

Measurement of the single top production with the CMS detector



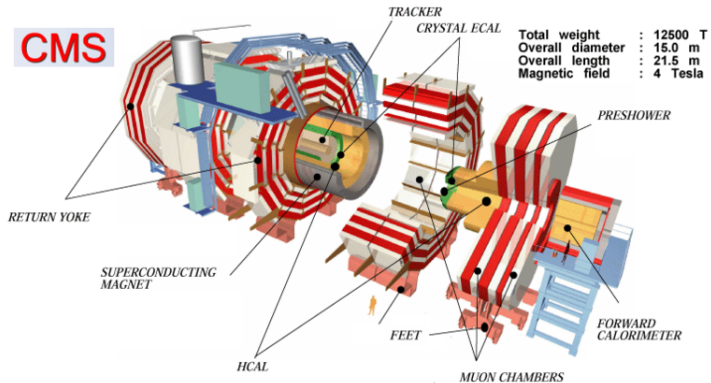
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On behalf of the CMS
collaboration

QFTHEP 2011, Sochi, Russia
26.09.2011

Outline

- Introduction
 - CMS detector
 - Physical motivation for single top studies
 - Single top processes
- Single top t - & tW -channels
 - General description of the process
 - Event selection
 - Data-driven estimations
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 - Results
- Conclusions

CMS detector

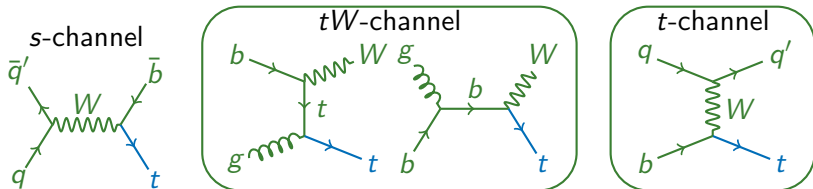


Why is single top interesting?

Single top probes the W - t - b interaction and can be sensitive to

- additional non-standard particles
 - extra quarks
 - extra gauge bosons
 - additional scalar bosons
- modified t -quark interactions
 - FCNC contribution
 - right-handed interactions

Single top processes

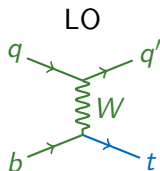


Different rates and different kinematical regions

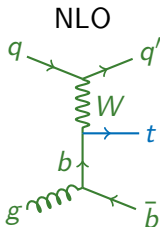
x-sec, pb $m_t = 173 \text{ GeV}$	<i>s</i> -channel	<i>tW</i> -channel	<i>t</i> -channel	$t\bar{t}$
TEVATRON, $p\bar{p}$ @ 1.96 TeV	1.04	0.22	2.08	7.2
LHC, pp @ 7 TeV	4.59	15.6	63.2	165

N. Kidonakis, Phys. Rev. D **81**, 054028 (2010), Phys. Rev. D **82**, 054018 (2010), Phys. Rev. D **83**, 091503(R) (2011), arXiv:0909.0037v1

Single top t -channel



- Analysis focused on leptonic decays of W :
 $t \rightarrow Wb \rightarrow l\nu b$
- Signal signature:
 - exactly one isolated lepton,
 - forward light-quark jet,
 - central b -jet from top decay,
 - additional b -jet with small p_T is outside acceptance
- Main backgrounds:
 - $t\bar{t}$: similar kinematics, high rate
 - $W(\rightarrow l\nu) + \text{jets}$
 - QCD: extreme kinematical region, data-driven estimation needed



Analysis strategy

Two independent and complementary analyses performed:

- “2D” Exploits signal-specific angular properties performing a 2D fit in the corresponding variables
- “BDT” Multivariate approach with boosted decision trees. Combines many distinctive variables into a single powerful discriminator

Results in t -channel presented here correspond to 36 pb^{-1} collected in pp run of 2010. The updated results are in preparation

Details in Phys. Rev. Lett. **107**, 091802 (2011) and arXiv:1106.3052

Event selection (1/2)

