	0
	0.74 – 1.0	$0.6 \pm 0.2$	2
0.65 – 0.725	–0.48 – 0.05	$45.4 \pm 2.4$	51
	0.05 – 0.35	$11.7 \pm 1.2$	6
	0.35 – 0.65	$5.3 \pm 0.8$	3
	0.65 – 0.74	$0.8 \pm 0.2$	1
	0.74 – 1.0	$0.4 \pm 0.1$	0
0.725 – 0.86	–0.48 – 0.05	$44.5 \pm 2.4$	62
	0.05 – 0.35	$10.6 \pm 1.2$	13
	0.35 – 0.65	$7.3 \pm 1.0$	7
	0.65 – 0.74	$1.0 \pm 0.2$	2
	0.74 – 1.0	$0.4 \pm 0.1$	0
0.86 – 1.0	–0.48 – 0.05	$5.9 \pm 0.9$	7
	0.05 – 0.35	$0.7 \pm 0.2$	1
	0.35 – 0.65	$1.0 \pm 0.2$	1
	0.65 – 0.74	$0.5 \pm 0.0$	0
	0.74 – 1.0	$0.4 \pm 0.1$	0



[[arXiv:1304.4518](https://arxiv.org/abs/1304.4518), BR( $\tau^- \rightarrow \mu^+ \mu^- \mu^-$ ) [ $\times 10^{-8}$ ] to appear in [Phys. Lett. B 724 \(2013\) xx](#)]

- No excess in signal region, set 90 (95)% CL

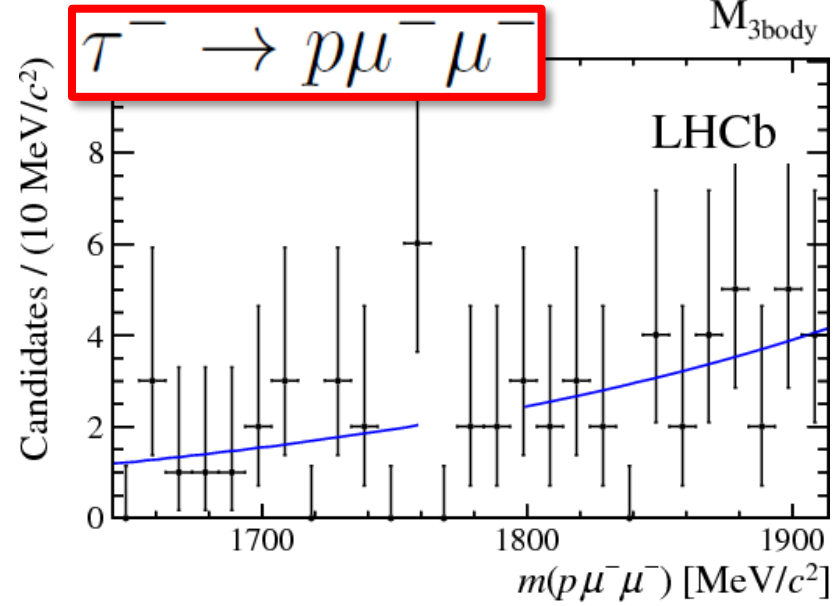
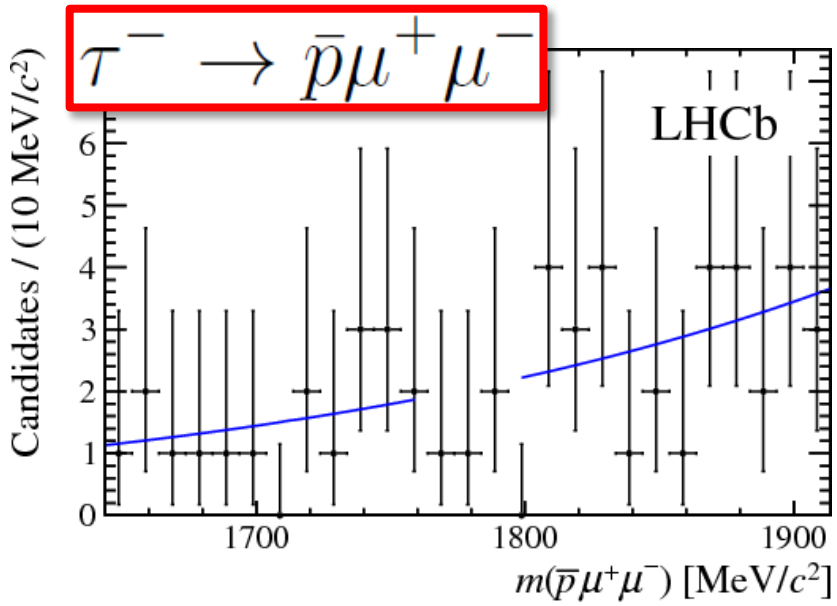
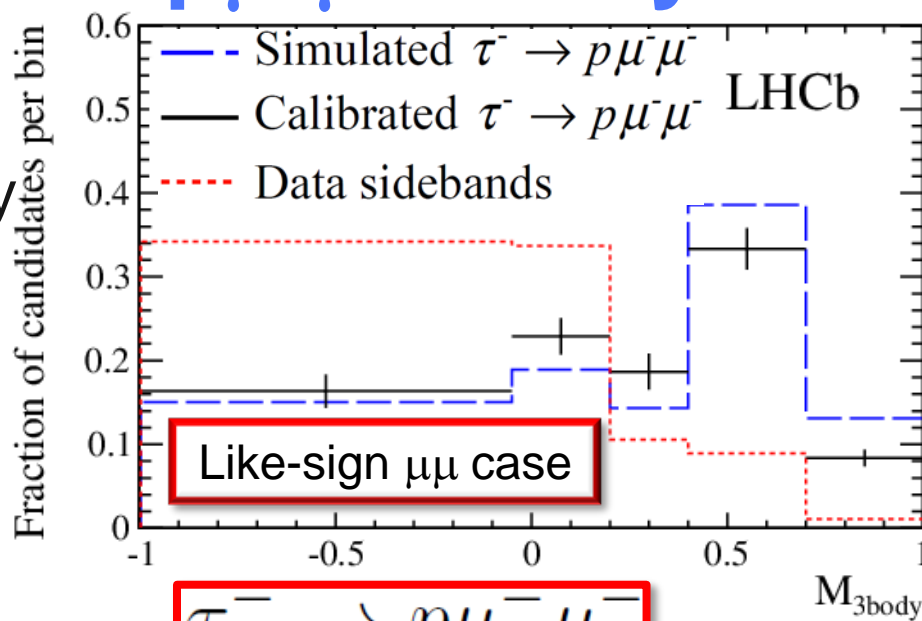
$$\mathcal{B}(\tau^- \rightarrow \mu^- \mu^+ \mu^-) < 8.0 \text{ (9.8)} \times 10^{-8}$$