Very similar in 2D and BDT analyses

Leptons

- Exactly one “tight” muon (electron) with
 - $p_T(E_T) > 20(30)$ GeV/c, $|\eta| < 2.1(2.5)$
 - relative isolation $I_r = I_{\text{abs}}/p_T(E_T) < 0.05(0.1)$, absolute isolation I_{abs} is sum of p_T in cone of radius 0.3 around the lepton, excluding the lepton itself
 - no jet within cone of radius 0.3 around the lepton
- Veto additional “loose” leptons with
 - $p_T(E_T) > 10(15)$ GeV
 - $I_r < 0.2$

Event selection (2/2)

Jets

- Exactly two anti- k_T 0.5 jets with $p_T > 30$ GeV/ c , $|\eta| < 5$
- Exactly one b -tagged jet (The b -tagging algorithm exploits the impact parameter of the tracks associated with the jet)
- (2D analysis only) Exactly one b -vetoed jet
- (BDT analysis only) $\Delta\phi(j_1, j_2) < 3$: excludes back-to-back W +jets events poorly modeled with Pythia D6T tune

Invariant mass

- $M_T > 40$ (50) GeV/ c in muon (electron) channel, where

$$M_T = \sqrt{(p_T(l) + p_T(\nu))^2 - (p_x(l) + p_x(\nu))^2 - (p_y(l) + p_y(\nu))^2}$$

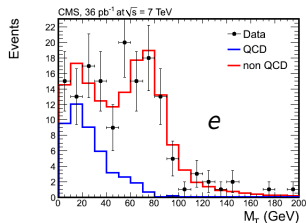
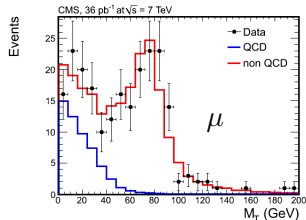
Data-driven QCD estimation

The analysis probes for a very specific kinematical region populated by the tails of QCD distribution only

- Amount of QCD estimated with maximum likelihood fit to M_T :

$$F(M_T) = a \cdot S(M_T) + b \cdot B(M_T)$$

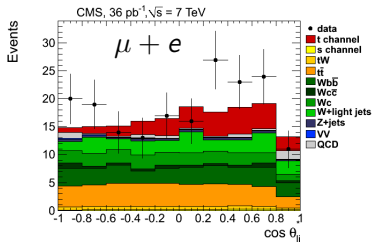
- Shape of non-QCD $S(M_T)$ taken from simulation
- Shape of QCD $B(M_T)$ taken from orthogonal data sample with inverted cut in l_r



Event yields after selection

Process	2D, μ channel	2D, e channel	BDT, μ channel	BDT, e channel
single top, t channel	17.6 ± 0.7 (+)	11.2 ± 0.4 (+)	17.6 ± 0.7 (+)	10.7 ± 0.5 (+)
single top, s channel	0.9 ± 0.3	0.6 ± 0.2	1.4 ± 0.5	1.0 ± 0.3
single top, tW	3.1 ± 0.9	2.4 ± 0.7	3.8 ± 1.1	< 0.1
WW	0.29 ± 0.09	0.23 ± 0.07	0.32 ± 0.10	0.23 ± 0.07
WZ	0.24 ± 0.07	0.17 ± 0.05	0.33 ± 0.10	1.5 ± 0.4
ZZ	0.018 ± 0.005	0.011 ± 0.003	0.020 ± 0.006	< 0.1
W + light partons	18.2 ± 5.5	11.6 ± 2.3	8.4 ± 4.2	7.0 ± 3.5
$Z + X$	1.7 ± 0.5	1.6 ± 0.3	0.7 ± 0.2	0.05 ± 0.03
QCD	0.6 ± 0.3	$2.6^{+3.4}_{-2.6}$	4.9 ± 2.5	5.3 ± 5.3
$VQ\bar{Q}$	20.4 ± 10.2	14.1 ± 7.1	17.6 ± 8.8	11.7 ± 5.8
Wc	$12.9^{+12.9}_{-6.5}$	$9.4^{+9.4}_{-4.7}$	$9.2^{+9.2}_{-4.6}$	$5.9^{+5.9}_{-2.9}$
$t\bar{t}$	20.3 ± 3.6	15.6 ± 2.8	34.9 ± 4.9	22.9 ± 3.2
Total background	78.6 ± 15.2	58.4 ± 11.0	82.4 ± 13.1	55.9 ± 10.2
Signal + background	96.2 ± 15.3	69.6 ± 11.0	100.0 ± 13.2	66.6 ± 10.2
Data	112	72	139	82