- Phase space decay model
- Compatible with tighter Belle limit
- 1<sup>st</sup> limit set at hadron collider



# LFV/BNV in $\tau^+ \rightarrow p\mu^+\mu^-$ decays

- Very similar to  $3\mu$  mode
- Differs by replacing  $M_{\text{PID}}$  by cuts
- Also no significant signal found

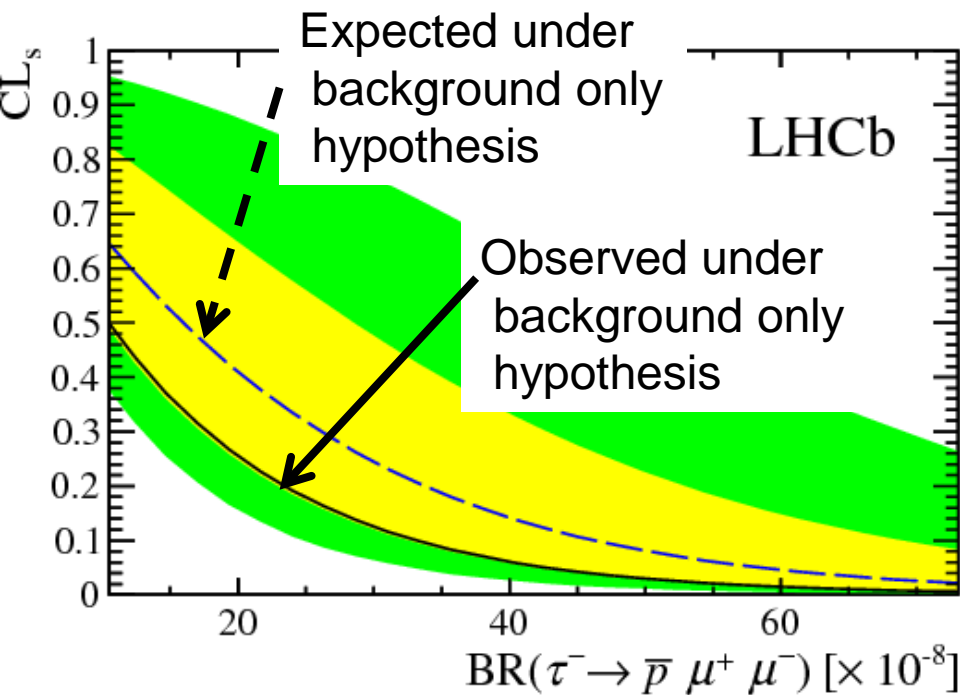




# Limits

[[arXiv:1304.4518](https://arxiv.org/abs/1304.4518), to appear in *Phys. Lett. B 724 (2013) xx*]

$\mathcal{M}_{3\text{body}}$	$\tau^- \rightarrow \bar{p}\mu^+\mu^-$		$\tau^- \rightarrow p\mu^-\mu^-$	
	Expected	Observed	Expected	Observed
-0.05 - 0.20	$37.9 \pm 0.8$	43	$41.0 \pm 0.9$	41
0.20 - 0.40	$12.6 \pm 0.5$	8	$11.0 \pm 0.5$	13
0.40 - 0.70	$6.76 \pm 0.37$	6	$7.64 \pm 0.39$	10
0.70 - 1.00	$0.96 \pm 0.14$	0	$0.49 \pm 0.12$	0



- No significant excess in signal region, set 90 (95)% CL
- 1<sup>st</sup> limits set on this mode

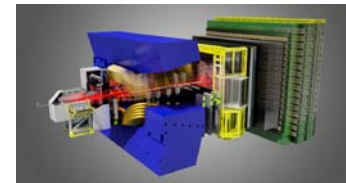
$$\mathcal{B}(\tau^- \rightarrow \bar{p}\mu^+\mu^-) < 3.3 \text{ (4.3)} \times 10^{-7}$$

$$\mathcal{B}(\tau^- \rightarrow p\mu^-\mu^-) < 4.4 \text{ (5.7)} \times 10^{-7}$$



# Conclusions

- **Rare decays** are one of the best ways to search for physics beyond the Standard Model
- **LHC** provides the enabling b production rates
- LHCb are exploiting these to stress-test the SM and relatives
- Several of measurements presented are world's best 😊
- The SM is (**so far**) consistent with the data ☹️
- **In all cases we have 2x more data already under study**
- **Still chance for discovery (discoveries?)**

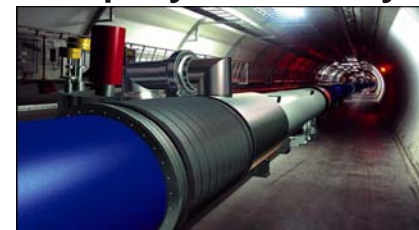






# Conclusions

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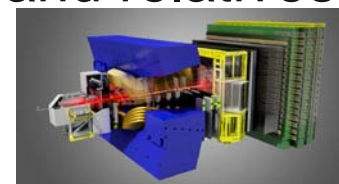
Comments (04-Apr-2013 18:48:13)

Phone:77600

\*\*\* END OF RUN 1 \*\*\*

No beam for a while. Access required  
time estimate: ~2 years

AFS: 50ns\_1374\_1368\_0\_1262\_144bpi12inj



best 😊

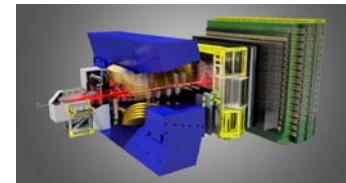
r study

- **Still chan** More data coming soon



# Conclusions

- **Rare decays** are one of the best ways to search for physics beyond the Standard Model
- **LHC** provides the enabling b production rates
- LHCb are exploiting these to stress-test the SM and relatives
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- The SM is (**so far**) consistent with the data 😞
- **In all cases we have 2x more data already under study**
- **Still chance for discovery (discoveries?)**





# Backup



# Limits for rare charm $D^+_{(s)} \rightarrow \pi^+ \mu^+ \mu^-$

[[arXiv:1304.6365](https://arxiv.org/abs/1304.6365)]