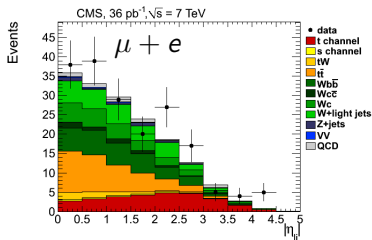
2D analysis variables



$\cos \theta_{ij}^*$



- Due to $V - A$ structure of weak interaction t -quark is almost 100% left-handed polarized
- This feature propagates to signal asymmetry in distribution over $\cos \theta_{ij}^*$ (angle calculated in t -quark rest frame)



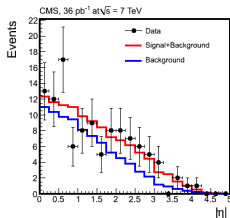
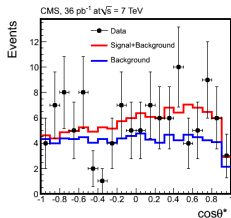
η_{ij}

- Light-quark jet recoiling against much more massive t -quark has non-central pseudorapidity distribution

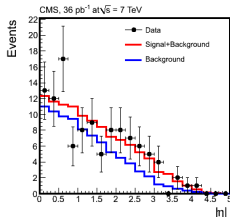
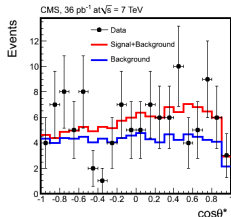
2D signal extraction

The x-section is determined with extended maximum likelihood fit to $(\cos\theta_{ij}^*, |\eta_{ij}|)$ distribution

μ

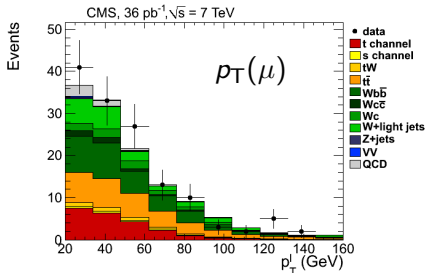


e



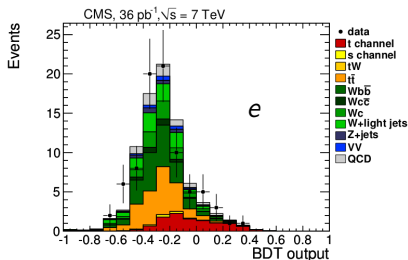
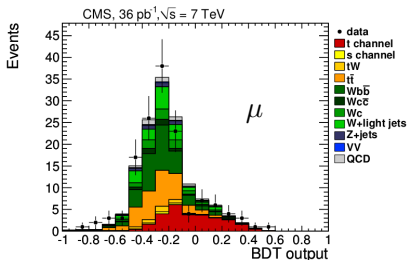
BDT analysis variables

- 37 variables used in total. They reflect different event properties:
 - kinematics and properties of the lepton and jets
 - correlations between these objects
 - properties of derived objects (t -quark, W , etc.)
 - angular distributions between the original and derived objects
 - global event characteristics (sphericity, total transverse energy, etc.)
- The most sensitive variables:
 - lepton's p_T
 - \hat{s} (invariant mass of system $W + j + j$)
 - dijet p_T
 - p_T of the most b -tagged jet
 - reconstructed t -quark mass



BDT signal extraction

The x-section is determined from a **binned likelihood fit to BDT output** within a Bayesian approach. The systematics is treated as **nuisance parameters**, they are marginalized out through Markov chain Monte Carlo (MCMC)



Systematics

Impact estimated through pseudo-experiments

Main sources of systematics:

- b -tagging
- Q^2 scaling
- jet energy scale

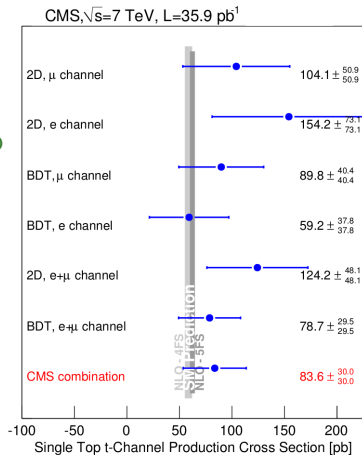
uncertainty	correlation	impact on			
		2D		BDT	
		-	+	-	+
statistical only	60	52		39	
shared shape/rate uncertainties:					
ISR/FSR for $t\bar{t}$	100	-1.0	+1.5	< 0.2	< 0.2
Q^2 for $t\bar{t}$	100	+3.5	-3.5	+0.3	-0.4
Q^2 for V +jets	100	+5.7	-12.0	+2.6	-4.5
Jet energy scale	100	-8.8	+3.6	-5.1	+1.2
b tagging efficiency	100	-19.6	+19.8	-15.2	+14.6
MET (uncl. energy)	100	-5.7	+3.7	-3.9	-0.5
shared rate-only uncertainties:					
$t\bar{t}$ ($\pm 14\%$)	100	+2.0	-1.9	+0.5	-0.6
single top s ($\pm 30\%$)	100	-0.4	+0.5	-0.4	+0.4
single top tW ($\pm 30\%$)	100	+1.1	-1.0	< 0.2	< 0.2
$Wb\bar{b}, Wc\bar{c}$ ($\pm 50\%$)	100	-3.0	+2.9	+1.7	-1.9
Wc ($^{+100\%}_{-50\%}$)	100	-3.0	+6.1	-2.4	+4.4
Z +jets ($\pm 30\%$)	100	-0.6	+0.7	+0.4	-0.2
electron QCD (BDT: $\pm 100\%$, 2D: $^{+130\%}_{-100\%}$)	50	+2.9	-3.7	-1.7	+1.7
muon QCD (BDT: $\pm 50\%$, 2D: $\pm 50\%$)	50	< 0.2	< 0.2	-2.1	+2.1
signal model	100	-5.0	+5.0	-4.0	+4.0
BDT-only uncertainties:					
electron efficiency ($\pm 5\%$)	0	—	—	-1.4	+1.4
muon efficiency ($\pm 5\%$)	0	—	—	-3.6	+3.5
V +jets ($\pm 50\%$)	0	—	—	-1.5	< 0.2
2D-only uncertainties:					
muon W +light ($\pm 30\%$)	0	-1.4	+1.4	—	—
electron W +light ($\pm 20\%$)	0	-0.6	+0.7	—	—
W +light model uncertainties	0	-5.4	+5.4	—	—

Combination and results in t -channel

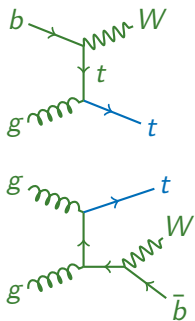
- 2D and BDT analyses combined through BLUE method
- The combined σ -section:
 83.6 ± 29.8 (stat.+syst.) ± 3.3 (lumi.) pb
- Stat. significance is 3.7 (3.5) σ w.r.t. expected significance
 $2.1^{+1.0}_{-1.1}$ ($2.9^{+1.0}_{-0.9}$) σ for 2D (BDT)
- 2D (BDT) sets 95% CL lower limit

$$|V_{tb}| > 0.62 (0.68),$$

where $|V_{td}|, |V_{ts}| \ll |V_{tb}|$ and
 $|V_{tb}| \in [0, 1]$ assumed



Single top tW -channel



- Analysis exploits leptonic decays of W:
 $tW \rightarrow WbW \rightarrow l\nu b'l'\nu'$
- Signal signature:
 - exactly two isolated leptons of opposite charge
 - exactly one b -jet within acceptance
 - large enough \cancel{E}_T due to neutrinos
- Main backgrounds:
 - $t\bar{t}$: very similar kinematics (interference at NLO!), high rate
 - $Z/\gamma^*(\rightarrow ll) + \text{jets}$