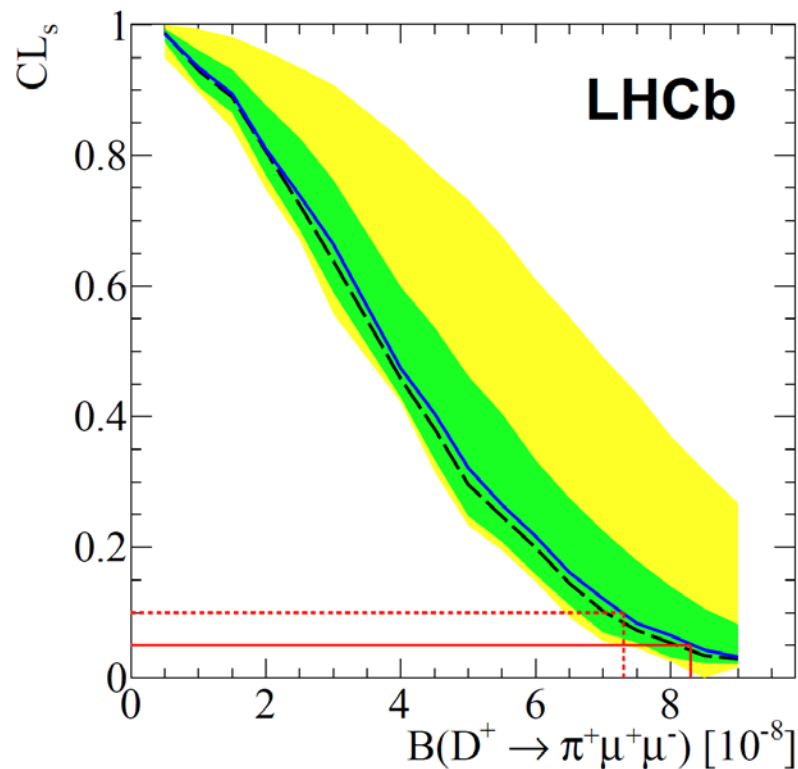


Figure 5: Observed (solid curve) and expected (dashed curve)  $CL_s$  values as a function of  $\mathcal{B}(D^+ \rightarrow \pi^+ \mu^+ \mu^-)$ . The green (yellow) shaded area contains the  $\pm 1\sigma$  ( $\pm 2\sigma$ ) interval of possible results compatible with the expected value if only background is observed. The upper limits at the 90% (95%) CL are indicated by the dashed (solid) line.