Analysis strategy

- Three leptonic final states: $ee, \mu\mu, e\mu$
- Pure counting experiment
- Rates for $t\bar{t}$ and $Z/\gamma^*(\rightarrow ll) + \text{jets}$ backgrounds estimated from data

Analysis in tW -channel is based on the integrated luminosity of 2.1 fb^{-1} recorded during the first data-taking period of 2011

Details in CMS PAS TOP-11-022

Event selection (1/3)

Leptons

- Exactly two “tight” leptons of opposite charge with
 - $p_T > 20 \text{ GeV}/c$, $|\eta| < 2.4$ (2.5) for a muon (electron)
 - relative isolation $I_r < 0.15$
- Veto additional “loose” muons (electrons) with
 - $p_T > 10$ (15) GeV/c , $|\eta| < 2.5$
 - $I_r < 0.2$
- Reject events with leptons of the same flavor and dilepton mass
 - $81 < m_{ll} < 101 \text{ GeV}/c^2$: Z veto
 - $m_{ll} < 20 \text{ GeV}/c^2$: poor data-MC agreement
- $\cancel{E}_T > 30 \text{ GeV}$ in ee and $\mu\mu$ channels (to reduce $Z/\gamma^*(\rightarrow ll) + \text{jets}$)

Event selection (2/3)

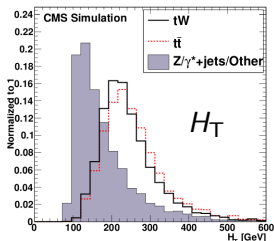
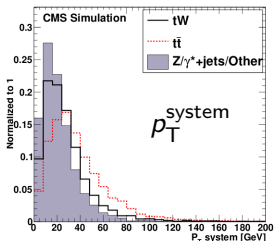
Jets

- Anti- k_T algorithm with cones 0.5
- Veto “tight” leptons inside cone 0.3 around a jet
- Exactly one jet with $p_T > 30 \text{ GeV}/c$, $|\eta| < 2.4$
- The jet must be b -tagged (the b -tagging algorithm exploits reconstructed secondary vertex)
- Veto additional b -tagged jets with $p_T > 20 \text{ GeV}/c$

Event selection (3/3)

Transverse balance

- p_T of system $l_1 + l_2 + j + \cancel{E}_T$ must be less than 60 GeV/c
- In $e\mu$ channel $H_T = p_T(l_1) + p_T(l_2) + p_T(j) + \cancel{E}_T > 160$ GeV



$e\mu$ final state, events passing leptonic step of selection and containing exactly one jet

Data-driven estimation of Z/γ^* and $t\bar{t}$

- $Z/\gamma^* + \text{jets}$ normalization estimated with the **events failing Z veto**:

$$N_{//,\text{out}}^{\text{estimated}} = \frac{N_{//,\text{out}}^{\text{MC}}}{N_{//,\text{in}}^{\text{MC}}} \cdot \left(N_{//,\text{in}}^{\text{observed}} - \frac{1}{2}k \cdot N_{e\mu,\text{in}}^{\text{observed}} \right)$$

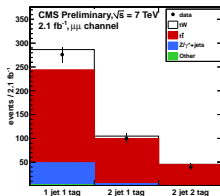
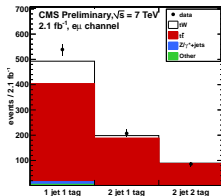
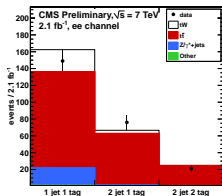
- $N_{e\mu,\text{in}}^{\text{observed}}$ accounts for non-peaking backgrounds (e.g. $t\bar{t}$)
- factor k is responsible for **difference in e/μ acceptance** (taken from data from numbers of near-peak ee and $\mu\mu$ events after leptonic selection only)
- $t\bar{t}$ rate is related to one of the largest sources of uncertainty
 - **two control regions** are defined: 2 jets, 1 tag and 2 jets, 2 tags; they are by far dominated by $t\bar{t}$
 - numbers of events in these regions are fed into the statistical procedure **constraining $t\bar{t}$ normalization** and **b -tagging efficiency**