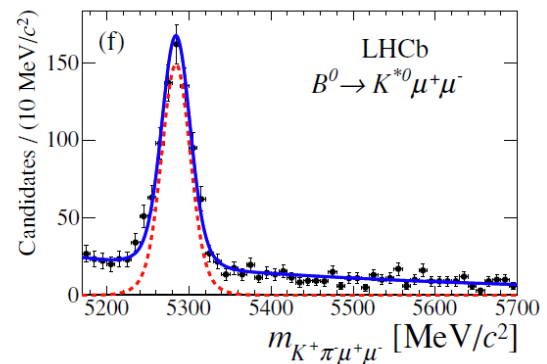
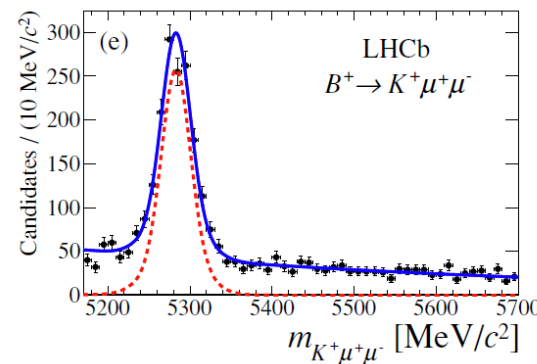
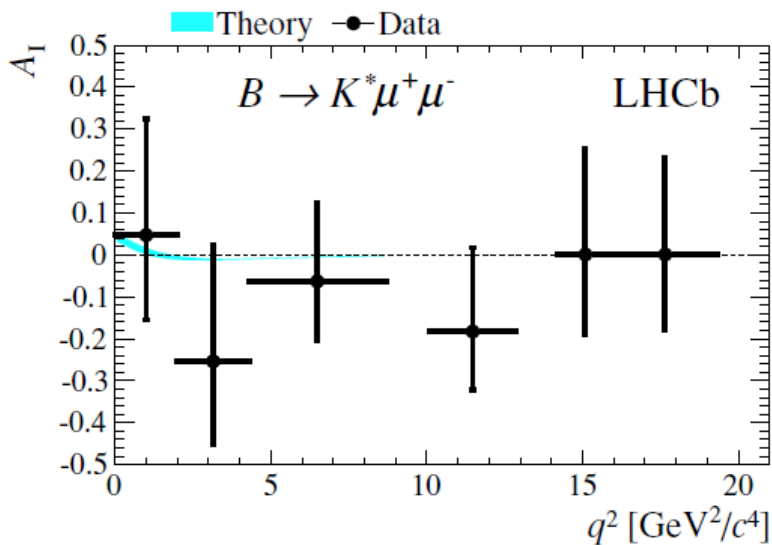
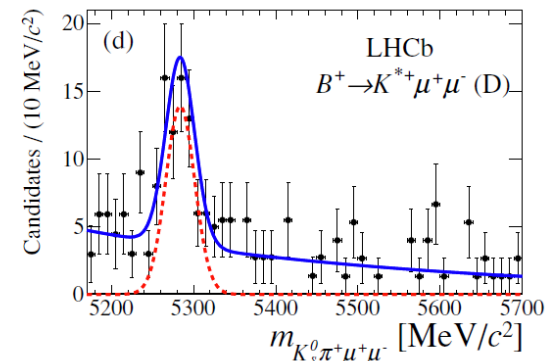
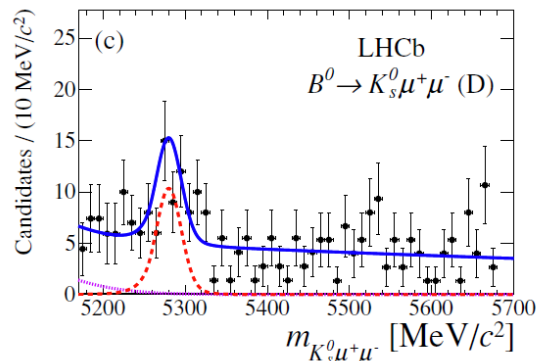
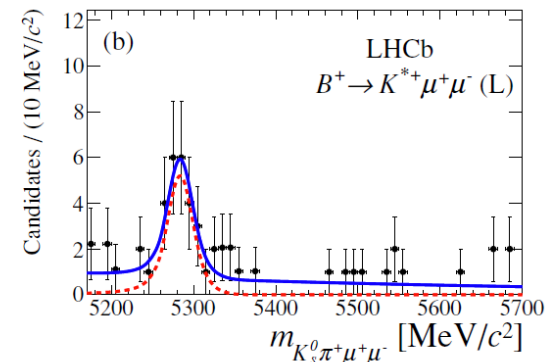
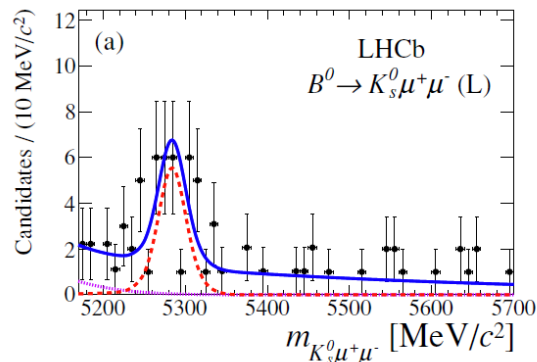
# $B^0 \rightarrow K^* \mu^+ \mu^-$ isospin asymmetry I

[\[JHEP07\(2012\)133\]](#)

$$A_I = \frac{\Gamma(B^0 \rightarrow K^{(*)0} \mu^+ \mu^-) - \Gamma(B^+ \rightarrow K^{(*)+} \mu^+ \mu^-)}{\Gamma(B^0 \rightarrow K^{(*)0} \mu^+ \mu^-) + \Gamma(B^+ \rightarrow K^{(*)+} \mu^+ \mu^-)}$$

$$\mathcal{B}(B^0 \rightarrow K^0 \mu^+ \mu^-) = (0.31_{-0.06}^{+0.07}) \times 10^{-6}$$

$$\mathcal{B}(B^+ \rightarrow K^{*+} \mu^+ \mu^-) = (1.16 \pm 0.19) \times 10^{-6}$$





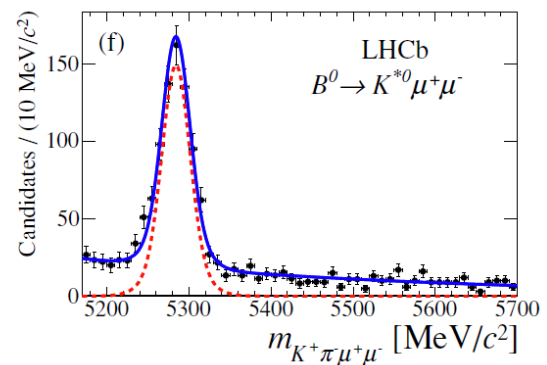
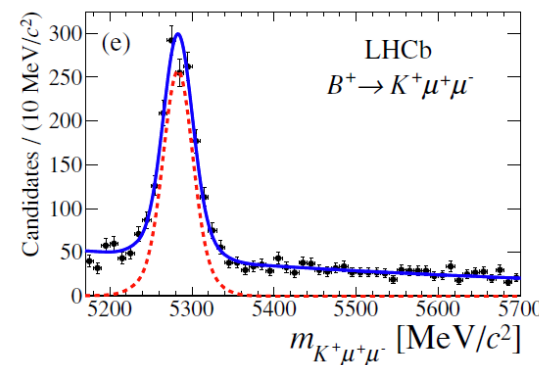
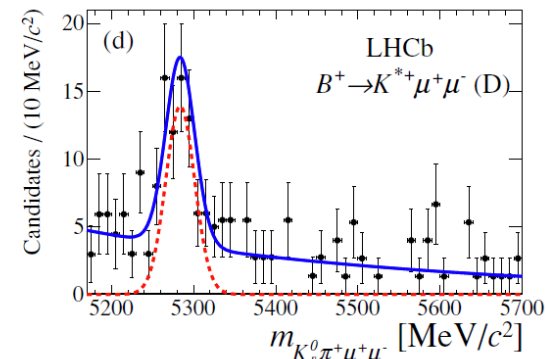
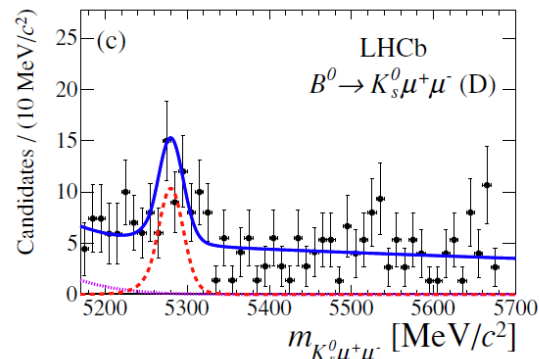
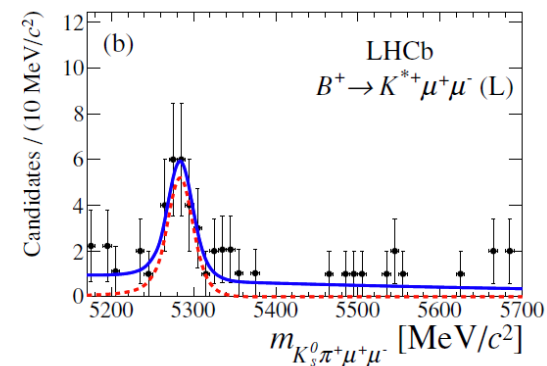
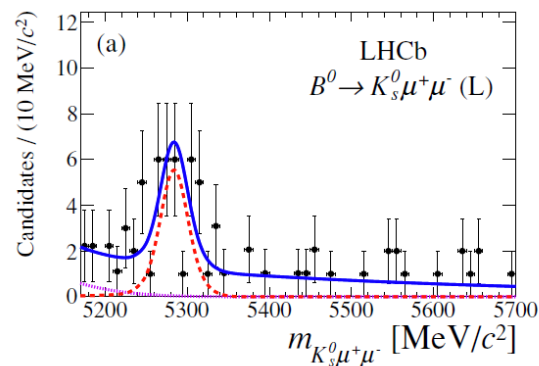
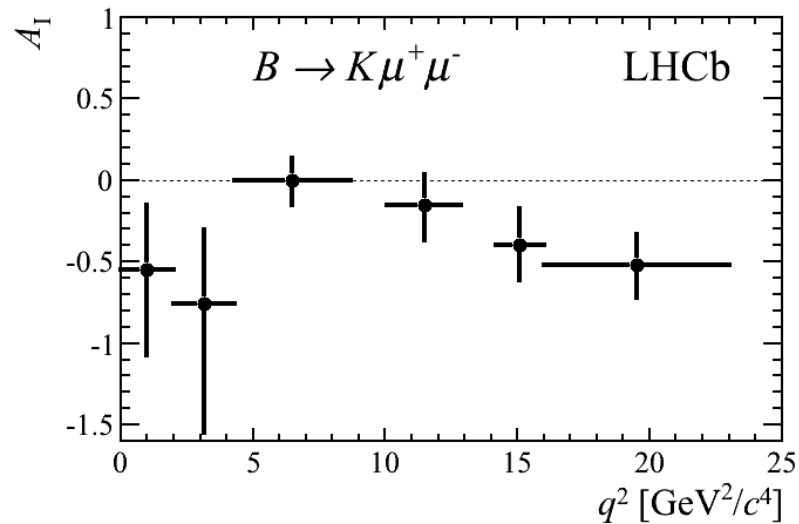
# $B^0 \rightarrow K^* \mu^+ \mu^-$ isospin asymmetry II

[JHEP07(2012)133]