Event yields after selection (1/2)

process	ee channel	$e\mu$ channel	$\mu\mu$ channel
Signal region (1jet, 1tag)			
$t\bar{W}$	24.7 ± 0.9	88 ± 2	39 ± 1
$t\bar{t}$	110 ± 4	372 ± 8	174 ± 5
Z/γ^* (data-driven)	20.7 ± 3.9	10 ± 2	45.7 ± 6.1
other	1.0 ± 0.2	5 ± 1	2.1 ± 0.2
all background	132 ± 4	387 ± 9	222 ± 8
data	149	539	276
Background region A (2jets, 1tag)			
$t\bar{t}$	53 ± 3	169 ± 5	81 ± 4
$t\bar{W}, Z/\gamma^*, \text{other}$	5.1 ± 0.8	11.0 ± 0.6	9 ± 1
data	76	208	100
Background region B (2jets, 2tag)			
$t\bar{t}$	23 ± 2	73 ± 4	37 ± 3
$t\bar{W}, Z/\gamma^*, \text{other}$	1.2 ± 0.5	2.2 ± 0.2	1.2 ± 0.2
data	21	86	40

Event yields after selection (2/2)

Event yields in signal and the two control regions



- $Z/\gamma^* + \text{jets}$ scaled to data-driven estimate
- $t\bar{t}$ scaled to result of the statistical procedure

Systematics

Systematic ($ee/e\mu/\mu\mu$) [%]	signal $t\bar{W}$	$t\bar{t}$	Z/γ^*	other
Luminosity	4.5	4.5	4.5	4.5
Pile-up multiplicity	0.48/0.55/0.73	-	-	*
Trigger Efficiency	1.5	1.5	1.5	1.5
Muon reconstruction and identification	- /1/1	- /1/1	- /1/1	- /1/1
Electron reconstruction and identification	2/2/ -	2/2/ -	2/2/ -	2/2/ -
JES	-2.5/-2.4/-0.6 +1.6/+0.1/+1.0	-5.6/-6.0/-5.9 +4.4/+4.7/+2.3	-	*
JER	1.1/0.5/0.4	3.1/3.9/4.4	-	*
B-tagging	-9.5/-9.8/-9.5 +10/+9.8/+10	-8.5/-11/-9.1 +10/+10/+11	-	*
Factorization/Normalization Scale (Q^2)	7.7/6/10	7.7/11/12	-	*
ME/PS matching thresholds	-	5.7/0.7/2.3	-	*
ISR/FSR	-	8.9/7.3/7.3	-	*
DR/DS scheme	8.2/9.1/6.6	-	-	*
E_T^{miss} modeling	2.3/0.9/0.9	-	-	*
PDF uncertainties	4.5/4.5/4.5	-	-	*
Background Normalization	-	15/15/15	50/ 22/ 50	*
Simulation statistics	3.5/1.9/2.7	-	-	17/21/11

"-" – systematics doesn't apply, "*" – negligible

Results

- Measured x-section:

$$22_{-7}^{+9} \text{ (stat.+syst.) pb}$$

- Observed significance is 2.7σ consistent with the expected significance $1.8 \pm 0.9 \sigma$

Conclusions

- Single top in the t -channel has been searched for with **two complementary analyses**: one exploiting two characteristic angular variables, another one using a multivariate technique
- Both t -channel analyses (re)found an **evidence** of the signal providing compatible results
- The analyses were **combined** to obtain a more precise **measurement of t -channel x-section**
- The x-section was translated into the **lower limit on $|V_{tb}|$**
- The **tW -channel x-section** was measured with a counting experiment
- All the results are in **good agreement with the SM expectations**

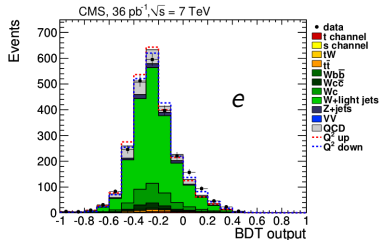
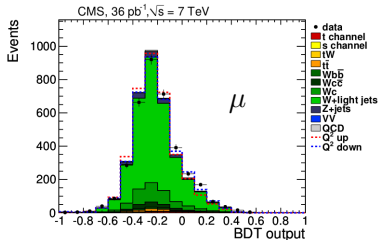
Thank you for your attention!

BACKUP SLIDES

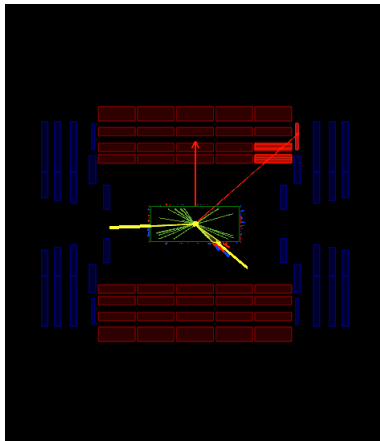
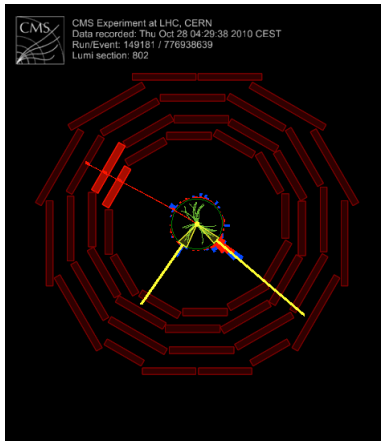
Particle flow reconstruction

- Attempts to reconstruct **every particle** on individual basis
- **Better performance** thanks to using all the appropriate subdetector systems (e.g. jet performance can profit a lot from using tracker info)
- Every track or calorimeter energy deposit is guaranteed to be associated with one particle at maximum \Rightarrow **no double counting**

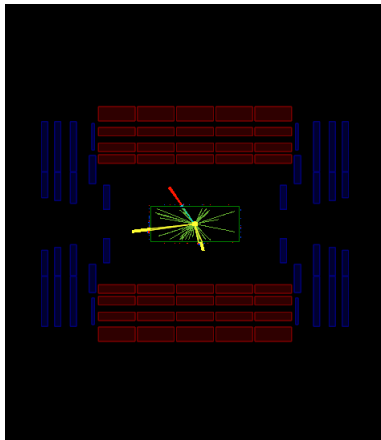
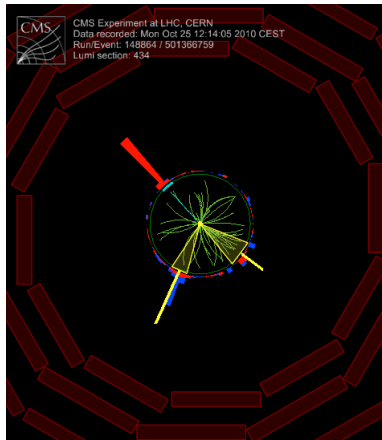
BDT validation in W-enriched (zero tag) sample



Golden candidate of t -channel process, muon

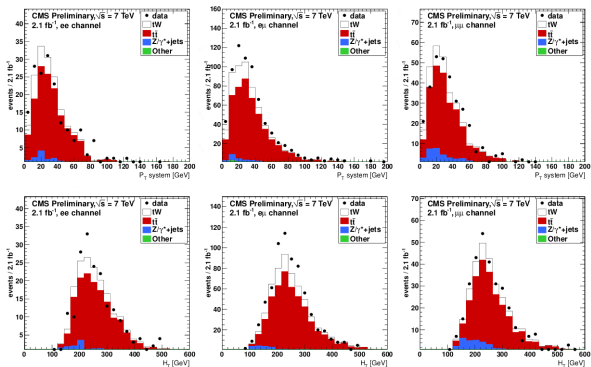


Golden candidate of t -channel process, electron



tW -analysis: p_T^{system} and H_T distributions

p_T^{system} (up) and H_T (down) in ee -, $e\mu$ -, and $\mu\mu$ -channels
(from left to right)



Full selection except for requirements on these two variables