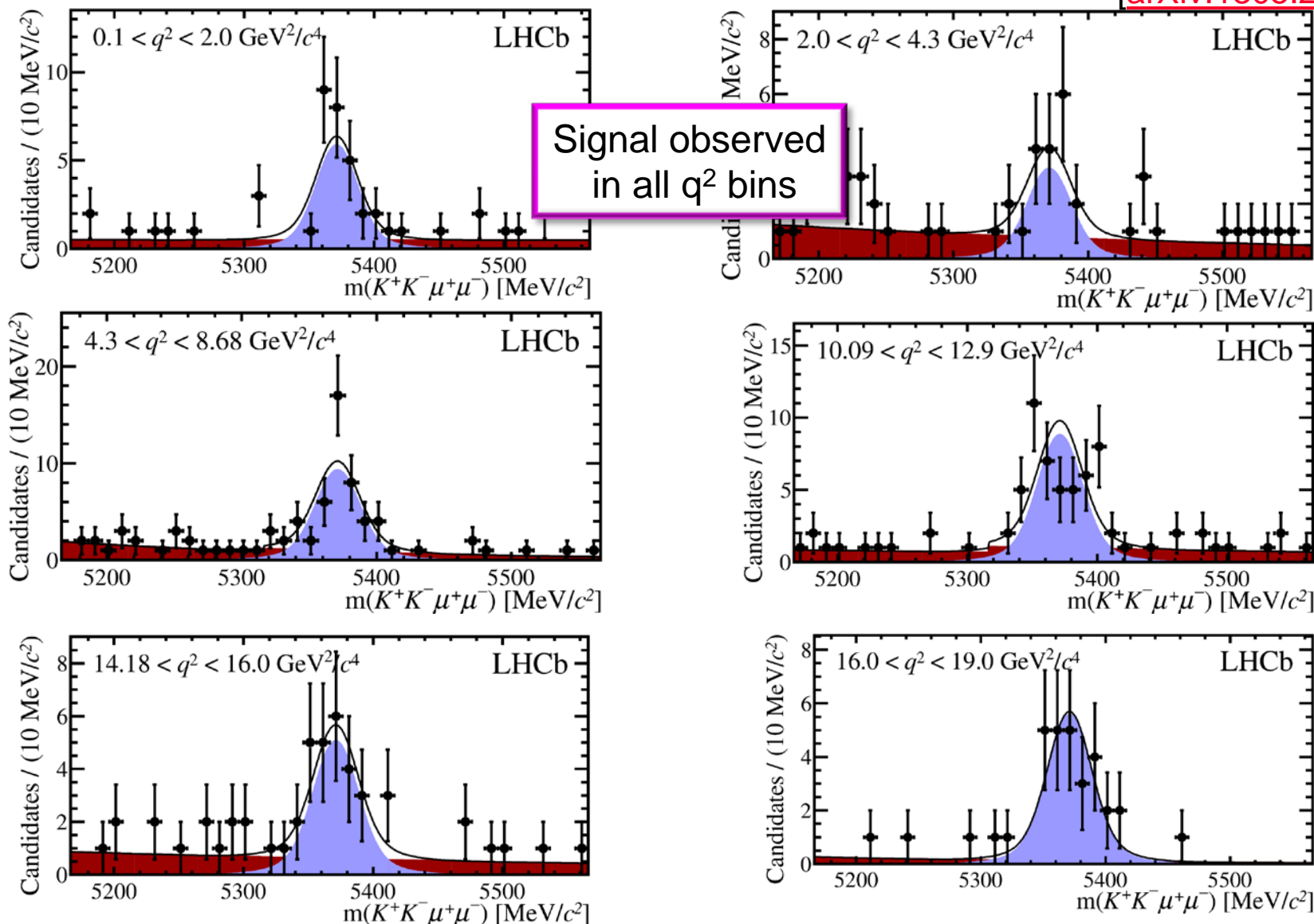
$$A_I = \frac{\Gamma(B^0 \rightarrow K^{(*)0} \mu^+ \mu^-) - \Gamma(B^+ \rightarrow K^{(*)+} \mu^+ \mu^-)}{\Gamma(B^0 \rightarrow K^{(*)0} \mu^+ \mu^-) + \Gamma(B^+ \rightarrow K^{(*)+} \mu^+ \mu^-)}$$

$$\mathcal{B}(B^0 \rightarrow K^0 \mu^+ \mu^-) = (0.31_{-0.06}^{+0.07}) \times 10^{-6}$$

$$\mathcal{B}(B^+ \rightarrow K^{*+} \mu^+ \mu^-) = (1.16 \pm 0.19) \times 10^{-6}$$



# $B_s^0 \rightarrow \phi \mu^+ \mu^-$ branching fraction

[\[arXiv:1305.2168\]](https://arxiv.org/abs/1305.2168)




# Search for decay $B^0_s \rightarrow D^{*\mp} \pi^\pm$

- Not covered today





# First observation of the decay $B^+ \rightarrow \pi^+ \mu^+ \mu^-$

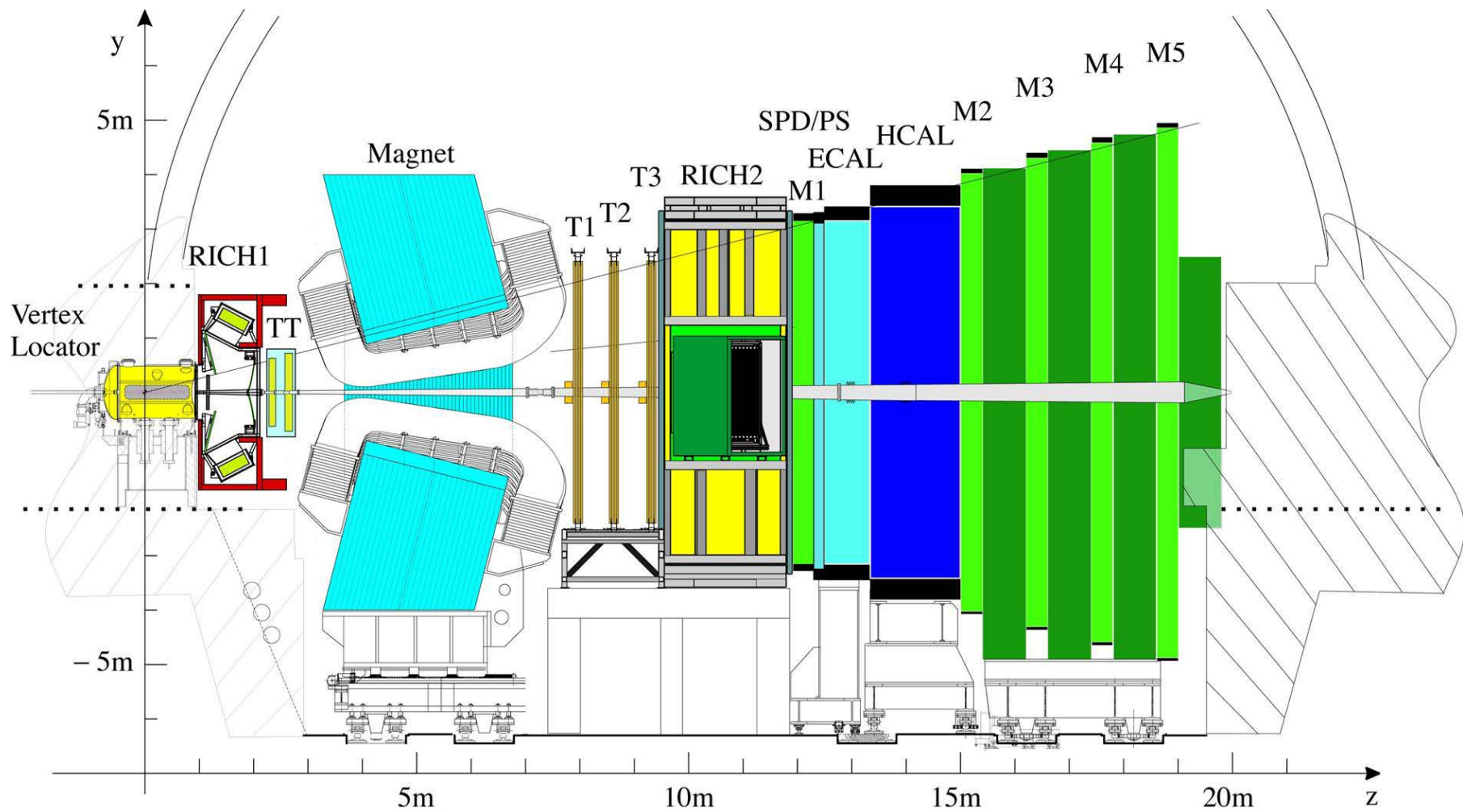
- Not covered today



# Search for the rare decay $D^0 \rightarrow \mu^+ \mu^-$

- Not covered today, see Indrek

$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) < 6.2 \text{ (7.6)} \times 10^{-9} \text{ at 90\% (95\%) confidence level}$$





LHC Page1      Fill: 3575      E: 0 GeV      17-04-13 10:38:27

# SHUTDOWN: NO BEAM

Comments (04-Apr-2013 18:48:13)  
 Phone:77600

\*\*\* END OF RUN 1 \*\*\*

No beam for a while. Access required  
 time estimate: ~2 years

AFS: 50ns\_1374\_1368\_0\_1262\_144bpi12inj      F

	BIS status and SMP flags	
	B1	B2
<p>Comments (04-Apr-2013 18:48:13)                      Phone:77600</p> <p style="text-align: center;">*** END OF RUN 1 ***</p> <p style="text-align: center;">No beam for a while. Access required                      time estimate: ~2 years</p>	<p>Link Status of Beam Permits      <span style="background-color: red; color: white; padding: 2px;">Except</span></p> <p>Global Beam Permit                <span style="background-color: red; color: white; padding: 2px;">Except</span></p> <p>Setup Beam                            <span style="background-color: red; color: white; padding: 2px;">false</span></p> <p>Beam Presence                        <span style="background-color: red; color: white; padding: 2px;">false</span></p> <p>Moveable Devices Allowed In      <span style="background-color: red; color: white; padding: 2px;">false</span></p> <p>Stable Beams                         <span style="background-color: red; color: white; padding: 2px;">false</span></p>	<p><span style="background-color: red; color: white; padding: 2px;">Except</span></p> <p><span style="background-color: red; color: white; padding: 2px;">Except</span></p> <p><span style="background-color: red; color: white; padding: 2px;">false</span></p> <p><span style="background-color: red; color: white; padding: 2px;">false</span></p> <p><span style="background-color: red; color: white; padding: 2px;">false</span></p> <p><span style="background-color: red; color: white; padding: 2px;">false</span></p>
<p>AFS: 50ns_1374_1368_0_1262_144bpi12inj</p>	<p>PM Status B1      <span style="background-color: green; color: white; padding: 2px;">ENABLED</span></p>	<p>PM Status B2      <span style="background-color: green; color: white; padding: 2px;">ENABLED</span></p>